

Compactness properties of acts over semigroups

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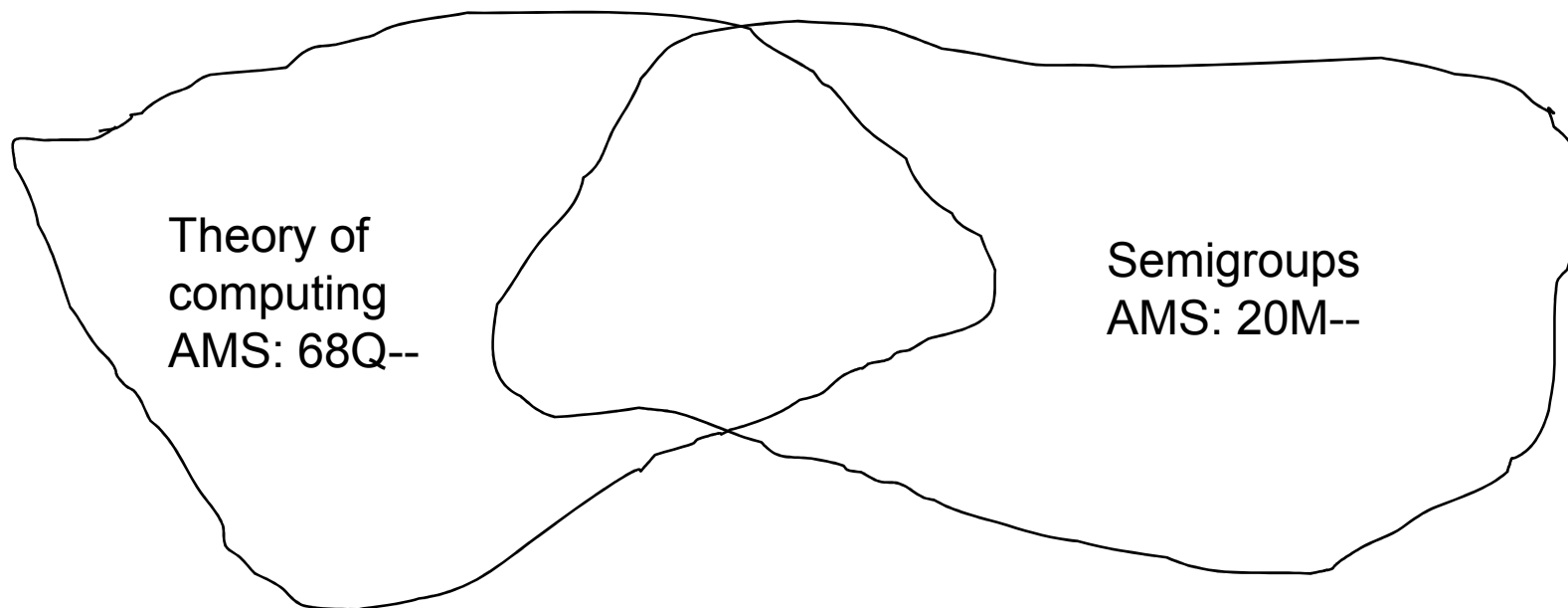


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Two partly overlapping communities

Theoretical computer science

Discrete mathematics/algebra



Although the objects of study - automata and acts over semigroups - are similar, communities studying them are quite different.



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The plan

1. Represent the finite deterministic (FD) automata in algebraic form.
2. Formulate a classification problem of FD automata/input monoids.
3. Generalize the representation to acts over arbitrary monoids.
4. Demonstrate the classification of monoids by example of equational compactness.
5. List some open problems.



Automata

A finite (deterministic) automaton:

$$M = M(Q, \Sigma, \delta, q_0, F), \delta: Q \times \Sigma \rightarrow Q, q_0 \in Q, F \subseteq Q, |Q|, |\Sigma| < \infty.$$

Mapping δ can be iteratively extended to Σ^* , the set of all words over the alphabet Σ :

$$\delta(q, \varepsilon) = q, \delta(q, wa) = \delta(\delta(q, w), a), q \in Q, w \in \Sigma^*, a \in \Sigma, \varepsilon - \text{empty word}.$$

Language accepted by M :

$$L = L(M) = \{w \in \Sigma^* \mid \delta(q_0, w) \in F\}.$$

Action congruences on Σ^*

Define a binary operation $\bullet$ on Σ^* : $w_1 \bullet w_2 = w_1 w_2, \forall w_1, w_2 \in \Sigma^*$.

$(\Sigma^*, \bullet)$ is a free semigroup with the generating set Σ and empty word ε as the identity element.

Define binary relations ρ and σ on Σ^* :

$$w_1 \rho w_2 \Leftrightarrow \delta(q, w_1) = \delta(q, w_2) \text{ for all } q \in Q,$$

$$w_1 \sigma w_2 \Leftrightarrow \delta(q_0, w_1) = \delta(q_0, w_2).$$

ρ is a congruence and σ is a right congruence on Σ^* with $\rho \subseteq \sigma$.

Therefore Σ^*/ρ is a semigroup S with identity (a monoid).



Algebraic notation

Assuming that all elements of Q can be reached from q_0 we get that Q and the factor set Σ^*/σ can be identified: $Q = \Sigma^*/\sigma$.

Instead of input alphabet Σ , we can consider the input monoid $S = \Sigma^*/\rho$.

The automaton $M(Q, \Sigma, \delta, q_0, F)$ can be written in the form

$$M = M(\Sigma^*/\sigma, S, \delta', \underline{\varepsilon}, \underline{L}).$$

In the language of mathematics/algebra:

M is a cyclic (generated by a single element $\underline{\varepsilon}$) act over monoid S together with a distinguished subset $N (= \underline{L})$.

Here both M and S are finite.



Back to automata: classification

Any automaton $M(Q, \Sigma, \delta, q_0, F)$ determines uniquely a congruence ρ on Σ^* by $w_1 \rho w_2 \Leftrightarrow \delta(q, w_1) = \delta(q, w_2)$ for all $q \in Q$:

$$M \bowtie \rho = \rho(M).$$

Consider the class **Aut-S**, $S = \Sigma^* / \rho$, of all automata that have **S** as its input monoid; assign $M \bowtie$ **Aut-S**.

$$\text{In fact } \mathbf{Aut-S} = \{X \mid \rho \subseteq \rho(X)\}.$$

A classification problem: having fixed a monoid **S**, describe the elements of **Aut-S** (find characterizing properties of automata from **Aut-S**).

The opposite problem: having fixed a property of automata in **Aut-S**, find out what properties should have the input monoid **S** of these automata.



Generalization: an act over a monoid

Definition. Let S be an arbitrary monoid and let M be a set. S is called a *right action on M* (equivalently, M is a right S -act) if there exists a mapping $M \times S \rightarrow M$ such that $m\varepsilon = m$ and $m(st) = (ms)t$ for all $m \in M$ and $s, t \in S$.

Differences from classical automata case:

1. S is not necessarily finite nor finitely generated: the input alphabet of corresponding automaton can be infinite.
2. M is not necessarily finite nor finitely generated: the corresponding automaton can have more than one initial state - generators of M .

Any subset of M of elements having certain property can be considered as a set of final states.



Some typical problems

Description of the structure of S -acts: find conditions for an S -act for having a certain property. Example: equationally compact act.

Homological characterization of monoids: having fixed a certain property P of S -acts, find conditions for monoid S so that all S -acts would have the property P . Example: equational compactness.

Decomposition of S -acts: find conditions under which an S -act that has certain property P , can be presented as a composition of “smaller” S -acts each of which has property P .



Finite *versus* infinite

An attempt in 1980-ies in Estonia to marry theory and practice.

A possible solution: compactness properties.

A property is called a *compactness property* if from the fact that certain conditions are fulfilled for arbitrary finite subsets of certain set, it follows that these conditions are fulfilled for the whole set.

Examples: equational compactness and its generalizations, congruence compactness.



Equational compactness

(Polynomial) equations on a right S -act M :

$xs = yt, xs = xt, xs = a$, where $s, t \in S, a \in M, x, y$ are variables.

Definition. An S -act M is called *equationally compact* if every system of polynomial equations (with constants from M) has a solution in M provided that every finite subsystem has a solution in M .

Example: All finite acts are equationally compact.

Negative example: M - a set of natural numbers; $S = (N, \bullet)$ - monoid of natural numbers; $\{x_1 = x_2 \bullet 2, x_2 = x_3 \bullet 2, x_3 = x_4 \bullet 2, \dots\}$ is not solvable.



Generalizations of equational compactness

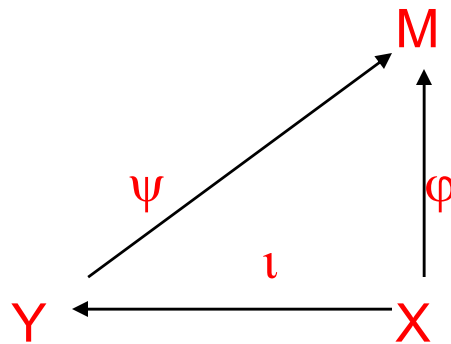
Type of system of equations	Term
Finite number of variables	<i>f-equational compactness</i>
Only one variable	<i>1-equational compactness</i>
No constants	<i>Weak equational compactness</i>
Every maximal connected subsystem contains constants	<i>c-equational compactness</i>

Example: $\mathbf{M}$ - a set of natural numbers; $\mathbf{S} = (\mathbf{N}, \bullet)$ - monoid of natural numbers; $\mathbf{M}$ is both *f*-equationally compact and 1-equationally compact.



Injectivity

An S -act M is called injective if for any homomorphism $\varphi: X \rightarrow M$ and any monomorphism $\iota: X \rightarrow Y$ there exists a unique homomorphism $\psi: Y \rightarrow M$ such that the following diagram



is commutative.

Non-formal description of injectivity: if M simulates an act X then it simulates any bigger act containing X as well.

Theorem. An act is equationally compact $\Leftrightarrow$ it is injective in relation to pure embeddings.



Homological description of monoids

Definition. A monoid S is called right *absolutely f-equationally compact* if all right S -acts are f-equationally compact.

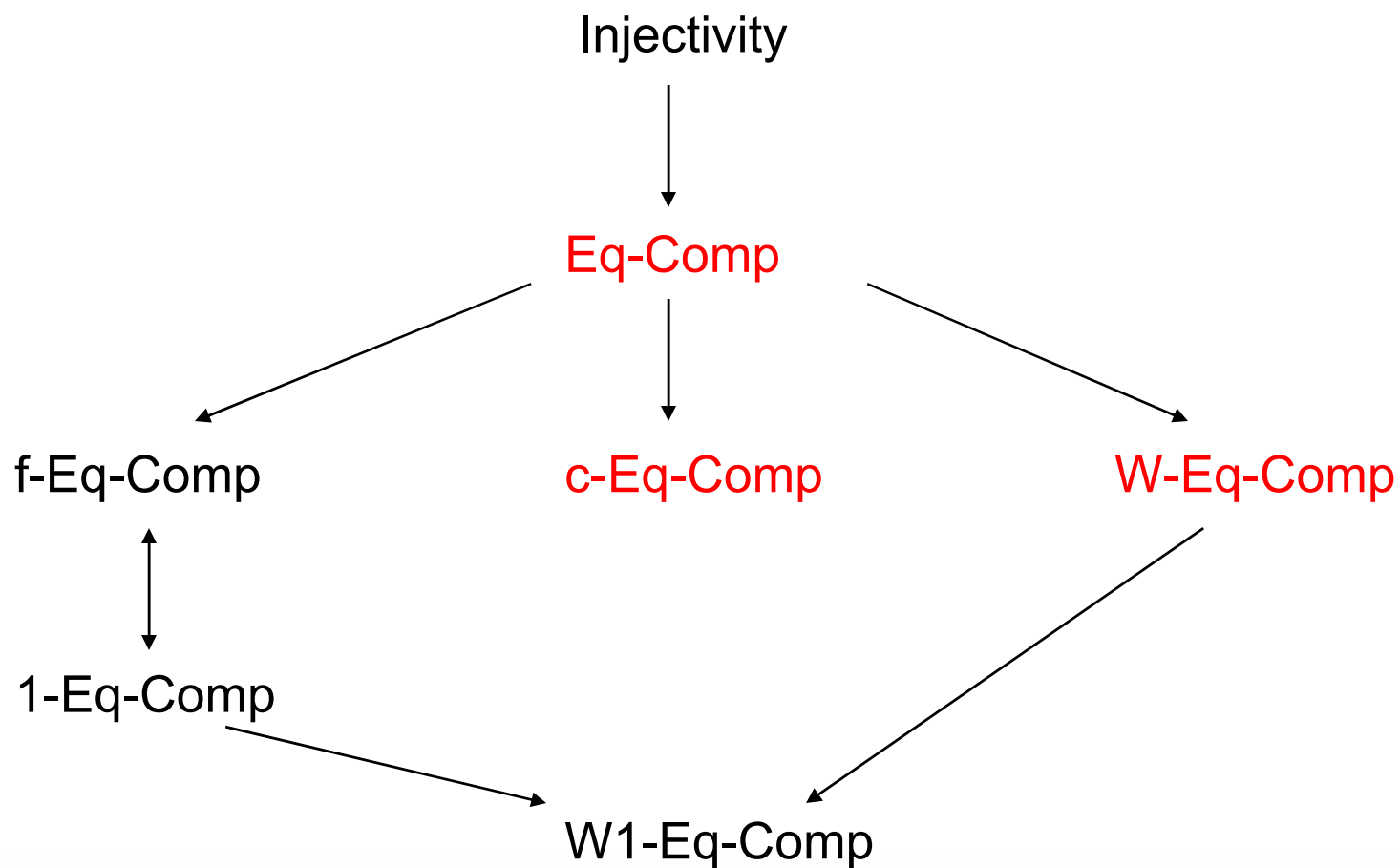
Theorem. The following conditions on a monoid S are equivalent:

- 1) S is right absolutely f-equationally compact;
- 2) S is right absolutely 1-equationally compact;
- 3) All right ideals of S are finitely generated and for every right congruence ρ of S and every finite set $\{s_1, \dots, s_k\} \subseteq S$ there exist an element $u \in S$ and a finitely generated subcongruence $\psi \subseteq \rho$ such that $(s_i, s_i u) \in \rho$ for every i and $\rho \subseteq \psi^u \cup \rho^u \upharpoonright_J$, where J is the right ideal of S generated by the set $\{s_1, \dots, s_k\}$.

Here $s\psi^u t \Leftrightarrow su\psi tu$.



Dependences between properties



Some open problems

1. Description of classes $AEq-Comp$, $Ac-Eq-Com$ and $AW-Eq-Comp$.
2. Description of monoids over which two subclasses of absolutely injective monoids coincide.
3. Find conditions for an equationally compact act to be congruence compact or *vice versa*.
4. Description of (commutative) monoids over which all congruence compact acts are equationally compact.
5. Description of self-equationally compact monoids.

