# Minimum Distance Bounds for Expander Codes

#### Vitaly Skachek

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**Open Problems Session** 

Information Theory and Applications Workshop UCSD

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Vitaly Skachek Minimum Distance Bounds

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# **Basic** Definitions

Vitaly Skachek Minimum Distance Bounds

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Code  $\mathcal{C}$  is a set of words of length n over an alphabet  $\Sigma$ .

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### Definition

• The *Hamming distance* between  $\boldsymbol{x} = (x_1, \ldots, x_n)$  and  $\boldsymbol{y} = (y_1, \ldots, y_n)$  in  $\Sigma^n$ ,  $\mathsf{d}(\boldsymbol{x}, \boldsymbol{y})$ , is the number of pairs of symbols  $(x_i, y_i)$ ,  $1 \le i \le n$ , such that  $x_i \ne y_i$ .

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- The *minimum distance* of a code C is

$$d = \min_{\boldsymbol{x}, \boldsymbol{y} \in \mathcal{C}, \boldsymbol{x} \neq \boldsymbol{y}} d(\boldsymbol{x}, \boldsymbol{y}).$$

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• The *relative minimum distance* of C is defined as  $\delta = d/n$ .

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### Definition

A code C over field F = GF(q) is said to be a *linear* [n, k, d] code if there exists a matrix H with n columns and rank n − k such that

$$\mathcal{H} oldsymbol{x}^t = ar{f 0} \ \Leftrightarrow \ oldsymbol{x} \in \mathcal{C}.$$

- The matrix  $\mathcal{H}$  is a *parity-check matrix*.
- The value k is the *dimension* of the code C.
- The ratio r = k/n is the *rate* of the code C.

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- Let  $\mathcal{C}$  be a code of minimum distance d over  $\Sigma$ .
- The unique decoding problem: Input: y ∈ Σ<sup>n</sup>. Find: c ∈ C, such that d(c, y) < d/2.
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Let  $H_q: [0,1] \to [0,1]$  be the q-ary entropy function:

$$H_q(x) = x \log_q(q-1) - x \log_q x - (1-x) \log_q(1-x)$$
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#### Theorem

Let  $\mathbb{F} = GF(q)$ , and let  $\delta \in (0, 1 - 1/q]$  and  $\mathcal{R} \in (0, 1)$ , such that

 $\mathcal{R} \leq 1 - \mathsf{H}_q(\delta)$ .

Then, for large enough values of n, there exists a linear  $[n, \mathcal{R}n, \geq \delta n]$  code over  $\mathbb{F}$ .

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• Denote 
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Concatenated code  $\mathbb C$  of length  $N=\Delta n$  over  $\mathbb F$  is defined as

$$\mathbb{C} = \left\{ (\boldsymbol{c}_1 | \boldsymbol{c}_2 | \cdots | \boldsymbol{c}_n) \in \mathbb{F}^{\Delta n} : \boldsymbol{c}_i = \mathcal{E}(a_i) , \\ \text{or } i \in 1, 2, \cdots, n, \text{ and } (a_1 a_2 \cdots a_n) \in \mathbb{C}_{\Phi} \right\}.$$

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• The rate of  $\mathbb{C}$ :  $\mathcal{R} = rR_{\Phi}$ .

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• The relative minimum distance of  $\mathbb{C}$ :  $\delta \geq \theta \delta_{\Phi}$ .

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• Generalized minimum distance (GMD) decoder corrects any fraction of errors up to  $\frac{1}{2}\delta$ .

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# Concatenated Codes (Cont.)

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- [Justesen '72] For a wide range of rates, concatenated codes attain the *Zyablov bound*:

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• [Blokh-Zyablov '82] Multilevel concatenations of codes (almost) attain the *Blokh-Zyablov bound*:

$$\mathcal{R} = 1 - \mathsf{H}_2(\delta) - \delta \int_0^{1 - \mathsf{H}_2(\delta)} \frac{dx}{\mathsf{H}_2^{-1}(1 - x)}$$

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• Let  $\lambda_{\mathcal{G}}$  be the second largest eigenvalues of  $A_{\mathcal{G}}$  and  $\gamma_{\mathcal{G}} = \lambda_{\mathcal{G}}/\Delta$ .

## Barg-Zémor's Expander Codes '02

- $\mathcal{G}$  is bipartite:  $\mathcal{V} = A \cup B$ ,  $A \cap B = \emptyset$ , |A| = |B| = n.
- Ordering on the vertices and the edges.
- Denote by (z)<sub>\varepsilon(u)</sub> the sub-block of z that is indexed by \varepsilon(u).
- Let  $C_A$  and  $C_B$  be two linear codes of length  $\Delta$  over  $\mathbb{F}$ .

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The code  $\mathbb{C} = (\mathcal{G}, \mathcal{C}_A : \mathcal{C}_B)$ :

$$\mathbb{C} = \left\{ oldsymbol{c} \in \mathbb{F}^N \ : \ (oldsymbol{c})_{\mathcal{E}(u)} \in \mathcal{C}_A \ ext{for} \ v \in A \ ext{and} \ (oldsymbol{c})_{\mathcal{E}(v)} \in \mathcal{C}_B \ ext{for} \ u \in B 
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A

 $v_0 \bullet$ 

 $v_1 \bullet$ 

 $v_2 \bullet$ 

 $C_A$ 

B

 $\bullet u_0$ 

• $u_1$ -  $C_B$ 

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• 'Dangling edges' are introduced [Barg Zémor '03].

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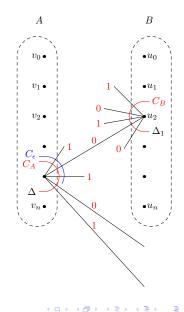
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## Barg-Zémor Expander Codes '03

- 'Dangling edges' are introduced [Barg Zémor '03].
- Mimics behavior of concatenated codes.
- Can be viewed as a concatenation of two codes [Roth Skachek '04].
- Another construction with similar properties [Guruswami Indyk '02].



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Analysis of the codes in [Barg Zémor '02] and [Barg Zémor '03].

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Lower bounds on the relative minimum distance

$$\delta(\mathcal{R}) \geq \frac{1}{4} (1-\mathcal{R})^2 \cdot \min_{\delta_{GV}((1+\mathcal{R})/2) < \mathsf{B} < \frac{1}{2}} \frac{g(\mathsf{B})}{\mathsf{H}_2(\mathsf{B})} ,$$

where the function  $g(\mathsf{B})$  is defined in the next slides.

(ii)

$$\delta(\mathcal{R}) \geq \max_{\mathcal{R} \leq r \leq 1} \left\{ \min_{\delta_{GV}(r) < \mathsf{B} < \frac{1}{2}} \left( \delta_0(\mathsf{B}, r) \cdot \frac{1 - \mathcal{R}/r}{\mathsf{H}_2(\mathsf{B})} \right) \right\}$$

where the function  $\delta_0(\mathsf{B}, r)$  is defined in the next slides.

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Let  $\delta_{GV}(\mathcal{R}) = \mathsf{H}_2^{-1}(1-\mathcal{R})$ , and let  $\mathsf{B}_1$  be the largest root of the equation

$$\mathsf{H}_{2}(\mathsf{B}) = \mathsf{H}_{2}(\mathsf{B}) \left(\mathsf{B} - \mathsf{H}_{2}(\mathsf{B}) \cdot \frac{\delta_{GV}(\mathcal{R})}{1 - \mathcal{R}}\right) = -\left(\mathsf{B} - \delta_{GV}(\mathcal{R})\right) \cdot \log_{2}(1 - \mathsf{B}) \ .$$

Moreover, let

$$a_1 = \frac{\mathsf{B}_1}{\mathsf{H}_2(\mathsf{B}_1)} - \frac{\delta_{GV}(\mathcal{R})}{\mathsf{H}_2(\delta_{GV}(\mathcal{R}))} ,$$

and

$$b_1 = \frac{\delta_{GV}(\mathcal{R})}{\mathsf{H}_2(\delta_{GV}(\mathcal{R}))} \cdot \mathsf{B}_1 - \frac{\mathsf{B}_1}{\mathsf{H}_2(\mathsf{B}_1)} \cdot \delta_{GV}(\mathcal{R})) .$$

The function  $g(\mathsf{B})$  is defined as

$$g(\mathsf{B}) = \begin{cases} \frac{\delta_{GV}(\mathcal{R})}{1-\mathcal{R}} & \text{if } \mathsf{B} \leq \delta_{GV}(\mathcal{R}) \\\\ \frac{\mathsf{B}}{\mathsf{H}_2(\mathsf{B})} & \text{if } \delta_{GV}(\mathcal{R}) \leq \mathsf{B} \text{ and } \mathcal{R} \leq 0.284 \\\\ \frac{a_1\mathsf{B} + b_1}{\mathsf{B}_1 - \delta_{GV}(\mathcal{R})} & \text{if } \delta_{GV}(\mathcal{R}) \leq \mathsf{B} \leq \mathsf{B}_1 \text{ and } 0.284 < \mathcal{R} \leq 1 \\\\ \frac{\mathsf{B}}{\mathsf{H}_2(\mathsf{B})} & \text{if } \mathsf{B}_1 < \mathsf{B}_1 \leq 1 \text{ and } 0.284 < \mathcal{R} \leq 1 \end{cases}$$

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Vitaly Skachek Minimum Distance Bounds

# Definition of the Function $\delta_0(\mathsf{B}, r)$

The function  $\delta_0(\mathsf{B}, r)$  is defined to be  $\omega^{\star\star}(\mathsf{B})$  for  $\delta_{GV}(r) \leq \mathsf{B} \leq \mathsf{B}_1$ , where

$$\omega^{\star\star}(\mathsf{B}) = r\mathsf{B} + (1-r)\mathsf{H}_2^{-1}\left(1 - \frac{r}{1-r}\mathsf{H}_2(\mathsf{B})\right) ,$$

and  $\mathsf{B}_1$  is the only root of the equation

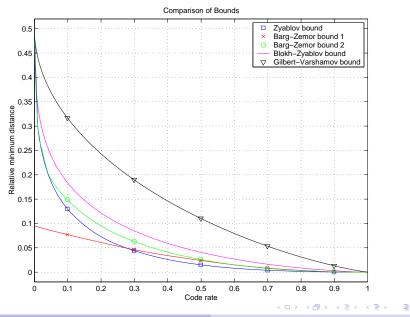
$$\delta_{GV}(r) = w^{\star}(\mathsf{B}) \; ,$$

where

$$w^{\star}(\mathsf{B}) = (1-r)\left( \left( 2^{\mathsf{H}_{2}(\mathsf{B})/\mathsf{B}} + 1 \right)^{-1} + \frac{\mathsf{B}}{\mathsf{H}_{2}(\mathsf{B})} \left( 1 - \mathsf{H}_{2} \left( \left( 2^{\mathsf{H}_{2}(\mathsf{B})/\mathsf{B}} + 1 \right)^{-1} \right) \right) \right) \right)$$

For  $B_1 \leq B \leq \frac{1}{2}$ , the function  $\delta_0(B, r)$  is defined to be a tangent to the function  $\omega^{**}(B)$  drawn from the point  $(\frac{1}{2}, \omega^{*}(\frac{1}{2}))$ .

### Minimum Distance Bounds



Vitaly Skachek Minimum Distance Bounds

•  $\mathcal{G} = (\mathcal{V} = A \cup B, \mathcal{E})$  be a bipartite  $\Delta$ -regular, as before

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$$B = B^1 \cup B^2, B^1 \cap B^2 = \emptyset$$
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 $|B^2| = \eta n, |B^1| = (1 - \eta)n, \eta \in [0, 1].$ 

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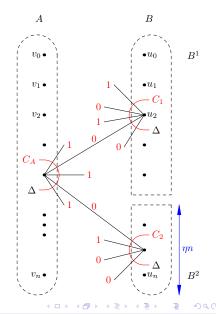
$$\mathbb{C} = \left\{ \boldsymbol{c} \in \mathbb{F}^N \quad : \ (\boldsymbol{c})_{\mathcal{E}(u)} \in \mathcal{C}_A \text{ for } u \in A, \\ (\boldsymbol{c})_{\mathcal{E}(u)} \in \mathcal{C}_1 \text{ for } u \in B^1 \\ \text{and} \quad (\boldsymbol{c})_{\mathcal{E}(u)} \in \mathcal{C}_2 \text{ for } u \in B^2 \right\}$$

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#### Properties of Generalized Expander Codes

• The rate: 
$$\mathcal{R} \ge r_A + (1 - \eta)r_1 + \eta r_2 - 1$$
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Vitaly Skachek Minimum Distance Bounds

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• Assume

$$\eta < \frac{\delta_A - \gamma_{\mathcal{G}} \sqrt{\delta_A / \delta_2}}{1 - \gamma_{\mathcal{G}}} - \gamma_{\mathcal{G}}^{2/3} .$$

Then, the relative minimum distance:

$$\delta > \delta_A(\delta_1 - \frac{1}{2}\gamma_{\mathcal{G}}^{2/3}) \; .$$

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• A linear-time decoding algorithm: if  $\delta_1 > 2\gamma_{\mathcal{G}}^{2/3}$  and  $\eta$  as above, the decoder corrects any error pattern of size  $\mathbb{J}_{\mathbb{C}}$ ,

$$\mathbb{J}_{\mathbb{C}} \stackrel{\Delta}{=} \frac{\frac{1}{2}\delta_1 - \gamma_{\mathcal{G}}^{2/3} \left(1 + \sqrt{2\left(\delta_1 - 2\gamma_{\mathcal{G}}^{2/3}\right)}\right)}{1 - \gamma_{\mathcal{G}}} \cdot \delta_A \Delta n \; .$$

The number of correctable errors is (almost) half of the Zyablov bound.

# Properties of Generalized Expander Codes (cont.)

#### Theorem

Let  $|\mathbb{F}|$  be a power of 2. There exists a polynomial-time constructible family of binary linear codes  $\mathbb{C}$  of length  $N = n\Delta$ ,  $n \to \infty$ , and sufficiently large but constant  $\Delta = \Delta(\varepsilon)$ , whose relative minimum distance satisfies

$$\delta(\mathcal{R}) \geq \max_{\mathcal{R} \leq r_A \leq 1} \left\{ \min_{\delta_{GV}(r_A) \leq \beta \leq 1/2} \left( \delta_0(\beta, r_A) \frac{1 - \mathcal{R}/r_A}{\mathsf{H}_2(\beta)} \right) \right\} - \varepsilon \; .$$

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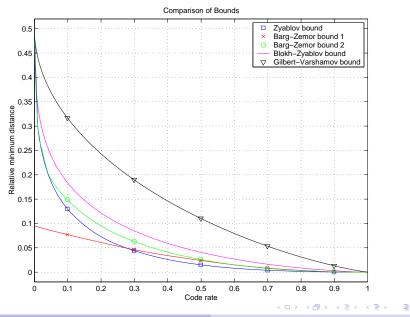
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$$\delta(\mathcal{R}) \geq rac{1}{4} (1-\mathcal{R})^2 \cdot \min_{\delta_{GV}((1+\mathcal{R})/2) < \mathsf{B} < rac{1}{2}} rac{g(\mathsf{B})}{\mathsf{H}_2(\mathsf{B})} \; .$$

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### Minimum Distance Bounds



Vitaly Skachek Minimum Distance Bounds

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- Further improvements on the **minimum distance bounds**.
- Bounds on the **error-correcting capabilities** of the decoders.
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- Do the generalized expander codes have any advantage over the known expander codes?

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