# On some data processing problems arising in the distributed storage systems 

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## Distributed Storage Systems

- Enormous amounts of data are stored in a huge number of servers.
- Occasionally servers fail.
- Failed server is replaced and the data has to be copied to the new server.


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## Example: EvenOdd Code

In the context of disk storage: [Blaum, Brady, Bruck, Menon 1995].

Example

$$
\begin{array}{l||l||l||l}
X_{1} & Y_{1} & X_{1}+Y_{1} & X_{1}+Y_{2} \\
X_{2} & Y_{2} & X_{2}+Y_{2} & X_{2}+Y_{1}
\end{array}
$$

All the information can be recovered by using any two out of four nodes.

- Exact repair
- Functional repair
- Exact repair of the systematic part


## Functional Repair

- The number of information blocks: $M$
- The number of information nodes: $n$
- The total number of active nodes: $N$
- Number of stored bits per node: $\alpha$
- Maximal number of nodes used in repair: $m$
- Number of bits read from each node: $t$
- Total repair bandwidth: $\gamma=m \cdot t$.


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\end{array}
$$

Here: $N=4, n=2, M=4, m=2, t=2$ blocks, $\gamma=4$ blocks.

## Fundamental Trade-off

[Dimakis, Godfrey, Wu, Wainwright, Ramchandran 2008]

## Theorem

The following point is feasible:

$$
\alpha \geq\left\{\begin{array}{cl}
\frac{M}{n} & \gamma \in[f(0),+\infty) \\
\frac{M-g(i) \gamma}{n-i} & \gamma \in[f(i), f(i-1))
\end{array}\right.
$$

where

$$
\begin{aligned}
f(i) & \triangleq \frac{2 M m}{(2 n-i-1) i+2 n(m-n+1)} \\
g(i) & \triangleq \frac{(2 m-2 n+i+1) i}{2 m}
\end{aligned}
$$

and $m<N-1$.

## Special Cases

- MSR: Minimum storage regenerating codes.
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## MBR codes

$$
(\alpha, \gamma)=\left(\frac{M}{n} \cdot \frac{2 N-2}{2 N-n-1}, \frac{M}{n} \cdot \frac{2 N-2}{2 N-n-1}\right)
$$

## Code Locality

[Gopalan, Huang, Simitci, Yekhanin 2012]

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Definition
Let $[n, k, d]_{q}$ be a linear code $\mathcal{C}$ over $\mathbb{F}_{q}$. We say that the $\mathcal{C}$ has locality $r$, if the value of any symbol in $\mathcal{C}$ can be recovered by accessing some $r$ other coordinates of $\mathcal{C}$.

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## Bound

The following connection holds:

$$
n-k \geq\left\lceil\frac{k}{r}\right\rceil+d-2
$$

The Pyramid codes are shown to achieve this bound.

## Code Availability

[Rawat, Papailiopoulos, Dimakis, Vishwanath 2014]

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## Bound

The following connection holds:

$$
n-k \geq\left\lceil\frac{k s}{r}\right\rceil+d-s-2
$$

There are explicit constructions of codes that achieve this bound for a variety of parameters.

## Batch Codes

- Proposed in [Ishai, Kushilevitz, Ostrovsky, Sahai 2004].
- Can be used in:
- Load balancing.
- Private information retrieval.
- Distributed storage systems.


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- Load balancing.
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Constructions:

- [Ishai et al. 2004]: algebraic, expander graphs, subsets, RM codes, locally-decodable codes


## Prior Art

Design-based constructions and bounds:

- [Stinson, Wei, Paterson 2009]
- [Brualdi, Kiernan, Meyer, Schroeder 2010]
- [Bujtas, Tuza 2011]
- [Bhattacharya, Ruj, Roy 2012]
- [Silberstein, Gal 2013]


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Application to distributed storage:

- [Rawat, Papailiopoulos, Dimakis, Vishwanath 2014]
- [Silberstein 2014]


## Batch Codes

## Definition [Ishai et al. 2004]

$\mathcal{C}$ is an $(n, N, m, M, t)_{\Sigma}$ batch code over $\Sigma$ if it encodes any string $\mathbf{x}=\left(x_{1}, x_{2}, \cdots, x_{n}\right) \in \Sigma^{n}$ into $M$ strings (buckets) of total length $N$ over $\Sigma$, namely $\mathbf{y}_{1}, \mathbf{y}_{2}, \cdots, \mathbf{y}_{M}$, such that for each $m$-tuple (batch) of (not neccessarily distinct) indices $i_{1}, i_{2}, \cdots, i_{m} \in[n]$, the symbols $x_{i_{1}}, x_{i_{2}}, \cdots, x_{i_{m}}$ can be retrieved by $m$ users, respectively, by reading $\leq t$ symbols from each bucket, such that $x_{i_{\ell}}$ is recovered from the symbols read by the $\ell$-th user alone.

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## Definition

If $t=1$, then we use notation $(n, N, m, M)_{\Sigma}$ for it. Only one symbol is read from each bucket.

## Batch Codes (cont.)

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In what follows, consider linear codes with $t=1$ and $N=M$ : each encoded bucket contains just one symbol in $\mathbb{F}_{q}$.

## Linear Batch Codes: Our Settings

For simplicity we refer to a linear $(n, N=M, m, M)_{q}$ batch code as $[M, n, m]_{q}$ batch code.

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- Let $\mathbf{x}=\left(x_{1}, x_{2}, \cdots, x_{n}\right)$ be an information string.
- Let $\mathbf{y}=\left(y_{1}, y_{2}, \cdots, y_{M}\right)$ be an encoding of $\mathbf{x}$.
- Each encoded symbol $y_{i}, i \in[M]$, is written as $y_{i}=\sum_{j=1}^{n} g_{j, i} x_{j}$
- Form the matrix $\mathbf{G}$ :

$$
\mathbf{G}=\left(g_{j, i}\right)_{j \in[n], i \in[M]} ;
$$

the encoding is $\mathbf{y}=\mathbf{x G}$.

## Code Comparison

Locally repairable codes, codes with locality.


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Locally repairable codes, codes with locality.


## Code Comparison

Codes with locality and availability.


## Code Comparison

Batch codes.


## Retrieval

## Theorem

Let $\mathcal{C}$ be an $[M, n, m]_{q}$ batch code. It is possible to retrieve $x_{i_{1}}, x_{i_{2}}, \cdots, x_{i_{m}}$ simultaneously if and only if there exist $m$ non-intersecting sets $T_{1}, T_{2}, \cdots, T_{m}$ of indices of columns in $\mathbf{G}$, and for $T_{r}$ there exists a linear combination of columns of $\mathbf{G}$ indexed by that set, which equals to the column vector $\mathbf{e}_{i_{r}}^{T}$, for all $r \in[m]$.

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## Example

[Ishai et al. 2004] Consider the following linear binary batch code $\mathcal{C}$ whose $4 \times 9$ generator matrix is given by

$$
\mathbf{G}=\left(\begin{array}{lllllllll}
1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 \\
0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 \\
0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 \\
0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1
\end{array}\right)
$$

## Retrieval (cont.)

## Example

Let $\mathbf{x}=\left(x_{1}, x_{2}, x_{3}, x_{4}\right), \mathbf{y}=\mathbf{x G}$.
Assume that we want to retrieve the values of $\left(x_{1}, x_{1}, x_{2}, x_{2}\right)$. We can retrieve $\left(x_{1}, x_{1}, x_{2}, x_{2}\right)$ from the following set of equations:

$$
\left\{\begin{array}{l}
x_{1}=y_{1} \\
x_{1}=y_{2}+y_{3} \\
x_{2}=y_{5}+y_{8} \\
x_{2}=y_{4}+y_{6}+y_{7}+y_{9}
\end{array}\right.
$$

It is straightforward to verify that any 4-tuple $\left(x_{i_{1}}, x_{i_{2}}, x_{i_{3}}, x_{i_{4}}\right)$, where $i_{1}, i_{2}, i_{3}, i_{4} \in[4]$, can be retrieved by using columns indexed by some four non-intersecting sets of indices in [9]. Therefore, the code $\mathcal{C}$ is a $[9,4,4]_{2}$ batch code.

## Properties of Linear Batch Codes

Theorem
Let $\mathcal{C}$ be an $[M, n, m]_{2}$ batch code $\mathcal{C}$ over $\mathbb{F}_{2}$. Then, $\mathbf{G}$ is a generator matrix of the classical error-correcting $[M, n, \geq m]_{2}$ code.

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## Example

The converse is not true. For example, take $\mathbf{G}$ to be a generator matrix of the classical $[4,3,2]_{2}$ ECC as follows:

$$
\mathbf{G}=\left(\begin{array}{llll}
1 & 1 & 1 & 1 \\
0 & 1 & 0 & 1 \\
0 & 0 & 1 & 1
\end{array}\right)
$$

Let $\mathbf{x}=\left(x_{1}, x_{2}, x_{3}\right)$. Then, it is impossible to retrieve $\left(x_{2}, x_{3}\right)$.

## Bounds on the Parameters

- Various well-studied properties of linear ECCs, such as MacWilliams identities, apply also to linear batch codes (for $t=1, M=N$ and $q=2$ ).


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- A variety of bounds on the parameters of ECCs, such as sphere-packing bound, Plotkin bound, Griesmer bound, Elias-Bassalygo bound, McEliece-Rodemich-Rumsey-Welch bound apply to the parameters of $[M, n, m]_{2}$ batch codes.


## File Synchronization Problem



Before synchronization:

- User $A: f_{1}, f_{2}, f_{3}$ and $f_{4}$.
- User $B: f_{1}, f_{3}, f_{4}$.
- User C: $f_{2}, f_{3}$.


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$\square$
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- User $A: f_{1}, f_{2}, f_{3}$ and $f_{4}$.
- User $B: f_{1}, f_{3}, f_{4}$.
- User C: $f_{2}, f_{3}$.

After synchronization:

- Users $A, B, C: f_{1}, f_{2}, f_{3}$ and $f_{4}$.
- Mitzenmacher and Varghese '2012


## Prior Art

- Mitzenmacher and Varghese '2012


## Parameters to Consider

- Communication cost Communication $(\mathcal{A})$ : the worst case number of bits sent between the devices;
- Computational complexity Computation $(\mathcal{A})$ : the worst case number of operations performed at each device;
- Time $\operatorname{Time}(\mathcal{A})$ : the length of the largest chain of messages in the communication protocol.


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- $k$ is the total number of objects in possession of $A$ and $B$;
- $d$ is the number of objects possessed by only one user;
- $u$ is the size of the space where the objects are taken from.
- Minsky, Trachtenberg and Zippel '2003: characteristic polynomials.
$\operatorname{Communication}(\mathcal{A})=O(d \log u)$,
$\operatorname{Computation}(\mathcal{A})=O\left(d^{3}\right)$,
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with high probability.


## Subspace Synchronization for Two Users

- Finite field $\mathbb{F}$ with $q$ elements.
- Two users $w$ and $v$.
- The users own vector spaces $U \subseteq \mathbb{F}^{n}$ and $V \subseteq \mathbb{F}^{n}$, respectively.
- Goal: $w$ and $v$ own vector space $U+V$.


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## Algorithm $\mathcal{A}$

(1) The user $w$ draws a nonzero vector $\mathbf{x} \in U$ randomly and uniformly and communicates it to $v$.
(2) The node $v$ checks if $\mathbf{x} \in V$. If not, performs

$$
V \leftarrow V \oplus\langle\mathbf{x}\rangle
$$

(3) Repeat (1)-(2) for $\Theta(d)$ rounds.
(4) Switch the roles of $w$ and $v$.

## Subspace Synchronization for Two Users (cont.)

With high probability,
$\operatorname{Communication}(\mathcal{A})=O(d \cdot n \log q)$,
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## Subspace Synchronization for Two Users (cont.)

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The scheme is easily extendable extendable to networks with many users.

## Using Reed-Solomon Codes

Consider a classical $[\mathrm{n}, \mathrm{k}, \mathrm{d}]$-linear code $\mathcal{C}$ over the finite field $\mathbb{F}=\mathbb{F}_{q}$, such that $\mathrm{n} \geq 2^{m}$ for some integer $m>0$. (For example, RS code with $n+1=k+d)$. Let the ( $n-k) \times n$ parity-check matrix of $\mathcal{C}$ be

$$
H=\left[\mathbf{h}_{1}\left|\mathbf{h}_{2}\right| \cdots \mid \mathbf{h}_{\mathrm{n}}\right],
$$

$\mathbf{h}_{i}$ 's are the columns of $H$.

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$\mathbf{h}_{i}$ 's are the columns of $H$.
With every vector $\mathbf{x} \in\{0,1\}^{m}$ associate a unique integer index $\phi(\mathbf{x}) \in[\mathrm{n}]$. If $\mathbf{x}_{1} \neq \mathbf{x}_{2}$, we have $\phi\left(\mathbf{x}_{1}\right) \neq \phi\left(\mathbf{x}_{2}\right)$. Assume that $O=\left\{\mathbf{x}_{i}\right\}_{i \in S}$ is a collection of objects for some $S \subseteq[\mathrm{n}]$.

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Represent the collection $O$ by the vector space

$$
\Phi(O) \triangleq\left\langle\mathbf{h}_{\phi(\mathbf{x})}\right\rangle_{\mathbf{x} \in O}
$$

## Using Reed-Solomon Codes and Subspaces

In order to perform reconciliation of two sets of objects, $O_{1}$ and $O_{2}$, the corresponding vector spaces $V_{1}$ and $V_{2}$ are constructed, such that $V_{i}=\Phi\left(O_{i}\right)$ for $i=1,2$. Then the synchronization algorithm $\mathcal{A}$ is applied to $V_{1}$ and $V_{2}$.

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## Performance

$\operatorname{Communication}(\mathcal{A})=O\left(\mathrm{~d}^{2} m\right)=O\left(\mathrm{~d}^{2} \log u\right)$,
$\operatorname{Computation}(\mathcal{A})=O\left(\mathrm{~d}^{2} \cdot u\right)$.
$\operatorname{Time}(\mathcal{A})=2$

## Network Coding with Hashing Approach

- Denote by $O_{A}=\left\{\mathbf{x}_{i} \in \mathbb{F}^{n}\right\}_{i \in \mathcal{X}_{A}}$ and $O_{B}=\left\{\mathbf{x}_{i} \in \mathbb{F}^{n}\right\}_{i \in \mathcal{X}_{B}}$ the set of objects, which are unique to $A$ and to $B$, respectively.
- $O_{C}=\left\{\mathbf{x}_{i} \in \mathbb{F}^{n}\right\}_{i \in \mathcal{X}_{O}}$ the set of objects which are possessed by both $A$ and $B$.
- Let $s=\left|\mathcal{X}_{A}\right|$ and $\tau=\left|\mathcal{X}_{A} \cup \mathcal{X}_{O}\right|$.
- As before, let $d=\left|\mathcal{X}_{A} \cup \mathcal{X}_{B}\right|$ be the number of different files for $A$ and $B$.
- Assume that $s$, or a tight upper bound on it, is known to both $A$ and $B$.
- User $A$ creates $s$ arbitrary linear combinations of the form

$$
\mathbf{y}_{j}=\sum_{i \in \mathcal{X}_{A} \cup \mathcal{X}_{O}} \alpha_{j, i} \mathbf{x}_{i}, j \in[s],
$$

- The protocol uses a hash function $\mathcal{H}: \mathbb{F}^{n} \rightarrow \mathbb{K}$, where $\mathbb{K}$ is the finite set of possible keys.
- User $A$ applies $\mathcal{H}$ to $\mathbf{x}_{i}$ for all $i \in \mathcal{X}_{A} \cup \mathcal{X}_{O}$ to produce hash values $\mathcal{H}\left(\mathbf{x}_{i}\right)$ for all $i$.
- These values are transmitted to $B$.
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$A$ transmits to $B$ the following data:
- the header $\mathbf{h}$, which contains the sorted list of values $\mathcal{H}\left(\mathbf{x}_{i}\right)$, $i \in \mathcal{X}_{A} \cup \mathcal{X}_{O}$;
- for all $j \in[s]$, the vector pairs $\left(\boldsymbol{\alpha}_{j}, \mathbf{y}_{j}\right)$.


## User A（cont．）

Let $\mathbf{X}$ be a $\tau \times n$ matrix over $\mathbb{F}$ ，whose rows are all vectors $\mathbf{x}_{i}$ indexed by $[\tau]$ ．Similarly，let $\mathbf{Y}$ be a $s \times n$ matrix，whose rows are vectors $\mathbf{y}_{i}$ for all $i \in[s]$ ．Denote

$$
\mathbf{A}=\left(\begin{array}{cccc}
\alpha_{1,1} & \alpha_{1,2} & \cdots & \alpha_{1, \tau} \\
\alpha_{2,1} & \alpha_{2,2} & \cdots & \alpha_{2, \tau} \\
\vdots & \vdots & \ddots & \vdots \\
\alpha_{s, 1} & \alpha_{s, 2} & \cdots & \alpha_{s, \tau}
\end{array}\right)
$$

The transmitted vector pairs can be viewed as the rows of the matrix

$$
\mathbf{A} \cdot\left[\mathbf{I}_{\tau} \mid \mathbf{X}\right]=[\mathbf{A} \mid \mathbf{Y}],
$$

where

$$
\mathbf{X}=\left[\begin{array}{c}
\mathbf{x}_{1} \\
\mathbf{x}_{2} \\
\vdots \\
\mathbf{x}_{\tau}
\end{array}\right] \quad \text { and } \quad \mathbf{Y}=\mathbf{A} \mathbf{X}=\left[\begin{array}{c}
\mathbf{y}_{1} \\
\mathbf{y}_{2} \\
\vdots \\
\mathbf{y}_{s}
\end{array}\right]
$$

(1) Compute values of the function $\mathcal{H}$ applied to the vectors in its possession. By comparing these values to the values in the header $\mathbf{h}$, it finds the indices corresponding to elements in $\mathcal{X}_{O}$.
(2) For each $j \in[s]$, subtract vectors $\sum_{i \in \mathcal{X}_{O}} \alpha_{j, i} \mathbf{x}_{i}$ from $\mathbf{y}_{j}$. Compute the resulting matrix with $s$ rows:

$$
[\tilde{\mathbf{A}} \mid \tilde{\mathbf{Y}}]
$$

where rows of $\tilde{\mathbf{Y}}$ are the vectors

$$
\tilde{\mathbf{y}}_{j}=\mathbf{y}_{j}-\sum_{i \in \mathcal{X}_{O}} \alpha_{j, i} \mathbf{x}_{i},
$$

and $\tilde{\mathbf{A}}$ is an invertible $s \times s$ matrix obtained from $\mathbf{A}$ by removing the columns corresponding to the vectors indexed by $\mathcal{X}_{0}$.

## User $B$ (cont.)

Compute the matrix

$$
\left[\mathbf{I} \mid \tilde{\mathbf{A}}^{-1} \tilde{\mathbf{Y}}\right]=[\mathbf{I} \mid \tilde{\mathbf{X}}]
$$

where, if there are no hashing collisions, $\tilde{\mathbf{X}}$ is exactly the matrix $\mathbf{X}$ having rows corresponding to the vectors indexed by $\mathcal{X}_{A}$.

## User $B$ (cont.)

Compute the matrix

$$
\left[\mathbf{I} \mid \tilde{\mathbf{A}}^{-1} \tilde{\mathbf{Y}}\right]=[\mathbf{I} \mid \tilde{\mathbf{X}}]
$$

where, if there are no hashing collisions, $\tilde{\mathbf{X}}$ is exactly the matrix $\mathbf{X}$ having rows corresponding to the vectors indexed by $\mathcal{X}_{A}$.

## Peformance

$\operatorname{Communication}(\mathcal{A})=O(d \cdot n \log q)$
$\operatorname{Computation}(\mathcal{A})=O\left(k^{2} \cdot n\right)$
If $s$ is known, then $\operatorname{Time}(\mathcal{A})=2$. If $s$ is not known, then $\operatorname{Time}(\mathcal{A})=3$.

## Using a Pool of Hash Functions

- Large pool of different hash functions (known to both users).
- In each round, the hash function is selected randomly from the pool.
- User $A$ sends to $B$ the ID number of the selected hash function.


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Assume a collection $\mathbb{S}$ of $k$ different files in $\{0,1\}^{n}$. Let $\mathbb{H}$ be a set of all functions $\mathcal{H}:\{0,1\}^{n} \rightarrow \mathbb{K}$, where $\mathbb{K}$ is the set of all possible keys. Assume that $k \ll|\mathbb{K}| \ll 2^{n}$.

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## Theorem

If $\mathbb{K}$ is selected such that $|\mathbb{K}|>c \cdot(k-1)^{2}$ for some large constant $c>0$, then the probability of success is at least $e^{-1 / c}$.

Questions?

