# On some data processing problems arising in the distributed storage systems

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Joint works with Helger Lipmaa and with Michael Rabbat

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- Enormous amounts of data are stored in a huge number of servers.
- Occasionally servers fail.
- Failed server is replaced and the data has to be copied to the new server.

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In the context of disk storage: [Blaum, Brady, Bruck, Menon 1995].

Example

$$\begin{array}{c|c|c} X_1 & Y_1 & X_1 + Y_1 & X_1 + Y_2 \\ X_2 & Y_2 & X_2 + Y_2 & X_2 + Y_1 \end{array}$$

All the information can be recovered by using any two out of four nodes.

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- Exact repair
- Functional repair
- Exact repair of the systematic part

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### Functional Repair

- The number of information blocks: M
- The number of information nodes: n
- The total number of active nodes: N
- Number of stored bits per node:  $\alpha$
- Maximal number of nodes used in repair: m
- Number of bits read from each node: t
- Total repair bandwidth:  $\gamma = m \cdot t$ .

### **Functional Repair**

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#### Example

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Here: N = 4, n = 2, M = 4, m = 2, t = 2 blocks,  $\gamma = 4$  blocks.

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### Fundamental Trade-off

### [Dimakis, Godfrey, Wu, Wainwright, Ramchandran 2008]

#### Theorem

The following point is feasible:

$$lpha \geq \left\{ egin{array}{cc} rac{M}{n} & \gamma \in [f(0), +\infty) \ rac{M-g(i)\gamma}{n-i} & \gamma \in [f(i), f(i-1)) \end{array} 
ight.$$

where

$$f(i) \triangleq \frac{2Mm}{(2n-i-1)i+2n(m-n+1)}$$
  
$$g(i) \triangleq \frac{(2m-2n+i+1)i}{2m},$$

and m < N - 1.

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- MSR: Minimum storage regenerating codes.
- MBR: Minimum bandwidth regenerating codes.

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#### MSR codes

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### MBR codes

$$(\alpha, \gamma) = \left(\frac{M}{n} \cdot \frac{2N-2}{2N-n-1}, \frac{M}{n} \cdot \frac{2N-2}{2N-n-1}\right)$$

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[Gopalan, Huang, Simitci, Yekhanin 2012]

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#### Definition

Let  $[n, k, d]_q$  be a linear code C over  $\mathbb{F}_q$ . We say that the C has locality r, if the value of any symbol in C can be recovered by accessing some r other coordinates of C.

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#### Bound

The following connection holds:

$$n-k\geq \left\lceil \frac{k}{r}
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ceil+d-2$$
.

The Pyramid codes are shown to achieve this bound.

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# Code Availability

[Rawat, Papailiopoulos, Dimakis, Vishwanath 2014]

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#### Bound

The following connection holds:

$$n-k\geq \left\lceil \frac{ks}{r}\right
ceil+d-s-2$$
.

There are explicit constructions of codes that achieve this bound for a variety of parameters.

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- Proposed in [Ishai, Kushilevitz, Ostrovsky, Sahai 2004].
- Can be used in:
  - Load balancing.
  - Private information retrieval.
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Constructions:

• [Ishai *et al.* 2004]: algebraic, expander graphs, subsets, RM codes, locally-decodable codes

Design-based constructions and bounds:

- [Stinson, Wei, Paterson 2009]
- [Brualdi, Kiernan, Meyer, Schroeder 2010]
- [Bujtas, Tuza 2011]
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Application to distributed storage:

- [Rawat, Papailiopoulos, Dimakis, Vishwanath 2014]
- [Silberstein 2014]

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#### Definition [Ishai et al. 2004]

C is an  $(n, N, m, M, t)_{\Sigma}$  batch code over  $\Sigma$  if it encodes any string  $\mathbf{x} = (x_1, x_2, \dots, x_n) \in \Sigma^n$  into M strings (buckets) of total length N over  $\Sigma$ , namely  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_M$ , such that for each m-tuple (batch) of (not neccessarily distinct) indices  $i_1, i_2, \dots, i_m \in [n]$ , the symbols  $x_{i_1}, x_{i_2}, \dots, x_{i_m}$  can be retrieved by m users, respectively, by reading  $\leq t$  symbols from each bucket, such that  $x_{i_\ell}$  is recovered from the symbols read by the  $\ell$ -th user alone.

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#### Definition

If t = 1, then we use notation  $(n, N, m, M)_{\Sigma}$  for it. Only one symbol is read from each bucket.

#### Definition

An  $(n, N, m, M, t)_q$  batch code is *linear*, if every symbol in every bucket is a linear combination of original symbols.

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An  $(n, N, m, M, t)_q$  batch code is *linear*, if every symbol in every bucket is a linear combination of original symbols.

In what follows, consider *linear codes* with t = 1 and N = M: each encoded bucket contains just one symbol in  $\mathbb{F}_q$ .

For simplicity we refer to a linear  $(n, N = M, m, M)_q$  batch code as  $[M, n, m]_q$  batch code.

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- Let  $\mathbf{x} = (x_1, x_2, \cdots, x_n)$  be an information string.
- Let  $\mathbf{y} = (y_1, y_2, \cdots, y_M)$  be an encoding of  $\mathbf{x}$ .
- Each encoded symbol  $y_i$ ,  $i \in [M]$ , is written as  $y_i = \sum_{j=1}^n g_{j,i} x_j$ .
- Form the matrix G:

$$\mathbf{G} = \left(g_{j,i}\right)_{j\in[n],i\in[M]};$$

the encoding is  $\mathbf{y} = \mathbf{x}\mathbf{G}$ .

Locally repairable codes, codes with locality.

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Locally repairable codes, codes with locality.



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Codes with locality and availability.



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Batch codes.



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### Retrieval

#### Theorem

Let C be an  $[M, n, m]_q$  batch code. It is possible to retrieve  $x_{i_1}, x_{i_2}, \dots, x_{i_m}$  simultaneously if and only if there exist m non-intersecting sets  $T_1, T_2, \dots, T_m$  of indices of columns in **G**, and for  $T_r$  there exists a linear combination of columns of **G** indexed by that set, which equals to the column vector  $\mathbf{e}_{i_r}^T$ , for all  $r \in [m]$ .

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#### Example

[Ishai *et al.* 2004] Consider the following linear binary batch code C whose 4  $\times$  9 generator matrix is given by

.

#### Example

Let  $\mathbf{x} = (x_1, x_2, x_3, x_4)$ ,  $\mathbf{y} = \mathbf{xG}$ .

Assume that we want to retrieve the values of  $(x_1, x_1, x_2, x_2)$ . We can retrieve  $(x_1, x_1, x_2, x_2)$  from the following set of equations:

$$\begin{array}{rcrcrcr}
x_1 &=& y_1 \\
x_1 &=& y_2 + y_3 \\
x_2 &=& y_5 + y_8 \\
x_2 &=& y_4 + y_6 + y_7 + y_9
\end{array}$$

It is straightforward to verify that any 4-tuple  $(x_{i_1}, x_{i_2}, x_{i_3}, x_{i_4})$ , where  $i_1, i_2, i_3, i_4 \in [4]$ , can be retrieved by using columns indexed by some four non-intersecting sets of indices in [9]. Therefore, the code C is a  $[9, 4, 4]_2$  batch code.

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#### Theorem

Let C be an  $[M, n, m]_2$  batch code C over  $\mathbb{F}_2$ . Then, **G** is a generator matrix of the classical error-correcting  $[M, n, \geq m]_2$  code.

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#### Theorem

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#### Example

The converse is not true. For example, take  ${\bf G}$  to be a generator matrix of the classical [4,3,2]<sub>2</sub> ECC as follows:

$$\mathbf{G} = \left( \begin{array}{rrrr} 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{array} \right)$$

Let  $\mathbf{x} = (x_1, x_2, x_3)$ . Then, it is impossible to retrieve  $(x_2, x_3)$ .

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 Various well-studied properties of linear ECCs, such as MacWilliams identities, apply also to linear batch codes (for t = 1, M = N and q = 2).

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- Various well-studied properties of linear ECCs, such as MacWilliams identities, apply also to linear batch codes (for t = 1, M = N and q = 2).
- A variety of bounds on the parameters of ECCs, such as sphere-packing bound, Plotkin bound, Griesmer bound, Elias-Bassalygo bound, McEliece-Rodemich-Rumsey-Welch bound apply to the parameters of [M, n, m]<sub>2</sub> batch codes.

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# File Synchronization Problem



Before synchronization:

- User A:  $f_1$ ,  $f_2$ ,  $f_3$  and  $f_4$ .
- User B: f<sub>1</sub>, f<sub>3</sub>, f<sub>4</sub>.
- User C: f<sub>2</sub>, f<sub>3</sub>.

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# File Synchronization Problem



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User A: f<sub>1</sub>, f<sub>2</sub>, f<sub>3</sub> and f<sub>4</sub>.
User B: f<sub>1</sub>, f<sub>3</sub>, f<sub>4</sub>.
User C: f<sub>2</sub>, f<sub>3</sub>.

After synchronization:

• Users A, B, C:  $f_1$ ,  $f_2$ ,  $f_3$  and  $f_4$ .

• Mitzenmacher and Varghese '2012

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### • Mitzenmacher and Varghese '2012

#### Parameters to Consider

- Communication cost COMMUNICATION(A): the worst case number of bits sent between the devices;
- Computational complexity COMPUTATION(A): the worst case number of operations performed at each device;
- Time TIME(A): the length of the largest chain of messages in the communication protocol.

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- Communication cost COMMUNICATION(A): the worst case number of bits sent between the devices;
- Computational complexity COMPUTATION(A): the worst case number of operations performed at each device;
- Time TIME(A): the length of the largest chain of messages in the communication protocol.
- k is the total number of objects in possession of A and B;
- *d* is the number of objects possessed by only one user;
- *u* is the size of the space where the objects are taken from.

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Minsky, Trachtenberg and Zippel '2003: characteristic polynomials.
 COMMUNICATION(A) = O(d log u),

COMPUTATION $(\mathcal{A}) = O(d^3)$ , TIME $(\mathcal{A}) = O(\log k)$ 

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COMMUNICATION( $\mathcal{A}$ ) =  $O(d \log u)$ , COMPUTATION( $\mathcal{A}$ ) =  $O(d^3)$ , TIME( $\mathcal{A}$ ) =  $O(\log k)$ 

• Goodrich and Mitzenmacher '2011: invertible Bloom filters. COMMUNICATION $(\mathcal{A}) = O(d \log u)$ , COMPUTATION $(\mathcal{A}) = O(d)$ , TIME $(\mathcal{A}) = 3$ 

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- Mitzenmacher and Varghese '2012: Biff codes. COMMUNICATION $(\mathcal{A}) = O(d \log u)$ , COMPUTATION $(\mathcal{A}) = O(k \log u)$ , TIME $(\mathcal{A}) = 3$ .

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# Subspace Synchronization for Two Users

- Finite field  $\mathbb{F}$  with q elements.
- Two users *w* and *v*.
- The users own vector spaces  $U \subseteq \mathbb{F}^n$  and  $V \subseteq \mathbb{F}^n$ , respectively.
- Goal: w and v own vector space U + V.

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- Goal: w and v own vector space U + V.

### Algorithm $\mathcal{A}$

- (1) The user w draws a nonzero vector  $\mathbf{x} \in U$  randomly and uniformly and communicates it to v.
- (2) The node v checks if  $\mathbf{x} \in V$ . If not, performs

$$V \leftarrow V \oplus \langle \mathsf{x} 
angle$$
 .

- (3) Repeat (1)-(2) for  $\Theta(d)$  rounds.
- (4) Switch the roles of w and v.

With high probability, COMMUNICATION $(\mathcal{A}) = O(d \cdot n \log q)$ , COMPUTATION $(\mathcal{A}) = O(k^2 \cdot n)$ , TIME $(\mathcal{A}) = 2$ .

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With high probability, COMMUNICATION $(\mathcal{A}) = O(d \cdot n \log q)$ , COMPUTATION $(\mathcal{A}) = O(k^2 \cdot n)$ , TIME $(\mathcal{A}) = 2$ .

The scheme is easily extendable extendable to networks with many users.

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Consider a classical [n, k, d]-linear code C over the finite field  $\mathbb{F} = \mathbb{F}_q$ , such that  $n \ge 2^m$  for some integer m > 0. (For example, RS code with n + 1 = k + d). Let the  $(n - k) \times n$  parity-check matrix of C be

$$\mathcal{H} = \left[\mathbf{h}_1 \mid \mathbf{h}_2 \mid \cdots \mid \mathbf{h}_n\right],$$

 $\mathbf{h}_i$ 's are the columns of H.

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 $\mathbf{h}_i$ 's are the columns of H.

With every vector  $\mathbf{x} \in \{0, 1\}^m$  associate a unique integer index  $\phi(\mathbf{x}) \in [n]$ . If  $\mathbf{x}_1 \neq \mathbf{x}_2$ , we have  $\phi(\mathbf{x}_1) \neq \phi(\mathbf{x}_2)$ . Assume that  $O = \{\mathbf{x}_i\}_{i \in S}$  is a collection of objects for some  $S \subseteq [n]$ .

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Represent the collection O by the vector space

$$\Phi(O) \triangleq \langle \mathbf{h}_{\phi(\mathbf{x})} \rangle_{\mathbf{x} \in O}$$
.

In order to perform reconciliation of two sets of objects,  $O_1$  and  $O_2$ , the corresponding vector spaces  $V_1$  and  $V_2$  are constructed, such that  $V_i = \Phi(O_i)$  for i = 1, 2. Then the synchronization algorithm  $\mathcal{A}$  is applied to  $V_1$  and  $V_2$ .

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#### Performance

Communication(
$$\mathcal{A}$$
) =  $O(d^2m) = O(d^2\log u)$ ,  
Computation( $\mathcal{A}$ ) =  $O(d^2 \cdot u)$ .  
Time( $\mathcal{A}$ ) = 2

# Network Coding with Hashing Approach

- Denote by  $O_A = {\mathbf{x}_i \in \mathbb{F}^n}_{i \in \mathcal{X}_A}$  and  $O_B = {\mathbf{x}_i \in \mathbb{F}^n}_{i \in \mathcal{X}_B}$  the set of objects, which are unique to A and to B, respectively.
- O<sub>C</sub> = {x<sub>i</sub> ∈ ℝ<sup>n</sup>}<sub>i∈X<sub>O</sub></sub> the set of objects which are possessed by both A and B.

• Let 
$$s = |\mathcal{X}_A|$$
 and  $\tau = |\mathcal{X}_A \cup \mathcal{X}_O|$ .

- As before, let d = |X<sub>A</sub> ∪ X<sub>B</sub>| be the number of different files for A and B.
- Assume that *s*, or a tight upper bound on it, is known to both *A* and *B*.

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• User A creates s arbitrary linear combinations of the form

$$\mathbf{y}_j = \sum_{i \in \mathcal{X}_A \cup \mathcal{X}_O} \alpha_{j,i} \mathbf{x}_i \ , \ j \in [s] \ ,$$

- The protocol uses a hash function *H* : 𝔽<sup>n</sup> → 𝔣, where 𝗏 is the finite set of possible keys.
- User A applies H to x<sub>i</sub> for all i ∈ X<sub>A</sub> ∪ X<sub>O</sub> to produce hash values H(x<sub>i</sub>) for all i.
- These values are transmitted to *B*.

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$$\mathbf{y}_j = \sum_{i \in \mathcal{X}_A \cup \mathcal{X}_O} \alpha_{j,i} \mathbf{x}_i \ , \ j \in [s] \ ,$$

- The protocol uses a hash function  $\mathcal{H} : \mathbb{F}^n \to \mathbb{K}$ , where  $\mathbb{K}$  is the finite set of possible keys.
- User A applies H to x<sub>i</sub> for all i ∈ X<sub>A</sub> ∪ X<sub>O</sub> to produce hash values H(x<sub>i</sub>) for all i.
- These values are transmitted to *B*.
- A transmits to B the following data:
  - the header **h**, which contains the sorted list of values  $\mathcal{H}(\mathbf{x}_i)$ ,  $i \in \mathcal{X}_A \cup \mathcal{X}_O$ ;
  - for all  $j \in [s]$ , the vector pairs  $(\alpha_j, \mathbf{y}_j)$ .

# User A (cont.)

Let **X** be a  $\tau \times n$  matrix over  $\mathbb{F}$ , whose rows are all vectors  $\mathbf{x}_i$  indexed by  $[\tau]$ . Similarly, let **Y** be a  $s \times n$  matrix, whose rows are vectors  $\mathbf{y}_i$  for all  $i \in [s]$ . Denote

$$\mathbf{A} = \begin{pmatrix} \alpha_{1,1} & \alpha_{1,2} & \cdots & \alpha_{1,\tau} \\ \alpha_{2,1} & \alpha_{2,2} & \cdots & \alpha_{2,\tau} \\ \vdots & \vdots & \ddots & \vdots \\ \alpha_{s,1} & \alpha_{s,2} & \cdots & \alpha_{s,\tau} \end{pmatrix}$$

The transmitted vector pairs can be viewed as the rows of the matrix

$$\mathbf{A} \cdot [\mathbf{I}_{\tau} \mid \mathbf{X}] = [\mathbf{A} \mid \mathbf{Y}] ,$$

where

$$\mathbf{X} = \begin{bmatrix} \mathbf{x}_1 \\ \mathbf{x}_2 \\ \vdots \\ \mathbf{x}_{\tau} \end{bmatrix} \text{ and } \mathbf{Y} = \mathbf{A}\mathbf{X} = \begin{bmatrix} \mathbf{y}_1 \\ \mathbf{y}_2 \\ \vdots \\ \mathbf{y}_s \end{bmatrix} .$$
Vitaly Skachek Problems in DSS

# User B

- Compute values of the function *H* applied to the vectors in its possession. By comparing these values to the values in the header **h**, it finds the indices corresponding to elements in *X*<sub>0</sub>.
- ② For each j ∈ [s], subtract vectors ∑<sub>i∈X₀</sub> α<sub>j,i</sub>x<sub>i</sub> from y<sub>j</sub>. Compute the resulting matrix with s rows:

$$\left[ \left. \tilde{\mathbf{A}} \right| \left. \tilde{\mathbf{Y}} \right] \right.$$

where rows of  $\tilde{\boldsymbol{Y}}$  are the vectors

$$\tilde{\mathbf{y}}_j = \mathbf{y}_j - \sum_{i \in \mathcal{X}_O} \alpha_{j,i} \mathbf{x}_i \; ,$$

and  $\tilde{\mathbf{A}}$  is an invertible  $s \times s$  matrix obtained from  $\mathbf{A}$  by removing the columns corresponding to the vectors indexed by  $\mathcal{X}_{O}$ .

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Compute the matrix

$$\left[ \; \textbf{I} \mid \tilde{\textbf{A}}^{-1}\tilde{\textbf{Y}} \; \right] = \left[ \; \textbf{I} \mid \tilde{\textbf{X}} \; \right] \; , \label{eq:constraint}$$

where, if there are no hashing collisions,  $\tilde{\mathbf{X}}$  is exactly the matrix  $\mathbf{X}$  having rows corresponding to the vectors indexed by  $\mathcal{X}_A$ .

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#### Peformance

COMMUNICATION( $\mathcal{A}$ ) =  $O(d \cdot n \log q)$ COMPUTATION( $\mathcal{A}$ ) =  $O(k^2 \cdot n)$ If *s* is known, then TIME( $\mathcal{A}$ ) = 2. If *s* is not known, then TIME( $\mathcal{A}$ ) = 3.

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# Using a Pool of Hash Functions

- Large pool of different hash functions (known to both users).
- In each round, the hash function is selected randomly from the pool.
- User A sends to B the ID number of the selected hash function.

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Assume a collection  $\mathbb{S}$  of k different files in  $\{0,1\}^n$ . Let  $\mathbb{H}$  be a set of all functions  $\mathcal{H}: \{0,1\}^n \to \mathbb{K}$ , where  $\mathbb{K}$  is the set of all possible keys. Assume that  $k \ll |\mathbb{K}| \ll 2^n$ .

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#### Theorem

If  $\mathbb{K}$  is selected such that  $|\mathbb{K}| > c \cdot (k-1)^2$  for some large constant c > 0, then the probability of success is at least  $e^{-1/c}$ .

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Questions?

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