

A Colorful Introduction to Cellular Automata

Silvio Capobianco

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Overview

- Cellular automata (CA) are local presentations of global dynamics
- They are powerful tools for qualitative analysis
- They display several interesting theoretical features
- We will set some of them **in action**

History of cellular automata

- von Neumann, 1950s:
mechanical model of self-reproduction
- Moore and Myhill, 1962:
the Garden of Eden problem
- Hedlund, 1969:
shift dynamical systems
- Hardy, de Pazzis, Pomeau 1976:
lattice gas automata
- Amoroso and Patt, 1972; Kari, 1990:
the invertibility problem
- Machì and Mignosi, 1993:
cellular automata on Cayley graphs



Life is a Game

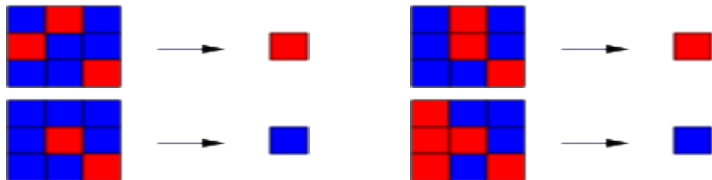
Invented by John Horton Conway (1960s) popularized by Martin Gardner.
The **checkboard** is an infinite square grid.

Each case (cell) of the checkboard is “surrounded” by those within a chess’ king’s move, and can be “living” or “dead”.

- 1 A dead cell surrounded by **exactly three** living cells, **becomes living**.
- 2 A living cell surrounded by **two or three** living cells, **survives**.
- 3 A living cell surrounded by **less than two** living cells, dies of **isolation**.
- 4 A living cell surrounded by **more than three** living cells, dies of **overpopulation**.



Game of Life situations



Cellular automata

Conway's Game of Life is an example of cellular automaton.

Definition

A cellular automaton (CA) on a regular lattice \mathcal{L} is a triple $\langle S, \mathcal{N}, f \rangle$ where

- 1 S is a finite **set of states**
- 2 $\mathcal{N} = \{\nu_1, \dots, \nu_N\}$ is a finite **neighborhood index** on \mathcal{L}
- 3 $f : S^N \rightarrow S$ is the **local function**

The local function induces a **global function** on $S^{\mathcal{L}}$

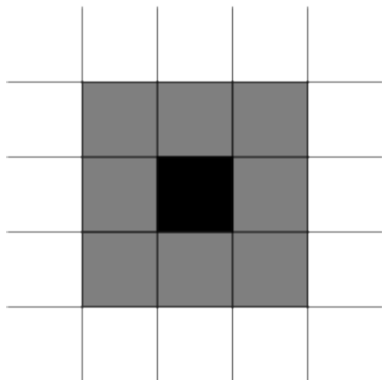
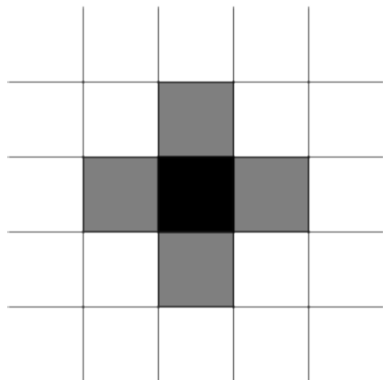
$$G(c)(z) = f(c(z + \nu_1), \dots, c(z + \nu_N))$$

The evolution from configuration c is thus

$$c_z^{t+1} = f(c_{z+\nu_1}^t, \dots, c_{z+\nu_N}^t)$$



von Neumann and Moore neighborhoods on the square grid



Wolfram's enumeration of 1D CA rules

Given a 1-dimensional, 2-state rule with neighborhood $vN(1)$,

- 1 identify the sequence $(x, y, z) \in \{0, 1\}^{vN(1)}$ with the the binary number xyz , and
- 2 associate to the rule f the number $\sum_{j=0}^7 2^j f(j)$.



Applications of cellular automata

- Population dynamics
- Economics
- Fluid dynamics
- Simulations of geological phenomena
- Symbolic dynamics
- Approximation of differential equations
- Screen savers
- And many more...

Implementations

CA are straightforward to implement on a computer.

- Define the space.
- Implement the local rule
- Run an update.

More difficult is to provide a [general framework](#) for CA.

- Hardware
 - ▶ CAM6 (Toffoli and Margolus; PC-XT expansion card)
 - ▶ CAM8 (Toffoli and Margolus; SPARCStation-driven device)
- Software
 - ▶ JCASim (Weimar; in Java)
 - ▶ SIMP/STEP (Bach and Toffoli; in Python)



- Developed by Edward (Ted) Bach as his PhD project under the supervision of Tommaso Toffoli.
- Currently in its 0.7 release.
- Written as a Python module.
- Employs the NumPy and PyGame modules.
- Allows implementation of several kinds of lattices.



And now for something totally different...

Reversible cellular automata

A **reversible cellular automaton** (briefly, RCA) is a cellular automaton \mathcal{A} such that:

- The global function F is bijective.
- There exists a CA \mathcal{A}' whose global function is F^{-1} .

It is well-known that

if the global function is bijective
then the CA is a RCA.



Reversible CA are ubiquitous

Toffoli embedding theorem (1979)

Every d -dimensional CA can be simulated by a $(d + 1)$ -dimensional RCA.

Reason why

- History can be stored by a second layer and the additional dimension.
- The additional layer is shifted—reversible.
- The original function on first layer is XOR'ed with second—reversible.



... however, reversibility is problematic

Theorem (Amoroso and Patt, 1972)

Reversibility of 1D CA is decidable.

Reason why: **tool** provided by de Bruijn graphs.

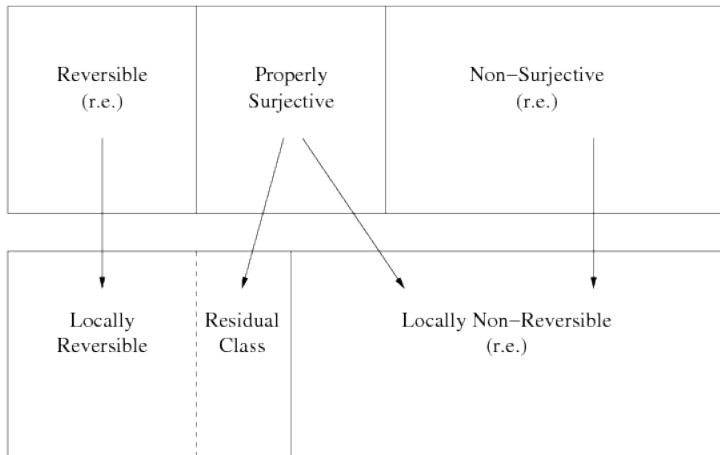
Theorem (Kari, 1990)

Reversibility of 2D CA is undecidable.

Reason why: **obstacle** from undecidability of tiling problem.



CA from infinite to finite lattices



Block automata

They are a model of “watertight compartments” computation.

- Space is partitioned into equally-shaped **blocks**
- Each block updates **at the same time**
- Each block updates **independently** of the others

Block automata may be thought of as **zero-range, coarse-grained CA**.

Block automata are ubiquitous!

Theorem (Kari, 1996)

Every reversible 1D and 2D CA can be **rewritten** as a composition of block automata and partial shifts.

Theorem (Durand-Lôse, 2001)

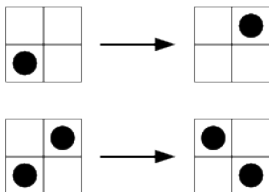
Every reversible CA can be **simulated** by a composition of block automata and partial shifts.



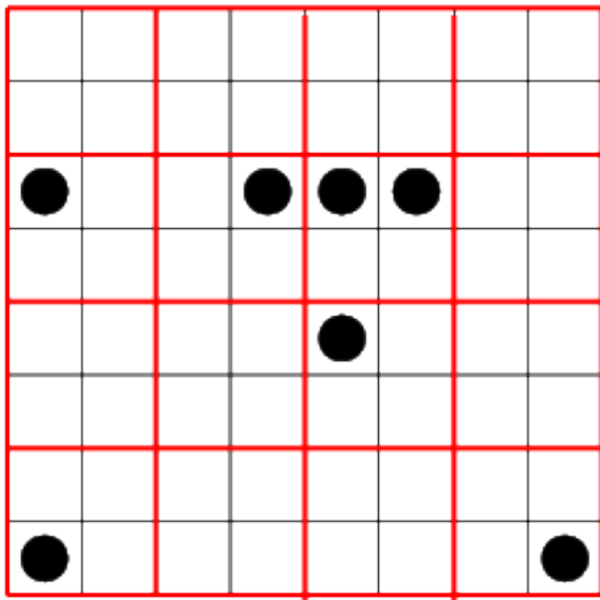
The Margolus neighborhood

Key ideas:

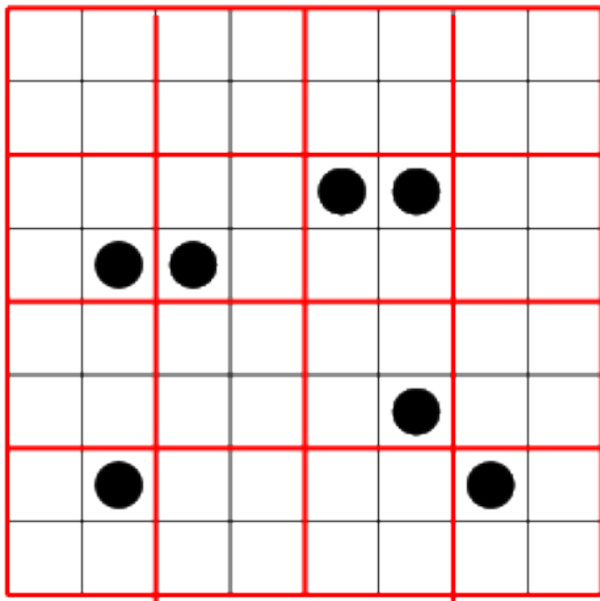
- **Split** plane into 2×2 blocks.
- **Change** center of splitting at each step.
- **Make** symmetric, bijective rule.



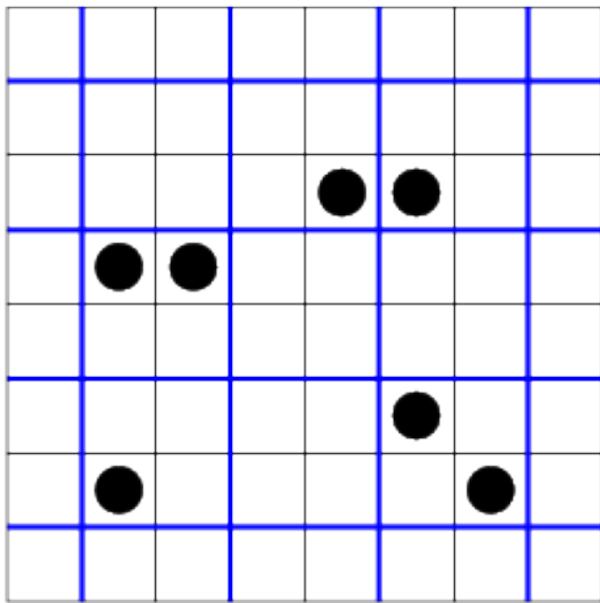
Update with the Margolus neighborhood



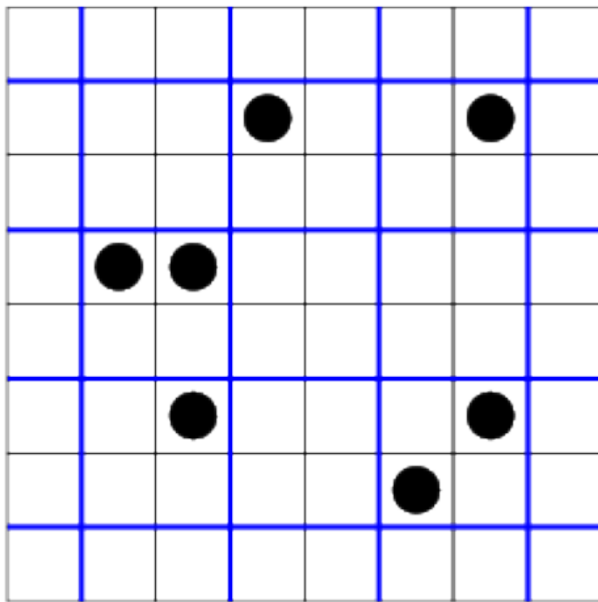
Update with the Margolus neighborhood



Update with the Margolus neighborhood



Update with the Margolus neighborhood



Example: Fredkin's billiard ball model

Implementation by Toffoli and Margolus, 1986

- Square grid with Margolus neighborhood.
- Walls are represented by paired lines of particles.
- Balls are represented by pairs of particles on a diagonal with an empty space between them.
- Block rule:
 - ▶ If one: proceed.
 - ▶ If two from opposite directions: bounce 90° .
 - ▶ Otherwise: nothing.



Lattice-gas automata: A two-steps discipline

Collision

- Strictly pointwise process
- Same **number** for inputs and outputs
- Same **types** for inputs and outputs

Propagation

- Each signal to one neighbour
- No replication
- No reuse



Characterization of reversible lattice-gas automata

Theorem

Let \mathcal{A} be a lattice-gas automaton with collision function $f : S^N \rightarrow S^N$.
TFAE.

- 1 \mathcal{A} is reversible.
- 2 f is a permutation.

Reason why

- Propagation is reversible by construction.
- Collision is a collection of processes on isolated points.
- But any such collection is globally reversible iff it is a collection of local reversible processes.



Example: HPP

- Square grid on the plane.
- Up to four particles per node, in the four directions.
- Collision rule:
 - ▶ If from opposite directions: bounce 90° .
 - ▶ Otherwise: proceed.



Example: FHP

- Triangular grid on the plane.
- Up to six particles per node, in the six directions.
- Collision rule:
 - ▶ If two from opposite directions: bounce 60° in random direction.
 - ▶ If three 120° apart: bounce 60° .
 - ▶ Otherwise: proceed.



Second-order dynamics

We call **second-order** a dynamics of the form

$$x^{t+1} = F(x^t, x^{t-1}) \quad (1)$$

- In “first-order” dynamics, the converse of $x^{t+1} = F(x^t)$ is $x^t = G(x^{t+1})$ with $G = F^{-1}$.
- In second-order dynamics, the converse of (1) should have the form

$$x^{t-1} = G(x^t, x^{t+1})$$

for some G .

- What should the shape of G be?



Characterization of second-order reversibility

The following are equivalent.

- 1 The following second-order system is reversible:

$$x^{t+1} = F(x^t, x^{t-1})$$

- 2 The following second-order system is reversible:

$$(x^{t+1}, y^{t+1}) = (F(x^t, y^t), x^t)$$

- 3 For every $p \in X$, the following map is a bijection:

$$F_p(x) = F(p, x)$$

Thus, a second-order dynamical system is reversible iff

the future is a permutation of the past
parameterized by the present.



Second-order cellular automata

In a second-order CA the local function maps S^{N+1} into S .
The dynamics has the form

$$c_x^{t+1} = f \left(c_{x+\mathcal{N}(1)}^t, \dots, c_{x+\mathcal{N}(N)}^t; c_x^{t-1} \right) \quad (2)$$

We have the following trick, due to Fredkin:

- Consider the first-order CA:

$$c_x^{t+1} = f \left(c_{x+\mathcal{N}(1)}^t, \dots, c_{x+\mathcal{N}(N)}^t \right)$$

where the states are integers modulo m .

- Then

$$c_x^{t+1} = f \left(c_{x+\mathcal{N}(1)}^t, \dots, c_{x+\mathcal{N}(N)}^t \right) - c_x^{t-1}$$

is a **reversible** second-order CA!



Reversibility in second-order CA

Let $\mathcal{A} = \langle d, S, \mathcal{N}, f \rangle$ be a second-order CA. TFAE.

- 1 \mathcal{A} is reversible.
- 2 f is a permutation of its last argument, parameterized by its first $|\mathcal{N}|$ arguments.

Moreover, **any** second-order CA can be rewritten isomorphically as a lattice-gas automaton. (Toffoli, C., and Mentrasti, 2004)



Two-step second-order

Divide the grid into two sub-grids, **even** and **odd**, so that:

- 1 **Even** cells only have **odd** neighbors.
- 2 **Odd** cells only have **even** neighbors.

Separate the updates so that:

- 1 **Even** cells only update at **even** times.
- 2 **Odd** cells only update at **odd** times.



Example: Ising model on the plane

- Square grid, von Neumann neighborhood.
- Nodes contain up/down dipoles.
- Edges represent links.
- A link is **excited** if orientation of dipoles is **opposite**.
- A link is **relaxed** if orientation of dipoles is **same**.
- Update rule:
 - ▶ If as many **excited** as **relaxed**: flip node.
 - ▶ Otherwise: nothing.



On the Web

- Cellular automata FAQ
www.cafaq.com
- Jarkko Kari's tutorial
users.utu.fi/jkari/ca/CAintro.pdf
- Ted Bach's SIMP/STEP
sourceforge.net/projects/simpstep/
www.ioc.ee/~silvio/simp.html
- Guillaume Theyssier's ACML
www.lama.univ-savoie.fr/~theyssier/acml/
- Jörg R. Weimar's JCASim
www.jweimar.de/jcasim/
- Golly (Game of Life simulator)
golly.sourceforge.net/
- Stephen Wolfram's articles
www.stephenwolfram.com/publications/articles/ca/

On paper

- J. Kari. Theory of Cellular Automata: a survey. *Theor. Comp. Sci.* **334** (2005) 3–33.
- T. Toffoli, N. Margolus. Invertible cellular automata: A review. *Physica D* **45** (1990) 229–253.
- T. Toffoli, S. Capobianco, P. Mentrasti. How to turn a second-order cellular automaton into a lattice gas: a new inversion scheme. *Theor. Comp. Sci.* **325** (2004) 329–344.
- T. Toffoli, S. Capobianco, P. Mentrasti. When—and how—can a cellular automaton be rewritten as a lattice gas? *Theor. Comp. Sci.* **403** (2008) 71–88.



Thank you for attention!

Any questions?