

**Universal Composability**  
alias  
**Reactive Simulatability**

# Recap: secure MPC

We have seen:

- 2-party, computational, semi-honest, constant-round.
- 2- or  $n$ -party, computational, semi-honest( $< n$ ), linear-round.
- $n$ -party, unconditional, semi-honest( $< n/2$ ), linear-round.
- $n$ -party, computational, malicious( $< n/2$ ), constant-round.
- $n$ -party, unconditional, malicious( $< n/3$ ), linear-round.
  - ◆ Possible to have less than  $n/2$  malicious parties, using ZK-techniques to convince other parties that you behave as prescribed.
  - ◆ Has exponentially small probability of failure.

# What we have not seen

- Secure MPC with malicious majority ( $\geq n/2$  malicious parties)
  - ◆ Possible only in the computational setting
  - ◆ In the beginning, commit to your randomness. During computation, prove (in ZK) that you are using the committed randomness.
  - ◆ Malicious parties can interrupt the protocol.
- Asynchronous MPC
  - ◆ All messages arbitrarily delayed, but eventually delivered.
    - The delays are not controlled by the adversary.
  - ◆ No difference in semi-honest case.
  - ◆ With fail-stop adversary need  $< n/3$  corrupted parties.
  - ◆ With malicious adversary need  $< n/4$  corrupted parties.
    - ...with unconditional security.

# On security definitions

- Real vs. ideal functionality...
- The ideal functionality for computing the function  $f$  with  $n$  inputs and outputs:
  - ◆ Parties  $P_1, \dots, P_n$  hand their inputs  $x_1, \dots, x_n$  over to the functionality.
  - ◆ The ideal functionality computes  $(y_1, \dots, y_n) = f(x_1, \dots, x_n)$ .
    - ...tossing coins if  $f$  is randomized.
  - ◆ The ideal functionality sends  $y_i$  to  $P_i$ .

# Ideal functionality $MPC_n^{\text{Ideal}}$

- Has  $n$  input ports and  $n$  output ports.
- Initial state:  $x_1, \dots, x_n$  are undefined.
- On input (input,  $v$ ) from port  $in_i$ ?:
  - ◆ If  $x_i$  is defined, then do nothing.
  - ◆ If  $x_i$  is not defined, then set  $x_i := v$ .
- If  $x_1, \dots, x_n$  are all defined then compute  $(y_1, \dots, y_n)$ .
- For all  $i$ , write  $y_i$  to port  $out_i$ !

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How do we run it (connections, scheduling)? What it means for a party to be corrupted?

# Real functionality $MPC_n^{\text{Real}}$

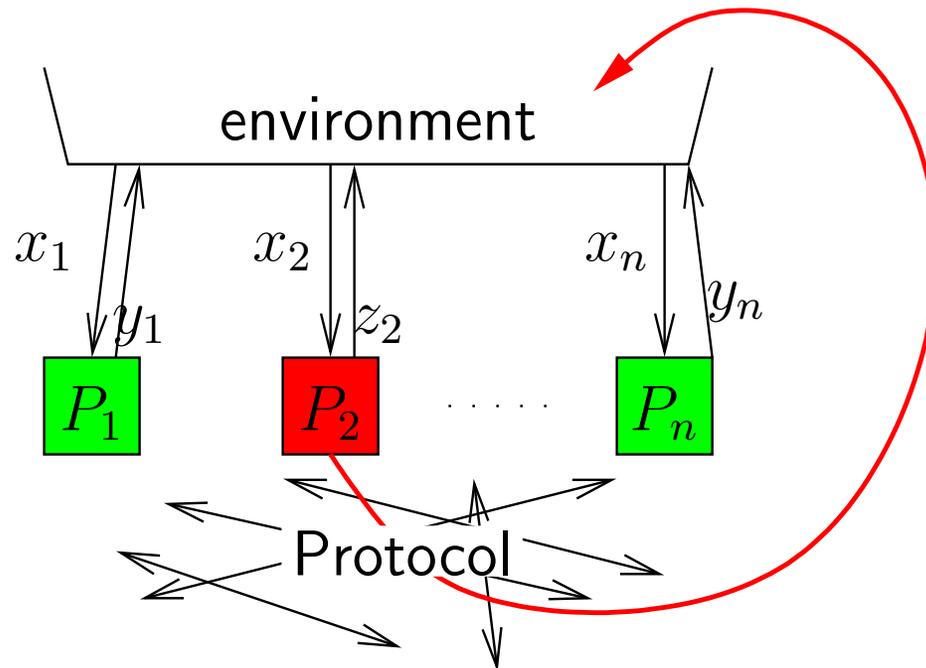
- Conceptually made up of  $n$  identical machines  $P_i$ .
  - ◆ Has ports  $in_i?$ ,  $out_i!$ , network ports...
- Initialization:  $P_i$  learns his name  $i$ .
- On input (input,  $v$ ) from port  $in_i?$  put  $x_i := v$  and start executing the MPC protocol...
- If the protocol has finished execution then write  $y_i$  to  $out_i!$ .

# Real functionality $MPC_n^{\text{Real}}$

- Conceptually made up of  $n$  identical machines  $P_i$ .
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- Initialization:  $P_i$  learns his name  $i$ .
- On input (input,  $v$ ) from port  $in_i?$  put  $x_i := v$  and start executing the MPC protocol...
- If the protocol has finished execution then write  $y_i$  to  $out_i!$ .
  
- Cannot speak about the indistinguishability of  $MPC^{\text{Ideal}}$  and  $MPC^{\text{Real}}$  because the set of ports is different.
  - ◆ We have to simulate something...

# Reactive functionalities

- $MPC^{\text{ideal}}$  worked like this:
  - ◆ Get the inputs
  - ◆ Give the outputs
- $MPC^{\text{ideal}}$  is **non-reactive**.
- A reactive functionality gets some inputs, produces some outputs, gets some more inputs, produces some more outputs, etc.
  - ◆ Example: secure channel from  $A$  to  $B$ .
- Further inputs may depend on the previous outputs.
  - ◆ Or on the messages sent during the processing of previous inputs.



# Probabilistic I/O automata

A PIOA  $M$  has

- The set of possible states  $Q^M$ ;
- The initial state  $q_0^M \in Q^M$  and final states  $Q_F^M \subseteq Q^M$ ;
- The sets of **ports**:
  - ◆ **input ports**  $\mathbf{IPorts}^M$ ,
  - ◆ **output ports**  $\mathbf{OPorts}^M$ ,
  - ◆ **clocking ports**  $\mathbf{CPorts}^M$ ;
- A **probabilistic** transition function  $\delta^M$ :
  - ◆ domain:  $Q^M \times \mathbf{IPorts}^M \times \{0, 1\}^*$ ;
  - ◆ range:  $Q^M \times (\mathbf{OPorts}^M \rightarrow (\{0, 1\}^*)^*) \times (\mathbf{CPorts}^M \cup \{\perp\})$... in our examples implemented by a PPT algorithm.
  - ◆  $Q^M$ ,  $Q_F^M$  and  $q_0^M$  may (uniformly) depend on the security parameter.

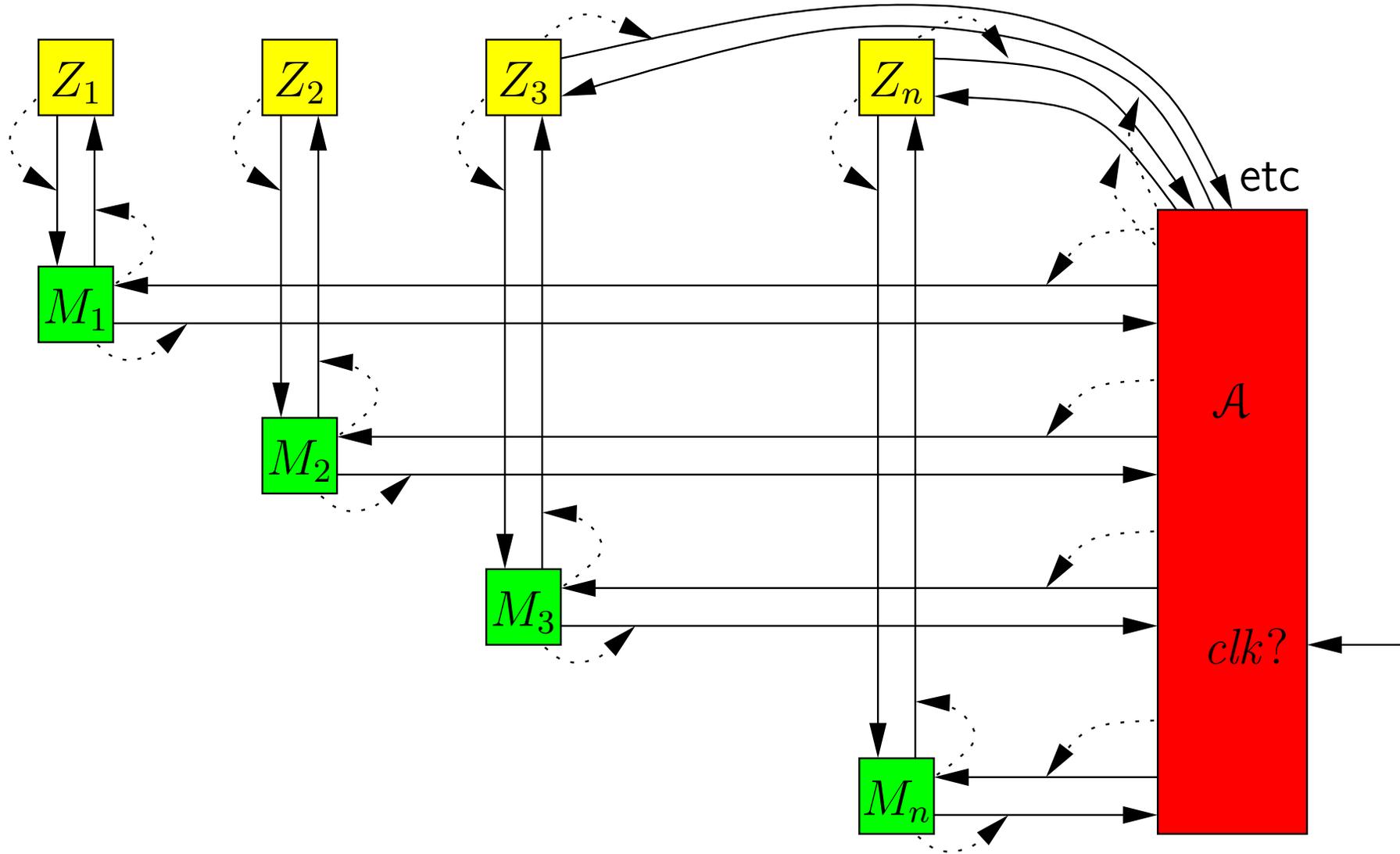
# A transition of a PIOA

- The type of  $\delta^M$  tells us some things about an execution step of a PIOA:
  - ◆ Input: one message from one of the input ports.
  - ◆ Output: a list of messages for each of the output ports.
  - ◆ Also output: a choice of zero or one clocking ports.
- The internal state may change, too.

# Channels and collections

- A set **Chans** of **channel names** is given.
- There is a distinguished  $clk \in \mathbf{Chans}$ , representing **global clock**.
- For a channel  $c$ , its input, output and clocking ports are  $c?$ ,  $c!$  and  $c^\triangleleft!$ .
- A **closed collection**  $C$  is a set of PIOAs, such that
  - ◆ no port is repeated;
  - ◆ For each  $c \in \mathbf{Chans} \setminus \{clk\}$  occurring in  $C$ : the ports  $c?$ ,  $c!$  and  $c^\triangleleft!$  are all present.
  - ◆  $clk?$  is present.  $clk!$  and  $clk^\triangleleft!$  are not present.
- A **collection**  $C$  is a set of PIOAs that can be extended to a closed collection.
  - ◆ Let  $\text{freeports}(C)$  be the set of ports that the machines in  $C'$  certainly must have for  $C \cup C'$  to be a closed collection.

# Example closed collection



# Internal state of a closed collection

The state of a closed collection  $C$  consists of

- the states of all PIOA-s in  $C$ ;
  - ◆ Initially  $q_0^M$  for all  $M \in C$ .
- the **message queues** of all channels  $c$  in  $C$ ;
  - ◆ I.e. sequences of (still undelivered) messages.
  - ◆ Initially the empty queues for all  $c \in C$ .
- the currently running PIOA  $M$ , its input message  $v$  and channel  $c$ .
  - ◆ Initially  $X$ ,  $\varepsilon$  and  $clk$ , where  $X$  is the machine with the port  $clk$ ?

# Execution step of a closed collection

- Invoke the transition function of  $M$  with message  $v$  on input port  $c$ ?.
  - ◆ Update the internal state of  $M$ .
  - ◆ If  $(v_1, \dots, v_k)$  was written to port  $c'$ ! then append  $v_1, \dots, v_k$  to the end of the message queue of  $c'$ .
- If  $M$  is  $X$  and it reached the final state then stop the execution.
- Otherwise, if  $M$  picked a clock port  $c'$ ! and the queue of  $c'$  is not empty, then define the new  $(M, v, c)$ :
  - ◆  $c$  is  $c'$ ;
  - ◆  $v$  is the first message in the queue of  $c'$ , which is removed from the queue;
  - ◆  $M$  is the machine with the port  $c'$ ?.
- Otherwise set  $(M, v, c) := (X, \varepsilon, clk)$ .

# Trace of the execution

Each execution step adds a tuple consisting of

- the machine that made the step;
- the incoming message and the channel;
- the random coins that were generated and the new state and messages that were produced.

to the end of the trace so far.

The **semantics** of a closed collection is a probability distribution over traces (for a given security parameter).

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The **semantics** of a closed collection is a probability distribution over traces (for a given security parameter).

Given trace  $tr$  and a set of machines  $\mathcal{M}$ , the **restriction** of the trace  $tr|_{\mathcal{M}}$  consists of only those tuples where the machine belongs to  $\mathcal{M}$ .

# Combining PIOAs

The **combination** of PIOAs  $M_1, \dots, M_k$  is a PIOA  $M$  with

- the state space  $Q^M = Q^{M_1} \times \dots \times Q^{M_k}$ ;
- initial state  $q_0^M = (q_0^{M_1}, \dots, q_0^{M_k})$ ;
- final states  $Q_F^M = \bigcup_i Q^{M_1} \times \dots \times Q^{M_{i-1}} \times Q_F^{M_i} \times Q^{M_{i+1}} \times \dots \times Q^{M_k}$ ;
- ports  $\mathbf{XPorts}^M = \bigcup_i \mathbf{XPorts}^{M_i}$  with  $\mathbf{X} \in \{\mathbf{I}, \mathbf{O}, \mathbf{C}\}$ ;
- Transition function  $\delta^M$ , where  $\delta^M((q_1, \dots, q_k), c?, v)$  is evaluated by
  - ◆ Let  $i$  be such that  $c? \in \mathbf{IPorts}^{M_i}$ .
  - ◆ Evaluate  $(q'_i, f_i, p) \leftarrow \delta^{M_i}(q_i, c?, v)$ .
  - ◆ Output  $((q_1, \dots, q_{i-1}, q'_i, q_{i+1}, \dots, q_k), f, p)$ , where

$$f(c'!) = \begin{cases} f'(c'!), & \text{if } c'! \in \mathbf{OPorts}^{M_i} \\ \varepsilon, & \text{otherwise.} \end{cases}$$

**Exercise.** How does the semantics of a closed collection change if we replace certain machines in this collection with their combination?

# Security-oriented structures

- A **structure** consists of
  - ◆ a collection  $C$ ;
  - ◆ a set of ports  $S \subseteq \text{freeports}(C)$ .
    - $C$  offers the **intended service** on  $S$ .
    - The ports  $\text{freeports}(C) \setminus S$  are for the adversary.
- A **system** is a set of structures.
- A **configuration** consists of a structure  $(C, S)$  and two PIOA-s  $H$  and  $A$ , such that
  - ◆  $H$  has no ports in  $\text{freeports}(C) \setminus S$ ,
  - ◆  $C \cup \{H, A\}$  is a closed collection.
- Let  $\text{Confs}(C, S)$  be the set of pairs  $(H, A)$ , such that  $(C, S, H, A)$  is a configuration.

**Exercise.** What parts of  $(C, S)$  determine  $\text{Confs}(C, S)$ ?

# Reactive simulatability

■ Let  $(C_1, S)$  and  $(C_0, S)$  be two structures.

■  $(C_1, S)$  is **at least as secure as**  $(C_0, S)$  if

◆ for all  $H$ ,

◆ for all  $A$ , such that  $(H, A) \in \mathbf{Confs}(C_1, S)$

◆ exists  $S$ , such that  $(H, S) \in \mathbf{Confs}(C_0, S)$

such that  $\llbracket C_1 \cup \{H, A\} \rrbracket_H \approx \llbracket C_0 \cup \{H, S\} \rrbracket_H$ .

■ We also say that  $(C_0, S)$  **simulates**  $(C_1, S)$ .

■ The simulatability is **universal** if the order of quantifiers is  $\forall A \exists S \forall H$ .

■ The simulatability is **black-box** if

◆ there exists a PIOA  $Sim$ , such that

◆ for all  $(H, A) \in \mathbf{Confs}(C_1, S)$  holds

$(H, A \parallel Sim) \in \mathbf{Confs}(C_0, S)$  and  $\llbracket C_1 \cup \{H, A\} \rrbracket_H \approx \llbracket C_0 \cup \{H, A, Sim\} \rrbracket_H$ .

**Exercise.** Show that universal and black-box simulatability are equivalent (if the port names do not collide).

# Simulatability for systems

- A system  $Sys_1$  is **at least as secure as** a system  $Sys_0$  if for all structures  $(C_1, S) \in Sys_1$  there exists a structure  $(C_0, S) \in Sys_0$ , such that  $(C_1, S)$  is at least as secure as  $(C_0, S)$ .

# What is simulatable by what?

- Input  $n \in \mathbb{Z}$  from the user, output  $n$  to the adversary.
- Input  $n$  from the user, output  $n^2$  to the adversary.
- Input  $n$  from the user, output  $|n|$  to the adversary.
- “Unique identifiers” (randomly generated) from a single party.
- “Unique identifiers” (randomly generated) from  $k$  parties.

# Example: secure channels for $n$ parties

- Ideal PIOA  $\mathcal{J}$  has ports  $in_i?$  and  $out_i!$  for communicating with the  $i$ -th party.
- Input  $(j, M)$  on  $in_i?$  causes  $(i, M)$  to be written to  $out_j!$ .
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- Should model API calls, hence it also has the ports  $out_i^{\triangleleft}!$ .
- Real structure uses public-key cryptography to provide confidentiality and authenticity.
  - ◆ Message  $M$  from  $i$  to  $j$  encoded as  $\mathcal{E}_j(\text{sig}_i(M))$ .
- Consists of PIOA-s  $M_1, \dots, M_n$ .  $M_i$  has ports  $in_i?$  and  $out_i!$ .
- $M_i$  has ports  $net_i^{\rightarrow}!$ ,  $net_i^{\rightarrow^{\triangleleft}}!$  and  $net_i^{\leftarrow}?$  for (insecure) networking.
- Public keys are distributed over authentic channels.
  - ◆  $M_i$  has ports  $aut_{i,j}^{\rightarrow}!$ ,  $aut_{i,j}^a!$  and  $aut_{j,i}^a?$  for authentically communicating with party  $M_j$ .
  - ◆  $M_i$  always writes identical messages to  $aut_{i,j}^{\rightarrow}!$  and  $aut_{i,j}^a!$ .

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  - ◆  $M_i$  always writes identical messages to  $aut_{i,j}^{\rightarrow}!$  and  $aut_{i,j}^a!$ .
- $S = \{in_1!, \dots, in_n!, in_1^{\triangleleft}!, \dots, in_n^{\triangleleft}!, out_1?, \dots, out_n?\}$ .

# $\mathcal{J}$ is way too ideal

- Sending a message without initialization.
  - ◆ generating keys and distributing the public keys.
- Sending messages without delays. Guaranteed transmission.
- Traffic analysis.
- Concealing the length of messages.
- Transmitting only a number of messages polynomial to  $\eta$ .

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To simplify the presentation, we'll also

- Allow reordering and repetition of messages from one party to another.

# The state of the PIOA $\mathcal{J}$

- Boolean  $init_i$  — “has  $M_i$  generated the keys?”
- Boolean  $init_{i,j}$  — “has  $M_j$  received the public keys of  $M_i$ ?”
- Sequence of bit-strings  $D_{i,j}$  — the messages party  $i$  has sent to party  $j$ .
- $\ell_i$  — the total length of messages party  $i$  has sent so far.

Initial values — false,  $\varepsilon$ , or 0.

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To set these values,  $\mathcal{J}$  has to communicate with the adversary, too. It has the ports  $adv^{\rightarrow!}$ ,  $adv^{\rightarrow\leftarrow!}$  and  $adv^{\leftarrow?}$  for that.

# The transition function $\delta^J$

- On input (init) from  $in_i?$ : Set  $init_i$  to true, write (init,  $i$ ) to  $adv^{\rightarrow}!$  and raise  $adv^{\rightarrow\triangleleft}!$ .
- On input (init,  $i, j$ ) from  $adv^{\leftarrow}?$ : Set  $init_{i,j}$  to  $init_i$ .
- On input (send,  $j, M$ ) from  $in_i?$ : Do nothing if one of the following holds:

- ◆  $|M| + \ell_i > p(\eta)$  for a fixed polynomial  $p$ ;
- ◆  $init_i \wedge init_{j,i} = \text{false}$ .

Otherwise add  $|M|$  to  $\ell_i$  and append  $M$  to  $D_{i,j}$ . Write (sent,  $i, j, |M|$ ) to  $adv^{\rightarrow}!$  and raise  $adv^{\rightarrow\triangleleft}!$ .

- On input (recv,  $i, j, x$ ) from  $adv^{\leftarrow}?$ : Do nothing if one of the following holds:

- ◆  $init_j \wedge init_{i,j} = \text{false}$ ;
- ◆  $x \leq 0$  or  $|D_{i,j}| < x$ .

Otherwise write (received,  $i, D_{i,j}[x]$ ) to  $out_j!$  and raise  $out_j^{\triangleleft}!$ .

# The state of the PIOA $M_i$

- The decryption key  $K_i^d$  and signing key  $K_i^s$ .
- The encryption keys  $K_j^e$  and verification keys  $K_j^v$  of all parties  $j$ .
- The length  $\ell_i$  of the messages sent so far.

To operate, we have to fix

- IND-CCA-secure public key encryption system;
- EF-CMA-secure signature scheme.

# The transition function $\delta^{M_i}$

- On input (init) from  $in_i?$ : Generate keys  $(K_i^e, K_i^d)$  and  $(K_i^v, K_i^s)$ . Ignore further (init)-requests. Write  $(K_i^e, K_i^v)$  to ports  $aut_{i,j}^{\rightarrow}!$  and  $aut_{i,j}^a!$ .
- On input  $(k^e, k^v)$  from  $aut_{j,i}^a?$ : Initialize  $K_j^e$  and  $K_j^v$ .
- On input (send,  $j, M$ ) from  $in_i?$ : If  $|M| + \ell_i \leq p(\eta)$  and  $K_i^s, K_j^e$  are defined
  - ◆ Let  $v \leftarrow \mathcal{E}_{K_j^e}(\text{sig}_{K_i^s}(i, j, M))$ .
  - ◆ Add  $|M|$  to  $\ell_i$ .
  - ◆ Write (sent,  $j, v$ ) to  $net_i^{\rightarrow}!$  and raise  $net_i^{\rightarrow\triangleleft}!$ .
- On input (recv,  $j, v$ ) from  $net_i^{\leftarrow}?$ : If the necessary keys are initialized and decryption and verification succeed (giving message  $M$ ) then write (received,  $j, M$ ) to  $out_i!$  and raise  $out_i^{\triangleleft}!$ .

# Ideal and real at a glance

$\mathcal{J}$ :

(init) from user  $i$ :

set  $init_i$ , notify adversary.

(init,  $i, j$ ) from adversary:

set  $init_{i,j}$ , if  $init_i$  set.

(send,  $j, M$ ) from user  $i$ :

store  $M$  in the sequence  $D_{i,j}$ ;

send (send,  $i, j, |M|$ ) to adversary.

(only if  $init_i \wedge init_{i,j}$ )

(recv,  $i, j, x$ ) from adversary:

send ( $j, D_{i,j}[x]$ ) to user  $j$ .

(only if  $init_j \wedge init_{j,i}$ )

$\mathcal{M}_i$ :

(init) from user:

generate keys, send to adversary and others.

( $k^e, k^v$ ) from  $aut_{j,i}^a$ ?:

set the public keys of  $j$ -th party

(send,  $j, M$ ) from user:

Send  $j$  and  $c = \mathcal{E}_{K_j^e}(\text{sig}_{K_i^s}(i, j, M))$

to the adversary

(only if  $K_j^e$  and  $K_i^s$  present)

( $j, c$ ) from adversary:

decrypt with  $K_i^d$ , check signature

with  $K_j^v$ , send plaintext to user if OK

(only if  $K_j^v$  and  $K_i^d$  present)

# The simulator

- The simulator translates between the ideal structure  $\mathcal{J}$  and the “real” adversary.
- It has the following ports:
  - ◆  $adv^{\rightarrow?}, adv^{\leftarrow!}, adv^{\leftarrow\triangleleft!}$  for communicating with  $\mathcal{J}$ .
  - ◆  $net_i^{\rightarrow!}, net_i^{\rightarrow\triangleleft!}, net_i^{\leftarrow?}, aut_{i,j}^{\rightarrow!}, aut_{i,j}^a!, aut_{j,i}^a?$  for communicating with the “real” adversary.
    - Both ends of the channel  $aut_{i,j}^a$  are at *Sim*.
    - But the adversary schedules this channel.

**Exercise.** Construct the simulator.

# Bisimulations

- A **state-transition system** is a tuple  $(S, A, B, \rightarrow)$ , where
  - ◆  $S$  and  $A$  are the sets of **states**, **input actions** and **output actions**.
  - ◆  $\rightarrow$  is a partial function from  $S \times A$  to  $S \times B$ .
    - Write  $s \xrightarrow{a/b} t$  for  $\rightarrow (s, a) = (t, b)$ .
- An equivalence relation  $\mathcal{R}$  over  $S$  is a **bisimulation**, if for all  $s, s', a$ , such that  $s \mathcal{R} s'$ :
  - ◆ If  $s \xrightarrow{a/b} t$  then exists  $t' \in S$ , such that  $s' \xrightarrow{a/b} t'$  and  $t \mathcal{R} t'$ .
- Two systems  $(S, A, B, \rightarrow)$  and  $(T, A, B, \Rightarrow)$  with starting states  $s_0 \in S$  and  $t_0 \in T$  are **bisimilar**, if there exists a bisimulation of  $(S \dot{\cup} T, A, B, \rightarrow \cup \Rightarrow)$  that relates  $s_0$  and  $t_0$ .

# Probabilistic bisimulations

- Let  $(S, A, B, \rightarrow)$  be a **probabilistic state-transition system**. I.e.
  - ◆  $S$ ,  $A$  and  $B$  are the sets of **states** and **input/output transitions**.
  - ◆  $\rightarrow$  is a partial function from  $S \times A$  to  $\mathcal{D}(S \times B)$  (probability distributions over  $S$ ).
- An equivalence relation  $\mathcal{R}$  over  $S$  is a **probabilistic bisimulation** if  $s \mathcal{R} s'$  implies
  - ◆ for each  $a \in A$ ,  $s \xrightarrow{a} D$  implies that there exists  $D'$ , such that  $s' \xrightarrow{a} D'$ , and
  - ◆ for each  $t \in S$  and  $b \in B$ :  $\sum_{t' \in t/\mathcal{R}} D(t', b) = \sum_{t' \in t/\mathcal{R}} D'(t', b)$ .
- Two probabilistic transition systems  $(S, A, B, \rightarrow)$  and  $(T, A, B, \Rightarrow)$  with starting states  $s_0$  and  $t_0$  are **bisimilar** if there exists a probabilistic bisimulation  $\mathcal{R}$  of  $(S \dot{\cup} T, A, \rightarrow \cup \Rightarrow)$  that relates  $s_0$  and  $t_0$ .

# Probabilistic bisimilarity

Bisimilarity of systems  $(S, A, B, \rightarrow)$  and  $(T, A, B, \Rightarrow)$  with starting states  $s_0, t_0$  means that

- The sets  $S$  and  $T$  can be partitioned into  $S_1 \dot{\cup} \dots \dot{\cup} S_k$  and  $T_1 \dot{\cup} \dots \dot{\cup} T_k$ , such that
  - ◆ ... some of  $S_i, T_i$  may be empty;
  - ◆ ... define a relation  $\mathcal{R} \subseteq S \times T$ , such that  $s \mathcal{R} t$  iff  $s \in S_i, t \in T_i$  for some  $i$ .
- For all  $s \in S_i, t \in T_i, a \in A$ :
- If  $s \xrightarrow{a} D$  then  $t \xRightarrow{a} E$ . Also, for each  $j$  and  $b$ :
$$\sum_{s' \in S_j} D(s', b) = \sum_{t' \in T_j} E(t', b).$$
- $s_0 \mathcal{R} t_0$ .

# Composition

Let the structures  $(C_1, S_1), \dots, (C_k, S_k)$  be given. We say that  $(C, S)$  is the **composition** of those structures if

- $C_1, \dots, C_k$  are pairwise disjoint;
- the sets of ports of  $C_1, \dots, C_k$  are pairwise disjoint;
- $C = C_1 \cup \dots \cup C_k$ ;
- $\text{freeports}(C_i) \setminus S_i \subseteq \text{freeports}(C) \setminus S$  for all  $i$ .

Write  $(C, S) = (C_1, S_1) \times \dots \times (C_k, S_k)$ .

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Write  $(C, S) = (C_1, S_1) \times \dots \times (C_k, S_k)$ .

**Theorem.** Let

- $(C, S) = (C_1, S_1) \times (C_0, S_0)$  and  $(C', S) = (C_1, S_1) \times (C'_0, S'_0)$ ;
- $(C_0, S_0) \geq (C'_0, S'_0)$ .

Then  $(C, S) \geq (C', S)$ .

Proof on the blackboard.

# Power of composition

- The composition theorem gives the model its usefulness.
- One can construct a large system as follows:
  - ◆ Design it from the functionalities that have already been constructed.
    - add some glue code, if necessary.
  - ◆ Prove that it satisfies the needed (security) properties.
    - Assume the ideal implementations of existing functionalities.
  - ◆ Implement the system.
    - Use the real implementations of existing functionalities.
- The proofs of properties will hold for the real system.

# Simulation for secure messaging

1. Separate encryption; replace it with an ideal encryption machine.
  - Same for signatures.
2. Define a probabilistic bisimulation with error sets between the states of  $M_1 \parallel \dots \parallel M_n$  and  $\mathcal{J} \parallel Sim$ .
3. Show that error sets have negligible probability.
  - The errors correspond to forging a signature or generating the same random value twice.
  - The first case may also be handled by defining a separate signature machine.
  - The second case may also be handled by defining the ideal machines in the appropriate way.

# The PIOA $\mathcal{E}nc^n$

- Has ports  $ein_i?$ ,  $eout_i!$ ,  $eout_i^{\triangleleft}!$  for  $1 \leq i \leq n$ .
- The machine  $M_i$  will get ports  $ein_i!$ ,  $ein_i^{\triangleleft}!$ ,  $eout_i?$ .
- **On input** (gen) from  $ein_i?$ : generate a new keypair  $(k^+, k^-)$ , store  $(i, k^+, k^-)$ , write  $k^+$  to  $eout_i!$ , clock.
- **On input** (enc,  $k^+$ ,  $M$ ) from  $ein_i?$ : if  $k^+$  has been stored as a public key, then compute  $v \leftarrow \mathcal{E}(k^+, M)$ , write  $v$  to  $eout_i!$ , clock.
- **On input** (dec,  $k^+$ ,  $M$ ) from  $ein_i?$ : if  $(i, k^+, k^-)$  has been stored, write  $\mathcal{D}(k^-, M)$  to  $eout_i!$ , clock.

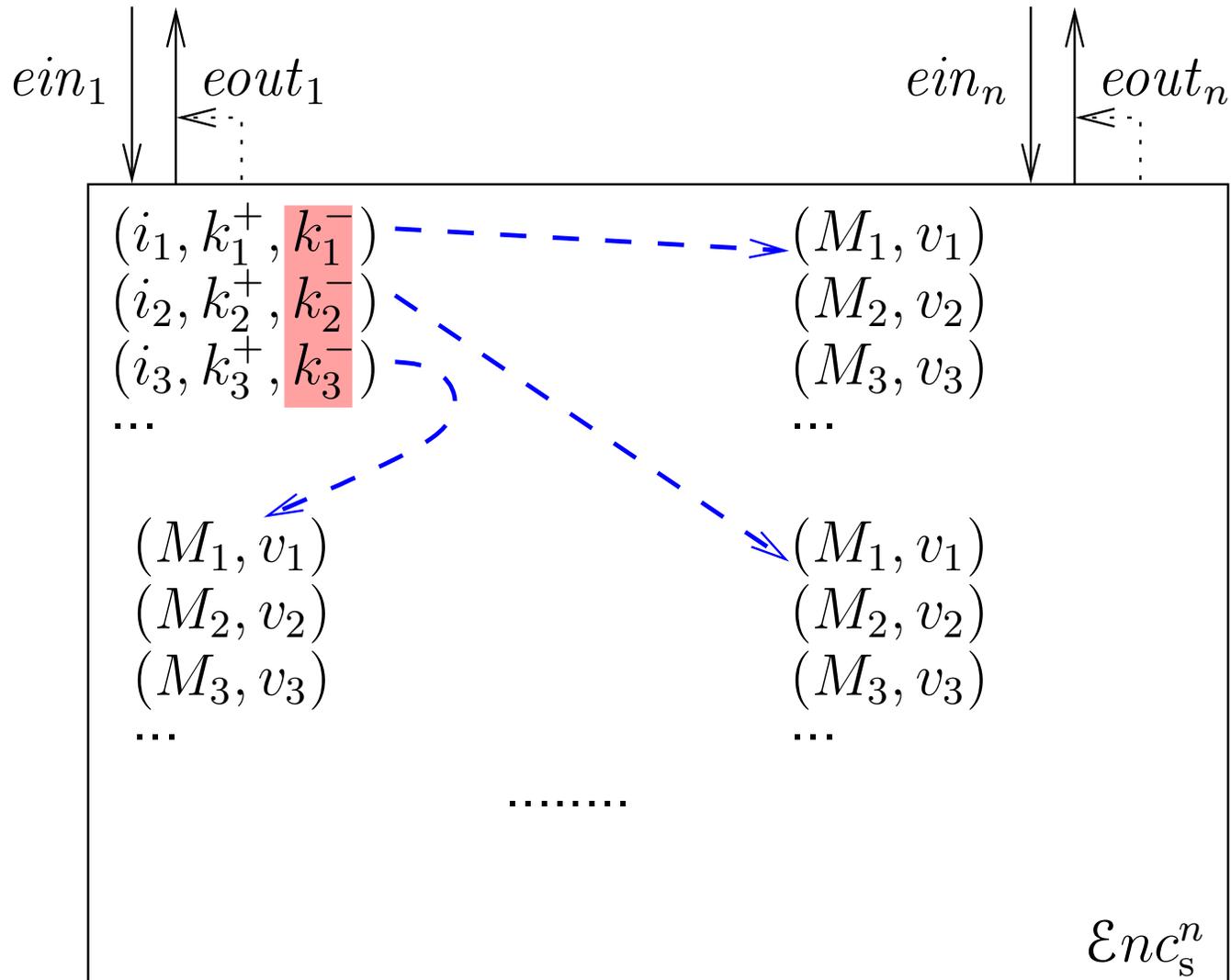


# The PIOA $\mathcal{Enc}_s^n$

- Has ports  $ein_i?$ ,  $eout_i!$ ,  $eout_i^{\triangleleft}!$  for  $1 \leq i \leq n$ .
- The machine  $M_i$  will get ports  $ein_i!$ ,  $ein_i^{\triangleleft}!$ ,  $eout_i?$ .
- **On input** (gen) from  $ein_i?$ : generate a new keypair  $(k^+, k^-)$ , store  $(i, k^+, k^-)$ , write  $k^+$  to  $eout_i!$ , clock.
- **On input** (enc,  $k^+$ ,  $M$ ) from  $ein_i?$ : if  $k^+$  has been stored as a public key, then compute  $v \leftarrow \mathcal{E}(k^+, 0^{|M|})$ , store  $(k^+, M, v)$ , write  $v$  to  $eout_i!$ , clock.
  - ◆ Recompute  $v$  until it differs from all previous  $v$ -s.
- **On input** (dec,  $k^+$ ,  $v$ ) from  $ein_i?$ : if  $(i, k^+, k^-)$  has been stored, then
  - ◆ if  $(k^+, M, v)$  has been stored for some  $v$ , then write  $v$  to  $eout_i!$ , clock.
  - ◆ otherwise write  $\mathcal{D}(k^-, M)$  to  $eout_i!$ , clock.

$\mathcal{Enc}^n \geq \mathcal{Enc}_s^n$  (black-box). **Exercise.** Describe the simulator.

# The PIOA $\mathcal{Enc}_S^n$



# The PIOA $Sig^n$

- Has ports  $sin_i?$ ,  $sout_i!$ ,  $sout_i^<!$  for  $1 \leq i \leq n$ .
- The machine  $M_i$  will get necessary ports for using  $Sig^n$  as by API calls.
- **On input** (gen) from  $sin_i?$ : generate a new keypair  $(k^+, k^-)$ , store  $(i, k^+, k^-)$ , write  $k^+$  to  $sout_i!$ , clock.
- **On input** (sig,  $k^+$ ,  $M$ ) from  $sin_i?$ : if  $(i, k^+, k^-)$  has been stored then compute  $v \leftarrow \text{sig}(k^-, M)$ , write  $v$  to  $sout_i!$ , clock.
- **On input** (ver,  $k^+$ ,  $s$ ) from  $sin_i?$ : if  $k^+$  has been stored then write  $\text{ver}(k^+, s)$  to  $sout_i!$ , clock.

# The PIOA $Sig_s^n$

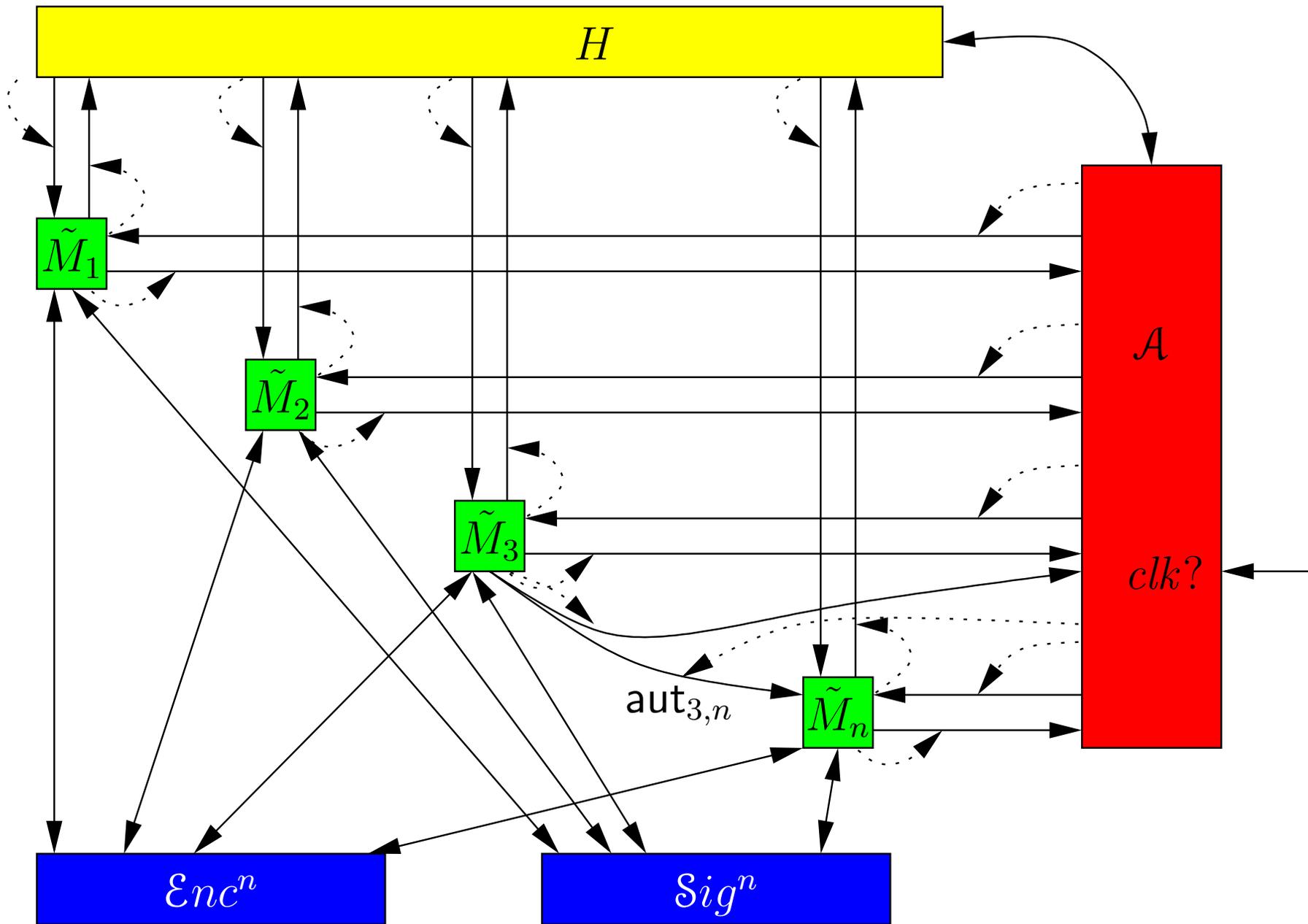
- Has ports  $sin_i?$ ,  $sout_i!$ ,  $sout_i^<!$  for  $1 \leq i \leq n$ .
- The machine  $M_i$  will get necessary ports for using  $Sig^n$  as by API calls.
- **On input** (gen) from  $sin_i?$ : generate a new keypair  $(k^+, k^-)$ , store  $(i, k^+, k^-)$ , write  $k^+$  to  $sout_i!$ , clock.
- **On input** (sig,  $k^+$ ,  $M$ ) from  $sin_i?$ : if  $(i, k^+, k^-)$  has been stored then compute  $v \leftarrow \text{sig}(k^-, M)$ , **store**  $(k^+, M)$ , write  $v$  to  $sout_i!$ , clock.
- **On input** (ver,  $k^+$ ,  $s$ ) from  $sin_i?$ : if  $k^+$  has been stored then write  $\text{ver}(k^+, s) \wedge \text{"(k}^+, M \text{) has been stored"}$  to  $sout_i!$ , clock.

**Theorem.**  $Sig^n \geq Sig_s^n$ .

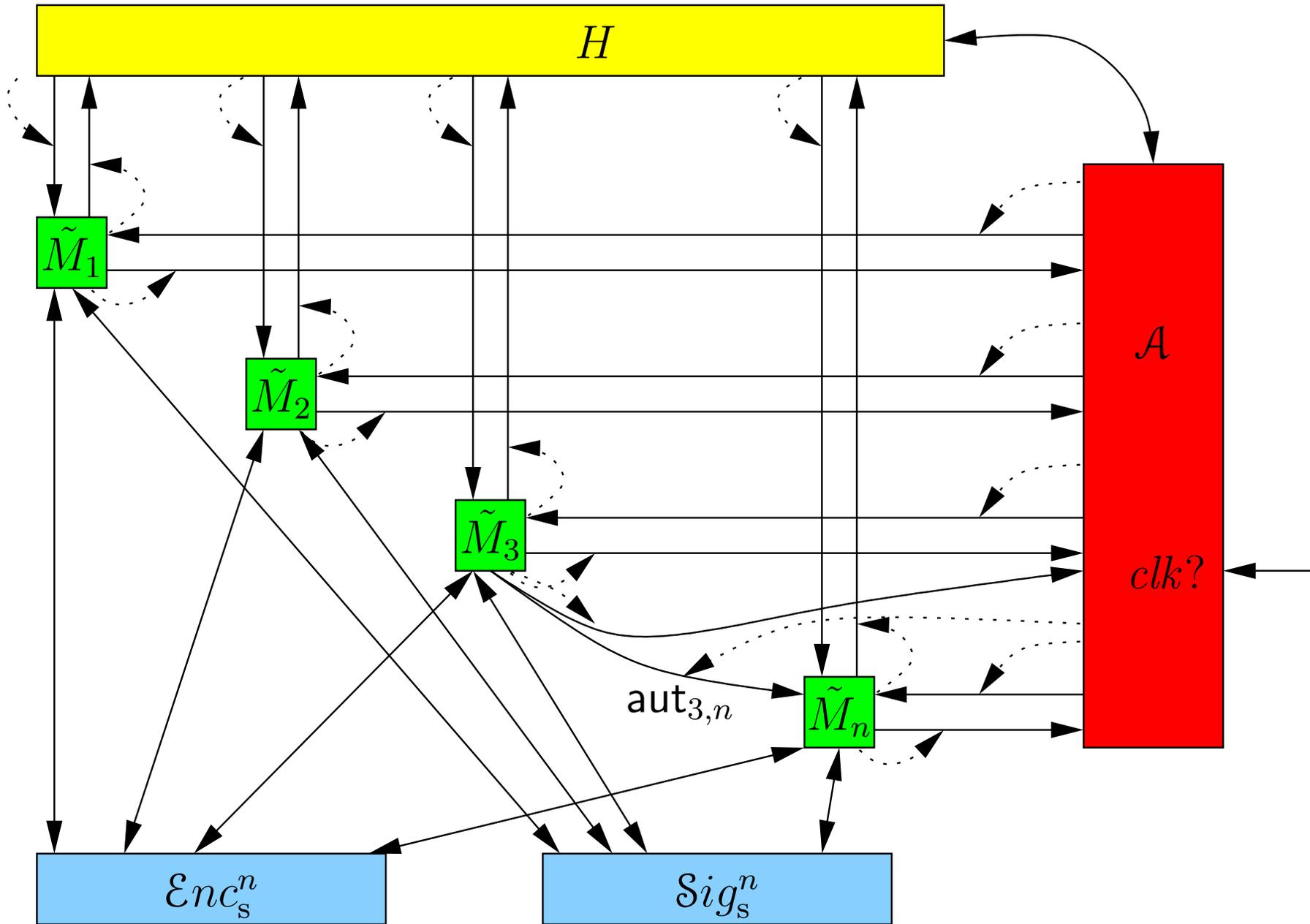
# Modified real structure

- Instead of generating the encryption keys, and encrypting and decrypting themselves, machines  $M_i$  query the machine  $\mathcal{Enc}^n$ .
- We can then replace  $\mathcal{Enc}^n$  with  $\mathcal{Enc}_s^n$ . The original structure was at least as secure as the modified structure.
- Same for signatures...
- Denote the modified machines by  $\tilde{M}_i$ .

This is at least as secure as...



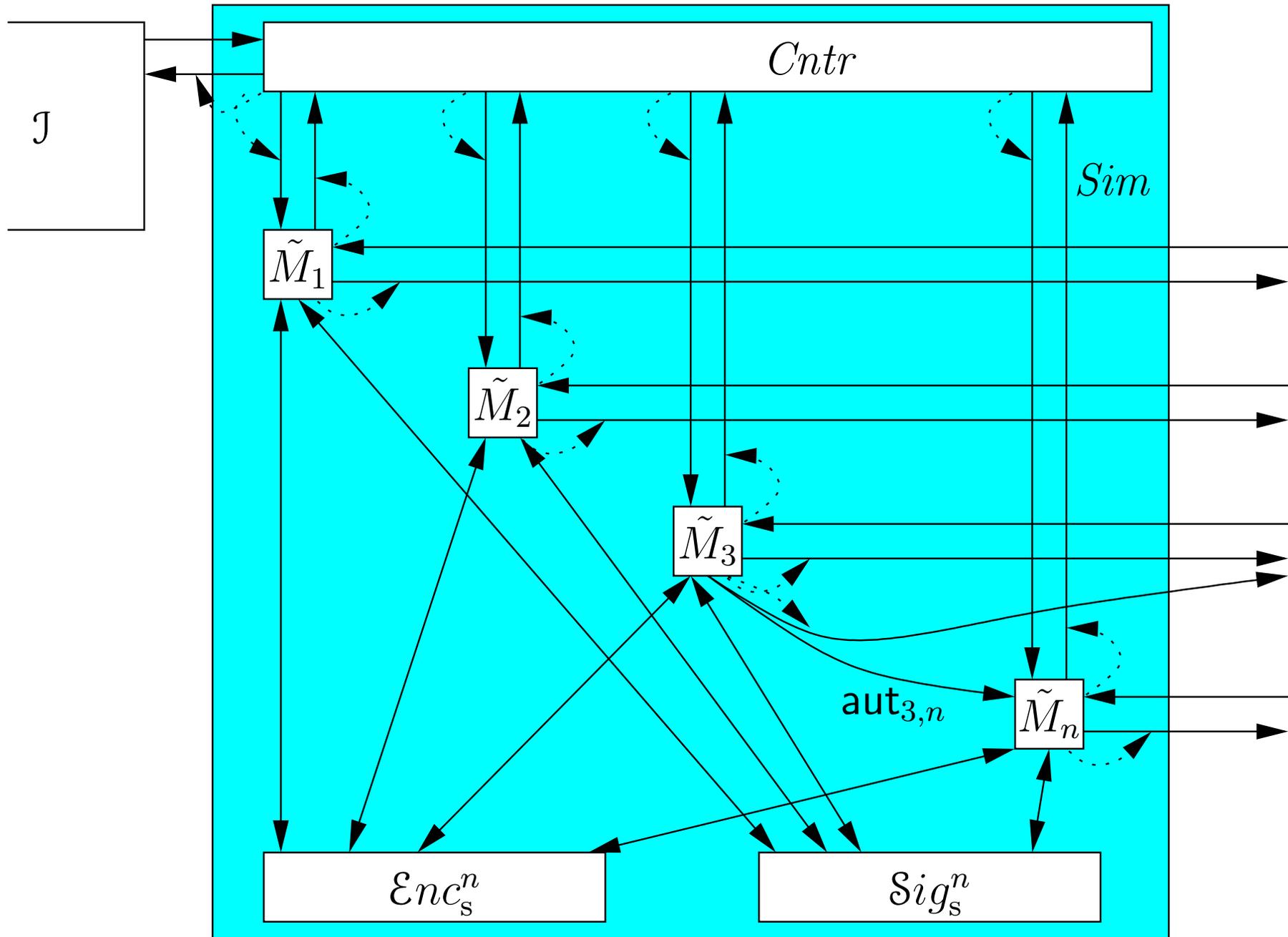
... this



# The state of the real structure

- State of  $\tilde{M}_i$  — the keys  $K_j^e$  and  $K_j^v$  ( $1 \leq j \leq n$ ).
  - ◆ If some  $K$  is defined at several machines, then they are equal.
- State of  $\mathcal{E}nc_s^n$ :
  - ◆ key triples  $(i, k^+, k^-)$ , where  $k^+$  is the same as  $K_i^e$ .
  - ◆ text triples  $(k^+, M, v)$ , where  $k^+$  also occurs in a key triple.
- State of  $\mathcal{S}ig_s^n$ :
  - ◆ key triples  $(i, k^+, k^-)$ , where  $k^+$  is the same as  $K_i^v$ .
  - ◆ text pairs  $(k^+, M)$ , where  $k^+$  also occurs in a key triple.
- Possibly (during initialization) the keys in the buffers of the channels  $aut_{i,j}^a$ .
- No messages are in the buffers of newly introduced channels  $ein_i$  etc.
- The buffers of channels connected to  $H$  or  $A$  are not part of the state.

# The simulator $Sim$



# The simulator *Sim*

- Consists of the real structure and one extra machine *Cntr*. Its state contains message sequences  $D'_{ij}$  for all  $1 \leq i, j \leq n$ .
- The ports  $in_i?$ ,  $out_i!$ ,  $out_i^{\triangleleft}!$  of  $\tilde{M}_i$  are renamed to  $cin_i?$ ,  $cout_i!$ ,  $cout_i^{\triangleleft}!$ .
- Machine *Cntr* has ports  $cin_i!$ ,  $cin_i^{\triangleleft}!$ ,  $cout_i?$ ,  $adv^{\leftarrow}!$ ,  $adv^{\leftarrow\triangleleft}!$ ,  $adv^{\rightarrow}?$ .
- On input  $(init, i)$  from  $adv^{\rightarrow}?$  write  $(init)$  to  $cin_i!$  and clock it.
- On input  $(k^e, k^v)$  from  $aut_{j,i}^a?$ : the machine  $\tilde{M}_i$  additionally writes  $(recvkeys, j)$  to  $cout_i!$  and clocks it.
- Receiving  $(recvkeys, j)$  from  $cout_i?$ , machine *Cntr* writes  $(init, j, i)$  to  $adv^{\leftarrow}!$  and clocks it.
- Receiving  $(send, i, j, l)$  from  $adv^{\rightarrow}?$ , the machine *Cntr* generates a new message  $M$  of length  $l$ , appends it to  $D'_{i,j}$ , writes  $(send, j, M)$  to  $cin_i!$ , clocks it.
- Receiving  $(received, i, M)$  from  $cout_j?$ , the machine *Cntr* finds  $x$ , such that  $D'_{i,j}[x] = M$ , writes  $(recv, i, j, x)$  to  $adv^{\leftarrow}!$ , clocks it.

# The state of $\mathcal{J} \parallel Sim$

- The state of real structure. Additionally
- For each  $i, j$ , the sequences  $D'_{i,j}$  of messages that the machine  $Cntr$  has generated.
- Initialization bits  $init_i, init_{i,j}$ .
- The sequences of messages  $D_{i,j}$  that party  $i$  has sent to party  $j$ .  
(stored in  $\mathcal{J}$ )

# The state of $\mathcal{J} \parallel Sim$

- The state of real structure. Additionally
- For each  $i, j$ , the sequences  $D'_{i,j}$  of messages that the machine  $Cntr$  has generated.
- Initialization bits  $init_i, init_{i,j}$ .
- The sequences of messages  $D_{i,j}$  that party  $i$  has sent to party  $j$ . (stored in  $\mathcal{J}$ )

**Lemma.** If  $\mathcal{J} \parallel Sim$  is not currently running, then

- $|D_{ij}| = |D'_{i,j}|$  and the lengths of the messages in the sequences  $D_{i,j}$  and  $D'_{i,j}$  are pairwise equal.
- If  $init_i$  then  $\tilde{M}_i$  has requested the generation of keys. If  $init_{i,j}$  then  $\tilde{M}_j$  has received the keys of  $\tilde{M}_i$ . The opposite also holds.
- The signed messages in  $Sig_s^n$  are exactly of the form  $(i, j, M)$  where  $M$  is in the sequence  $D'_{i,j}$ . The encrypted messages in  $Enc_s^n$  are exactly those signed messages.

# Bisimilarity for secure channels

Relating the states of real and (ideal||simulator) structures:

- The states of  $\tilde{M}_i$ ,  $\mathcal{Enc}_s^n$ ,  $\mathcal{Sig}_s^n$  must be equal.
- The rest of the state of  $\mathcal{J}||\mathcal{Sim}$  must satisfy the lemma we had above.

The relationship must hold only if either  $H$  or  $A$  is currently running.

- Now consider all possible inputs that the real structure or (ideal||simulator) may receive. Show that they react to it in the identical manner.

# Extension: static corruptions

- Allow the adversary to corrupt the parties before the start of the run (before party has received the (init)-command).
- In the real functionality: machine  $M_i$  may accept a command (corrupt) from the port  $net_i^{\leftarrow}$ ?
- It forwards all messages it receives directly to the adversary (over the channel  $net_i^{\rightarrow}$ ) and receives from the adversary the messages it has to write to other ports.

**Exercise.** How should we change the ideal functionality? The simulator?

**Exercise.** Why is it hard to model dynamic corruptions?

# Home exercise

Present a simulatable functionality for secure channels (not allowing corruptions) that preserves the order of messages and does not allow their duplication.

Please use the defined secure messaging functionality as a building block (use the composition).

Deadline: Mid-January.

# An UC voting functionality

Let there be  $m$  voters and  $n$  talliers. Let the possible votes be in  $\{0, \dots, L - 1\}$ .

All voters will give their votes. All authorities agree on the result. The adversary will not learn individual votes.

- At the **voting phase**, the voters write their encrypted votes to a bulletin board.
  - ◆ Use threshold homomorphic encryption.
  - ◆ Talliers have the shares of the secret key.
- Everybody can see the encrypted votes and combine them to the encryption of the tally.
- **After the voting period**, the talliers publish the plaintext shares of the tally.
- Everybody can combine those shares and learn the voting result.

# The ideal functionality

- The ideal functionality  $\mathcal{J}_{\text{VOTE}}$  has the standard ports...  $in_i^V?$ ,  $out_i^V!$ ,  $out_i^{V\triangleleft}!$ ,  $in_i^T?$ ,  $out_i^T!$ ,  $out_i^{T\triangleleft}!$ ,  $adv^{\leftarrow}?$ ,  $adv^{\rightarrow}!$ ,  $adv^{\rightarrow\triangleleft}!$ .
- First expect  $(init, sid)$ -command from the adversary.

# The ideal functionality

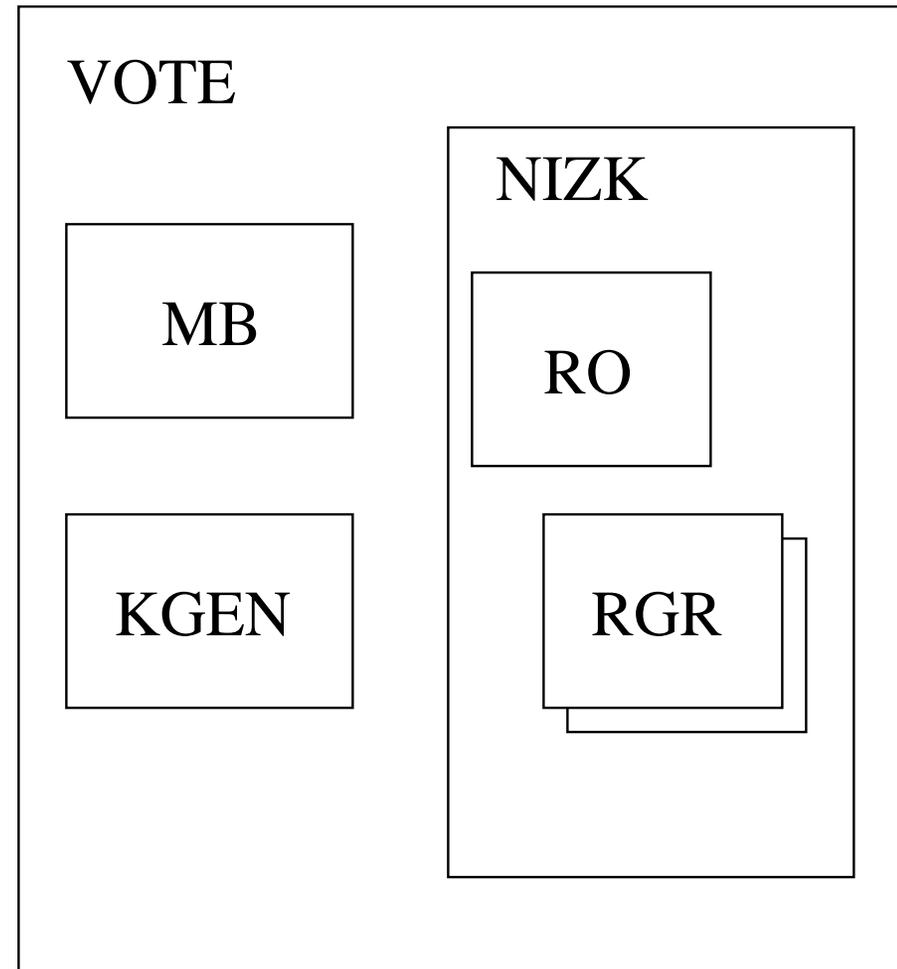
- The ideal functionality  $\mathcal{J}_{\text{VOTE}}$  has the standard ports...  $in_i^V?$ ,  $out_i^V!$ ,  $out_i^{V\triangleleft}!$ ,  $in_i^T?$ ,  $out_i^T!$ ,  $out_i^{T\triangleleft}!$ ,  $adv^{\leftarrow}?$ ,  $adv^{\rightarrow}!$ ,  $adv^{\rightarrow\triangleleft}!$ .
- First expect  $(\text{init}, sid)$ -command from the adversary.
- On input  $(\text{vote}, sid, v)$  from  $V_i$  store  $(\text{vote}, sid, V_i, v, 0)$ , send  $(\text{vote}, sid, V_i)$  to the adversary, ignore further votes from  $V_i$  in session  $sid$ .
- On input  $(\text{accept}, sid, V_i)$  from the adversary, change the flag from 0 to 1 in  $(\text{vote}, sid, V_i, v, -)$ .

# The ideal functionality

- The ideal functionality  $\mathcal{J}_{\text{VOTE}}$  has the standard ports...  $in_i^V?$ ,  $out_i^V!$ ,  $out_i^{V\triangleleft}!$ ,  $in_i^T?$ ,  $out_i^T!$ ,  $out_i^{T\triangleleft}!$ ,  $adv^{\leftarrow}?$ ,  $adv^{\rightarrow}!$ ,  $adv^{\rightarrow\triangleleft}!$ .
- First expect  $(\text{init}, sid)$ -command from the adversary.
- On input  $(\text{vote}, sid, v)$  from  $V_i$  store  $(\text{vote}, sid, V_i, v, 0)$ , send  $(\text{vote}, sid, V_i)$  to the adversary, ignore further votes from  $V_i$  in session  $sid$ .
- On input  $(\text{accept}, sid, V_i)$  from the adversary, change the flag from 0 to 1 in  $(\text{vote}, sid, V_i, v, -)$ .
- On input  $(\text{result}, sid)$  from the adversary, add up the votes in session  $sid$  with flag 1, store  $(\text{result}, sid, r)$  and send it to the adversary.
- On input  $(\text{giveresult}, sid, i)$  from the adversary send  $(\text{result}, sid, r)$  to voter  $V_i$  or tallier  $T_{i-m}$ .

# Building blocks

- Message board
  - ◆ Synchronous communication
- Homomorphic threshold encryption
  - ◆ MPC (for key generation)
- NIZK proofs
  - ◆ Random oracle
  - ◆ Generation of random elements of a group



# Message board

Ideal functionality  $\mathcal{J}_{\text{MB}}$  for parties  $P_1, \dots, P_n$  is the following:

- **On input**  $(\text{bcast}, \text{sid}, v)$  **from**  $P_i$ , store  $(\text{bcast}, i, \text{sid}, v)$ . Accept no further  $(\text{bcast}, \text{sid}, \dots)$ -queries from  $P_i$ . Send  $(\text{bcast}, \text{sid}, i, v)$  to the adversary.
- **On input**  $(\text{pass}, \text{sid}, i)$  **from the adversary**, if  $(\text{bcast}, i, \text{sid}, v)$  has been stored, store  $(\text{post}, \text{sid}, i, v)$ .
- **On input**  $(\text{tally}, \text{sid})$  **from the adversary**, accept no more  $(\text{bcast}, \text{sid}, \dots)$  and  $(\text{pass}, \text{sid}, \dots)$ -requests.
- **On input**  $(\text{request}, \text{sid})$  **from**  $P_j$ , if  $(\text{tally}, \text{sid})$  has been received before, send all stored  $(\text{post}, \text{sid}, \dots)$ -tuples to  $P_j$  (as a single message).

Realization requires reliable channels or smth.

# ZK proofs

The ideal functionality  $\mathcal{J}_{\text{ZK}}$  for parties  $P_1, \dots, P_n$  and **witnessing relation**  $\mathcal{R}$  is the following

- On input  $(\text{prove}, \text{sid}, P_j, x, w)$  from a party  $P_i$ :
  - ◆ Check that  $(x, w) \in \mathcal{R}$ ;
  - ◆ Store  $(P_i, P_j, \text{sid}, x)$ ;
  - ◆ Send  $(\text{prove}, P_i, P_j, \text{sid}, x)$  to the adversary.
  - ◆ Accept no more  $(\text{prove}, \text{sid}, \dots)$  queries from  $P_i$ .
- On input  $(\text{proofok}, P_i, P_j, \text{sid}, x)$  from the adversary send  $(\text{proof}, \text{sid}, P_i, x)$  to  $P_j$ .

# NIZK proofs

The ideal functionality  $\mathcal{J}_{\text{NIZK}}$  for parties  $P_1, \dots, P_n$  and **witnessing relation**  $\mathcal{R}$  is the following

- On input  $(\text{prove}, \text{sid}, x, w)$  from a party  $P_i$ :
  - ◆ Check that  $(x, w) \in \mathcal{R}$ ;
  - ◆ Send  $(\text{proof}, \text{sid}, x)$  to the adversary.
  - ◆ Accept no more  $(\text{prove}, \text{sid}, \dots)$  queries from  $P_i$ .
  - ◆ **Wait for a query of the form  $(\text{proof}, \text{sid}, x, \pi)$  from the adversary.**
    - A restriction on the adversary.
    - Can be justified for the ideal functionalities.
    - This topic warrants a deeper research.
  - ◆ Store  $(\text{sid}, x, \pi)$ .
  - ◆ Send  $(\text{proof}, \text{sid}, x, \pi)$  to  $P_i$ .

# NIZK proofs

- On input  $(\text{prove}, sid, x, w, \pi)$  from the adversary:
  - ◆ Check that  $(x, w) \in \mathcal{R}$ ;
  - ◆ Store  $(sid, x, \pi)$ .
- On input  $(\text{verify}, sid, x, \pi)$  from  $P_j$  check whether  $(sid, x, \pi)$  is stored. If it is then
  - ◆ Return  $(\text{verifyok}, sid, x)$ .
- If it is not then
  - ◆ Send  $(\text{witness?}, sid, x)$  to the adversary.
  - ◆ Wait for a query of the form  $(\text{prove}, sid, x, w, \pi)$  from the adversary.
  - ◆ Handle  $(\text{prove}, sid, x, w, \pi)$  as before.
  - ◆ If  $(x, w) \in \mathcal{R}$  then return  $(\text{verifyok}, sid, x)$  to  $P_j$ .

# Random oracles

The random oracle functionality  $\mathcal{J}_{\text{RO}}$  for  $n$  parties is the following:

- On input  $x$  by any party or the adversary
  - ◆ If  $(x, r)$  is already stored for some  $r$ , return  $r$ .
  - ◆ Otherwise generate  $r \in_R \{0, 1\}^{p(\eta)}$ , store  $(x, r)$  and return  $r$ .

$\mathcal{J}_{\text{RO}}$  works as a subroutine.

# Generating a random element of a group

Let  $G$  be a fixed group (depends on  $\eta$  only), with a prime cardinality and hard DDH problem. The functionality  $\mathcal{J}_{\text{RGR}}$  is the following:

- On input (init) by the adversary generates a random element of  $G$  and returns it to the adversary.
- On input (init,  $i$ ) marks that it may answer to party  $P_i$ .
- On input (get) from a party returns the generated element, if allowed.

Realization:

- The machines  $M_i$  are initialized by the adversary.
- $M_i$  generates a random element  $g_i \in G$ , secret shares it;
- The shared values are multiplied and the result is opened.
- A (get) by a party allows it to learn the computed value.
- Uses secure channels functionality.

**Exercise.** How to simulate?

# Protocol realizing NIZK

- Idea: on input  $(\text{prove}, \text{sid}, x, w)$  from party  $P_i$  the machine  $M_i$  commits to  $w$  and outputs  $x$ ,  $C(w)$ , and a NIZK proof that  $C(w)$  is hiding a witness for  $x$ .
- Initialization: parties get two random elements  $g, h \in G$  using two copies of  $\mathcal{J}_{\text{RGR}}$ .
  - ◆ Ignore user's query if (get) to  $\mathcal{J}_{\text{RGR}}$ -s gets no response.
- Let us use the following commitment scheme ( $G$  is a group with cardinality  $\#G$  and hard DDH problem):
  - ◆ To commit to  $m \in G$ , generate a random  $r \in \{0, \dots, \#G - 1\}$ . The commitment is  $(g^r, m \cdot h^r)$ .
  - ◆ The opening of the previous commitment is  $r$ .

**Exercise.** How to verify? What is this commitment scheme? What can be said about its security?

# Protocol realizing NIZK

- There exists a ZK protocol for proving that a commitment  $c$  hides a witness  $w$ , such that  $(x, w) \in \mathcal{R}$ .
- For honest verifiers, this protocol has three rounds — **commitment** (or **witness**), **challenge** and **response**.
  - ◆ It depends on  $\mathcal{R}$  (and the commitment scheme).
  - ◆ Let  $A(x, C(w), w, r)$  generate the witness and  $Z(x, C(w), w, r, a, c)$  compute the response.
  - ◆ Challenge is a random string. Let  $\mathcal{V}(x, C(w), a, c, z)$  be the verification algorithm at the end.
- The whole proof  $\pi$  for  $(x, sid)$  consists of
  - ◆  $C(w)$ , a random string  $\bar{r}$ ;
  - ◆  $a \leftarrow A(x, C(w), w, r)$ ;
  - ◆  $z \leftarrow Z(x, C(w), w, r, a, H(x, a, sid, \bar{r}))$
- $(\text{proof}, sid, x, \pi)$  is sent back to the user.

# Protocol realizing NIZK

- On input  $(\text{verify}, \text{sid}, x, \pi)$  from the user, machine  $M_j$  verifies that proof:
    - ◆ Computes  $c = H(x, a, \text{sid}, \bar{r})$  (by invoking  $\mathcal{J}_{\text{RO}}$ ) and verifies  $\mathcal{V}(x, C, a, c, z)$ .
- If correct, responds with  $(\text{verifyok}, \text{sid}, x)$ .

# Simulation

The simulator communicates with

- the ideal functionality: possible commands are
  - ◆  $(\text{proof}, i, \text{sid}, x)$ ;
  - ◆  $(\text{witness?}, \text{sid}, x, \pi)$ .
- the real adversary: possible commands are
  - ◆  $(\text{init})$  and  $(\text{init}, i)$  for two copies of  $\mathcal{J}_{\text{RGR}}$ ;
  - ◆ queries to the random oracle  $\mathcal{J}_{\text{RO}}$ .
    - Answer the queries to  $\mathcal{J}_{\text{RO}}$  in the normal way.

# Simulator: initialization

On the very first invocation:

- Generate random elements  $g, h \in G$ .

On (init) and (init,  $i$ ) from the adversary for functionalities  $\mathcal{J}_{\text{RGR}}$ :

- Record that these commands have been received.

# Simulating $(\text{proof}, i, \text{sid}, x)$

- The query  $(\text{prove}, \text{sid}, x, w)$  was made by party  $P_i$  to  $\mathcal{J}_{\text{NIZK}}$ .
- Where do we get  $w$ ?

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- Where do we get  $w$ ? **We don't get it at all.**
- Let  $C$  be the commitment of a random element  $w'$ ;
- **Simulate** the ZK proof of  $(x, w') \in \mathcal{R}$ :
  - ◆ Let  $c$  be a random challenge.
  - ◆ Let  $(a, z)$  be suitable witness and response for showing that  $C$  is the commitment of a suitable witness of  $x$  in  $\mathcal{R}$ .
- Let  $\bar{r}$  be a random string, such that  $(x, a, \text{sid}, \bar{r})$  has not been a query to  $\mathcal{J}_{\text{RO}}$ .

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- Let  $\bar{r}$  be a random string, such that  $(x, a, \text{sid}, \bar{r})$  has not been a query to  $\mathcal{J}_{\text{RO}}$ .
- **Define**  $H(x, a, \text{sid}, \bar{r}) := c$ . Let  $\pi = (C, \bar{r}, a, z)$ .
- Send  $(\text{proof}, \text{sid}, x, i, \pi)$  to  $\mathcal{J}_{\text{NIZK}}$ .

(*Programmable random oracle*)

# Simulating (witness?, $sid$ , $x$ , $\pi$ )

This is called if the real adversary has independently constructed a valid proof.

- Change the simulator as follows:
  - ◆ Initialization: the simulator generates  $g$  and  $h$  so, that **it knows**  $\log_g h$ .
- On a (witness?, ...) -query, the simulator checks whether the proof  $\pi = (C, \bar{r}, a, z)$  is correct.
- If it is, then it extracts the witness  $w$  from  $C$  by ElGamal decryption.
- After that, it sends (prove,  $sid$ ,  $x$ ,  $w$ ,  $\pi$ ) to  $\mathcal{J}_{\text{NIZK}}$ .

**Exercise.** What if  $C$  does not contain a valid witness?

# Corruptions

- The real adversary may send (corrupt)-command to some machine  $M_i$ .
  - ◆ **Static** corruptions — only at the beginning.
  - ◆ **Adaptive** corruptions — any time.
- The machine responds with its current state.
- Afterwards,  $M_i$  “becomes a part of” the adversary.
  - ◆ Forwards all received messages to the adversary.
  - ◆  $M_i$  accesses other components on behalf of the adversary.
  - ◆ No more traffic between  $M_i$  and the user.
- Possibility to corrupt players has to be taken into account when specifying ideal functionalities.
  - ◆ The ideal adversary may send (corrupt,  $i$ ) to the functionality.
    - The simulator will make these queries if the real adversary corrupted someone.
  - ◆ The functionality may change the handling of the  $i$ -th party.

# Corruptions and functionalities

- Random oracles — impossible to corrupt.
- Generating a random element of the group:
  - ◆ Implementations uses MPC techniques.
  - ◆ Tolerates adaptive corruptions of less than  $n/3$  participants.
  - ◆ If party  $i$  is corrupted, then  $\mathcal{J}_{\text{RGR}}$ 
    - Gives no output to the  $i$ -th party.
    - Forwards to the adversary all requests from the  $i$ -th party.
  - ◆ If too many parties are corrupted (at least  $n/3$ ) then  $\mathcal{J}_{\text{RGR}}$  gives all control to the adversary.
  - ◆ The simulator simply acts as a forwarder between a corrupted party and the adversary.

# Corrupting $\mathcal{J}_{\text{NIZK}}$

- The realization of NIZK uses  $\mathcal{J}_{\text{RGR}}$ .
  - ◆ It fails if there are at least  $n/3$  corrupt parties.
- It has no other weaknesses.

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  - ◆ The adversary may prove things on user's behalf.
- If at least  $n/3$  parties are corrupted then  $\mathcal{J}_{\text{NIZK}}$  gives up.

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- If at least  $n/3$  parties are corrupted then  $\mathcal{J}_{\text{NIZK}}$  gives up.
- The simulator corrupts  $i$ -th party of  $\mathcal{J}_{\text{NIZK}}$  if  $M_i$  is corrupted or the  $i$ -th party in  $\mathcal{J}_{\text{RGR}}$  is corrupted.

# Exercise

How should corruptions be integrated to  $\mathcal{J}_{\text{MB}}$ ?

Ideal functionality  $\mathcal{J}_{\text{MB}}$  for parties  $P_1, \dots, P_n$  is the following:

- On input  $(\text{bcast}, \text{sid}, v)$  from  $P_i$ , store  $(\text{bcast}, i, \text{sid}, v)$ . Accept no further  $(\text{bcast}, \text{sid}, \dots)$ -queries from  $P_i$ . Send  $(\text{bcast}, \text{sid}, i, v)$  to the adversary.
- On input  $(\text{pass}, \text{sid}, i)$  from the adversary, if  $(\text{bcast}, i, \text{sid}, v)$  has been stored, store  $(\text{post}, \text{sid}, i, v)$ .
- On input  $(\text{tally}, \text{sid})$  from the adversary, accept no more  $(\text{bcast}, \text{sid}, \dots)$  and  $(\text{pass}, \text{sid}, \dots)$ -requests.
- On input  $(\text{request}, \text{sid}, i)$  from  $P_j$ , if  $(\text{tally}, \text{sid})$  has been received before, send all stored  $(\text{post}, \text{sid}, \dots)$ -tuples to  $P_j$  (as a single message).

# Homomorphic encryption

- A public-key encryption system  $(\mathcal{K}, \mathcal{E}, \mathcal{D})$ .
- The set of plaintexts is a ring.
- There is an operation  $\oplus$  on ciphertexts, such that if  $\mathcal{D}(k^-, c_1) = v_1$  and  $\mathcal{D}(k^-, c_2) = v_2$  then  $\mathcal{D}(k^-, c_1 \oplus c_2) = v_1 + v_2$ .
- Security — IND-CPA.

# Homomorphic encryption

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- Security — IND-CPA.
- In a threshold encryption system, the secret key is shared. There are shares  $k_1^-, \dots, k_n^-$ .
- Also, there are public **verification keys**  $k_1^v, \dots, k_n^v$  that are used to verify that the authorities have correctly computed the shares of the plaintext.
  - ◆ ... like in verifiable secret sharing.
- We use secure MPC to generate  $k^+, k_1^-, \dots, k_n^-, k_1^v, \dots, k_n^v$ .
  - ◆ This can be modeled by an ideal functionality  $\mathcal{J}_{\text{KGEN}}$ .
  - ◆ There are more efficient means of generation than general MPC.

# Key generation

The ideal functionality  $\mathcal{J}_{\text{KGEN}}$  for  $m$  users and  $n$  authorities works as follows:

- On input  $(\text{generate}, sid)$  from the adversary, generates new keys. and gives the keys  $k^+, k_1^v, \dots, k_n^v$  to the adversary.
- On input  $(\text{getkeys}, sid)$  from a party, gives the party this party's generated keys. (works like subroutine)
- Breaks down if there are at least  $(m + n)/3$  corrupt parties.

Each voting session needs new keys, otherwise chosen-ciphertext attacks are possible.

# Voting protocol

- Voter machines  $M_1^V, \dots, M_m^V$ , tallier machines  $M_1^T, \dots, M_n^T$ .
- The first time some  $M_i^V$  or  $M_i^T$  is activated, it asks for its key(s) from  $\mathcal{J}_{\text{KGEN}}$  and receives them.
- On input (vote,  $sid, v$ ) from the user the machine  $M_i^V$ 
  - ◆ Let  $c_i \leftarrow \mathcal{E}_{k^+}(\text{Encode}(v))$ . Make a NIZK proof  $\pi_i$  that  $c_i$  contains a correct vote. Send (bcast,  $sid||0, (c_i, \pi_i)$ ) to  $\mathcal{J}_{\text{MB}}$ .
- On input (count,  $sid$ ) from the adversary the machine  $M_i^T$ 
  - ◆ Sends (request,  $sid||0, i$ ) to  $\mathcal{J}_{\text{MB}}$  and receives all the votes and correctness proofs  $(c_1, \pi_1), \dots, (c_m, \pi_m)$ .
  - ◆ Checks the validity of the proofs, using  $\mathcal{J}_{\text{NIZK}}$ .
  - ◆ Multiplies the valid votes and decrypts the result, using  $k_i^-$ . Let the result of the decryption be  $d_i$ . Makes a NIZK proof  $\xi_i$  that  $d_i$  is a valid decryption and sends (bcast,  $sid||1, (d_i, \xi_i)$ ) to  $\mathcal{J}_{\text{MB}}$ .
    - The proof also uses  $k_i^V$ .

# Voting protocol

- On input  $(\text{result}, \text{sid})$  from the adversary any machine
  - ◆ Sends  $(\text{request}, \text{sid}||0, i)$  to  $\mathcal{J}_{\text{MB}}$  and receives all the votes and correctness proofs  $(c_1, \pi_1), \dots, (c_m, \pi_m)$ .
  - ◆ Checks the validity of the proofs, using  $\mathcal{J}_{\text{NIZK}}$ .
  - ◆ Multiplies the valid votes, let the result be  $c$ .
  - ◆ Sends  $(\text{request}, \text{sid}||1, i)$  to  $\mathcal{J}_{\text{MB}}$  and receives the shares of the result  $d_1, \dots, d_n$  together with proofs  $\xi_1, \dots, \xi_n$ .
  - ◆ Check the validity of those proofs.
  - ◆ Combines a number of valid shares to form the final result  $r$ .
  - ◆ Sends  $(\text{result}, \text{sid}, r)$  to the user.

**Exercise.** What kind of corruptions are tolerated here?

# The simulator — interface

The simulator encapsulates  $\mathcal{J}_{\text{MB}}$ ,  $\mathcal{J}_{\text{NIZK}}$ ,  $\mathcal{J}_{\text{KGEN}}$ .

The simulator handles the following commands:

- From  $\mathcal{J}_{\text{VOTE}}$ :
  - ◆  $(\text{vote}, \text{sid}, i)$  —  $V_i$  has voted (but don't know, how).
  - ◆  $(\text{result}, \text{sid}, r)$  — the result of the voting session  $\text{sid}$ .
- From the real adversary:
  - ◆  $(\text{count}, \text{sid})$  for  $M_i^T$  — produce the share of the voting result.
  - ◆  $(\text{result}, \text{sid})$  for any  $M$  — combine the shares of the result and send it to the user.
  - ◆ Corruptions; messages on behalf of corrupted parties.

# The simulator — interface

- From the real adversary (on behalf of  $\mathcal{J}_{\text{MB}}$ ):
  - ◆  $(\text{pass}, \text{sid}, i)$  — lets the message sent by  $M_i$  to pass.
  - ◆  $(\text{tally}, \text{sid})$  — finishes round  $\text{sid}$ .
  - ◆  $(\text{bcast}, \text{sid}, i, v)$  — broadcast by a corrupt party.
- From the real adversary (on behalf of  $\mathcal{J}_{\text{NIZK}}$ ):
  - ◆  $(\text{proof}, \text{sid}, x, \pi)$  — generate a proof token  $\pi$  for an honest prover.
  - ◆  $(\text{prove}, \text{sid}, x, w, \pi)$  — the adversary proves something himself.
- From the real adversary (on behalf of  $\mathcal{J}_{\text{KGEN}}$ ):
  - ◆  $(\text{generate}, \text{sid})$  — generates the keys.

# The simulator — interface

The simulator issues the following commands:

To  $\mathcal{J}_{\text{VOTE}}$ :

(init,  $sid$ )

(accept,  $sid, i$ )

(result,  $sid$ )

(giveresult,  $sid, i$ )

(corrupt,  $i$ )

(vote,  $sid, i, v$ )

To the real adversary (as  $\mathcal{J}_{\text{MB}}$ ):

(bcast,  $sid, i, v$ )

To the real adversary (as  $\mathcal{J}_{\text{NIZK}}$ ):

(proof,  $i, sid, x$ )

(witness?,  $sid, x, \pi$ )

To the real adversary (as  $\mathcal{J}_{\text{KGEN}}$ ):

(keys,  $sid, k^+, k_1^v, \dots, k_n^v$ )

# The simulator — initialization

- On the first activation with a new  $sid$ :
  - ◆ Generates keys  $k^+, k_1^-, \dots, k_n^-, k_1^v, \dots, k_n^v$  for this session.
- When receiving  $(\text{generate}, sid)$  from the adversary for  $\mathcal{J}_{\text{KGEN}}$ ,
  - ◆ marks that voting can now commence;
  - ◆ sends  $(\text{init}, sid)$  to  $\mathcal{J}_{\text{VOTE}}$ .
- Corruptions by the adversary are forwarded to  $\mathcal{J}_{\text{VOTE}}$  and recorded.

# The simulator — voting

- On input  $(\text{vote}, \text{sid}, i)$  from  $\mathcal{J}_{\text{VOTE}}$ :
  - ◆ Let the encrypted vote be  $c \leftarrow \mathcal{E}_{k^+}(0)$ .
  - ◆ Make a NIZK proof  $\pi$  that this vote is valid.
    - Going to  $\mathcal{J}_{\text{NIZK}}$ 's waiting state, as necessary.
  - ◆ Broadcast (using  $\mathcal{J}_{\text{MB}}$ ) the pair  $(c, \pi)$  on behalf of voter  $i$ .
- On input  $(\text{pass}, \text{sid}, i)$ , if the vote was broadcast for the voter  $P_i$ :
  - ◆ Send  $(\text{accept}, \text{sid}, i)$  back to  $\mathcal{J}_{\text{VOTE}}$ .
- If a corrupt party  $i$  puts a vote to the message board and makes a valid proof for it:
  - ◆ Decrypt that vote. Let its value be  $v$ .
  - ◆ Send  $(\text{vote}, \text{sid}, i, v)$  to  $\mathcal{J}_{\text{VOTE}}$ .

# The simulator — tallying

On input  $(\text{tally}, \text{sid}||0)$  from the adversary for  $\mathcal{J}_{\text{MB}}$ :

- Close the voting session  $\text{sid}$ , accept counting queries.
- Send  $(\text{result}, \text{sid})$  to  $\mathcal{J}_{\text{VOTE}}$ .
- Get the voting result  $r$  from  $\mathcal{J}_{\text{VOTE}}$  and store it.

# The simulator — counting

On input  $(\text{count}, \text{sid})$  from the adversary for the tallier  $T_i$ :

- Check the proofs of all votes  $(c_i, \pi_i)$  using  $\mathcal{J}_{\text{NIZK}}$ .
  - ◆ Going to wait-state, if necessary.
- Let  $C$  be the product of all votes with valid proofs.
- For talliers  $T_1, \dots, T_n$ , let  $d_1, \dots, d_n$  be
  - ◆ if  $T_i$  is corrupt, then  $d_i = \mathcal{D}(k_i^-, C)$ ;
  - ◆ if  $T_i$  is honest, then a  $d_i$  is simulated value such that  $d_1, \dots, d_n$  combine to  $r$ .
    - ◆  $d_1, \dots, d_n$  are generated at the first  $(\text{count}, \text{sid})$ -query.
- Make a NIZK proof  $\xi_i$  for the share  $d_i$ .
- Broadcast  $(d_i, \xi_i)$  in session  $\text{sid}||1$  using  $\mathcal{J}_{\text{MB}}$ .
- **A corrupt tallier** can broadcast anything. But only  $(d_i, \xi_i)$  for the valid  $d_i$  is accepted at the next step.

# The simulator — reporting the results

On input  $(\text{result}, \text{sid})$  from the adversary for any voter or tallier  $i$ :

- Takes all votes  $(c_j, \pi_j)$  and all shares of the result  $(d_j, \xi_j)$ .
- Verifies all correctness proofs of votes.
- Multiplies the valid votes.
- Verifies the correctness proofs of shares.
- If sufficiently many proofs are correct then sends  $(\text{give result}, \text{sid}, i)$  to  $\mathcal{J}_{\text{VOTE}}$ .

# Damgård-Jurik encryption system

- A homomorphic threshold encryption system
- Somewhat RSA-like
  - ◆ Operations are modulo  $n^s$ , where  $n$  is a RSA modulus.
  - ◆ Easy to recover  $i$  from  $(1 + n)^i \bmod n^s$ .
- Maybe in the lecture...
- Otherwise see [http://www.daimi.au.dk/~ivan/GenPaillier\\_finaljour.ps](http://www.daimi.au.dk/~ivan/GenPaillier_finaljour.ps)

# Secure MPC from thresh. homom. encr.

Computationally secure against malicious coalitions with size less than the threshold.

- Function given as a circuit with multiplications and additions.
- The value on each wire is represented as its encryption, known to all.
- Addition gate — everybody can add encrypted values by themselves.
- Multiplication of  $a$  and  $b$  (encryptions are  $\bar{a}$  and  $\bar{b}$ ):
  - ◆ Each party  $P_i$  chooses a random  $d_i$ , broadcasts  $\bar{d}_i$ , proves in ZK that it knows  $d_i$ .
  - ◆ Let  $d = d_1 + \dots + d_n$ . Then  $\bar{d} = \bar{d}_1 \oplus \dots \oplus \bar{d}_n$ .
  - ◆ Decrypt  $\bar{a} \oplus \bar{d} = \overline{a + d}$ , let everybody know it.
  - ◆ Let  $\bar{a}_1 = \overline{a + d} \ominus \bar{d}_1$  and  $\bar{a}_i = \ominus \bar{d}_i$ .  $P_i$  knows  $a_i$ .
  - ◆  $P_i$  broadcasts  $a_i \odot \bar{b} = \overline{a_i b}$  and proves in ZK that he computed it correctly.
  - ◆ Everybody computes  $\overline{a_1 b} \oplus \dots \oplus \overline{a_n b} = \overline{ab}$ .