

Realizability and Turing Categories

Chad Nester

Joint work with Robin Cockett

University of Calgary

August 11, 2016

We can construct a model of first-order intuitionistic arithmetic out of the partial recursive functions (Kleene 1945)

Instead of truth values, propositions are modelled as subsets of \mathbb{N} , which we say *realize* them. These act as constructive evidence that a proposition holds.

This model is sound, but not complete. Some propositions are realizable, but not provable in the intuitionistic deductive system.

We define the set of realizers $\llbracket \varphi \rrbracket \subseteq \mathbb{N}$ for a proposition φ by

$\llbracket \varphi \rrbracket = \mathbb{N}$ if φ is a true atomic formula, e.g. $4 = 4$

$\llbracket \varphi \rrbracket = \{\}$ if φ is a false atomic formula, e.g. $3 = 4$

$\llbracket \varphi \wedge \psi \rrbracket = \{\langle n, m \rangle \mid n \in \llbracket \varphi \rrbracket, m \in \llbracket \psi \rrbracket\}$

$\llbracket \varphi \vee \psi \rrbracket = \{\langle 0, n \rangle \mid n \in \llbracket \varphi \rrbracket\} \cup \{\langle 1, n \rangle \mid n \in \llbracket \psi \rrbracket\}$

$\llbracket \varphi \Rightarrow \psi \rrbracket = \{n \mid \forall m \in \llbracket \varphi \rrbracket. \phi_n(m) \in \llbracket \psi \rrbracket\}$

$\llbracket \exists x \varphi \rrbracket = \{\langle n, m \rangle \mid n \in \llbracket \varphi[m/x] \rrbracket\}$

$\llbracket \forall x \varphi \rrbracket = \{n \mid \forall m \in \mathbb{N}. \phi_n(m) \in \llbracket \varphi[m/x] \rrbracket\}$

A similar approach can be used to construct a realizability model for topos logic. This is called the *effective topos* (Hyland 1982)

We can construct this sort of *realizability topos* for any partial combinatory algebra*, not just the one given by the partial recursive functions. (Hyland, Pitts, Johnstone, ...)

These are pretty cool. Applications in programming language semantics.

*: Any partial combinatory algebra *on sets*.
(Cockett & Hofstra 2008)

A *partial applicative system* in a cartesian restriction category \mathbb{X} consists of an object A and a map $\bullet : A \times A \rightarrow A$. (That's it!)

We say a map $f : A \rightarrow A$ of \mathbb{X} is *A-computable* in case there is a total map $h : 1 \rightarrow A$ such that

$$\begin{array}{ccc} A \times A & \xrightarrow{\bullet} & A \\ 1 \times h \uparrow & \nearrow f & \\ A \times 1 \simeq A & & \end{array}$$

A partial applicative system is *combinatory complete* in case the *A-computable* maps form a cartesian restriction subcategory of \mathbb{X} .

Such a partial applicative system is called a *partial combinatory algebra* (PCA).

A *Turing category* is a cartesian restriction category with a *Turing object*. That is, a universal object A together with an application map $\bullet : A \times A \rightarrow A$ such that for every map $f : A \rightarrow A$ there is a total map $h : 1 \rightarrow A$ such that

$$\begin{array}{ccc}
 A \times A & \xrightarrow{\bullet} & A \\
 \uparrow 1 \times h & \nearrow f & \\
 A \times 1 \simeq A & &
 \end{array}$$

Think of a Turing category as a bunch of computable maps, with the Turing object representing the “data” that we want to compute with.

We can do computability theory in every Turing category. There is a universality theorem, parameter theorem, and so on.

For example, the partial recursive functions give a Turing category that embeds into the category of sets and partial functions, **ptl**.

The Turing object is \mathbb{N} , and the application map $\bullet : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ is defined by $\bullet(m, n) = \phi_n(m)$. Then, for the n th partial recursive function f , the total map $h : 1 \rightarrow \mathbb{N}$ such that

$$\begin{array}{ccc} \mathbb{N} \times \mathbb{N} & \xrightarrow{\bullet} & \mathbb{N} \\ 1 \times h \uparrow & \nearrow f & \\ \mathbb{N} \times 1 \simeq \mathbb{N} & & \end{array}$$

is the map $\{ * \mapsto n \}$.

This example is called *Kleene's first model* of computation.

We want to construct realizability models in which the realizers come from an arbitrary Turing category, not necessarily a subcategory of sets and partial functions, **ptl**.

To that end, we work with a cartesian restriction functor

$$F : \mathbb{A} \rightarrow \mathbb{X}$$

where \mathbb{A} is a Turing category.

If the codomain of F is **ptl** and $F : \mathbb{A} \rightarrow \mathbf{ptl}$ is an inclusion, the construction yields the usual realizability tripos.

If the codomain of F is **ptl**, the construction yields the generalized realizability tripos of (Birkedal 2002).

Let \mathbb{A} be a Turing category, \mathbb{X} be a cartesian restriction category, and $F : \mathbb{A} \rightarrow \mathbb{X}$ be a cartesian restriction functor.

An *assembly* is a restriction idempotent $\varphi : \mathcal{O}(F(A) \times X)$ in \mathbb{X} where A is an object of \mathbb{A} , and X is an object of \mathbb{X} .

A *morphism of assemblies* $f : \varphi \rightarrow \psi$ for $\varphi : \mathcal{O}(F(A) \times X)$, $\psi : \mathcal{O}(F(B) \times Y)$ is a map $f : X \rightarrow Y$ of \mathbb{X} which is *tracked* by some map $\gamma : A \rightarrow B$ of \mathbb{A} . That is

$$(i) \quad \varphi(F(\gamma) \times f) = \varphi(F(\gamma) \times f)\psi$$

$$(ii) \quad \overline{\varphi(1 \times f)} = \overline{\varphi(F(\gamma) \times f)}$$

Assemblies and their morphisms form a cartesian restriction category, denoted $\text{asm}(F)$.

For example, when F is the inclusion of Kleene's first model into **ptl**, an assembly $\varphi : \mathcal{O}(\mathbb{N} \times X)$ defines a relation $\varphi \subseteq \mathbb{N} \times X$, which we view as a map $\varphi : X \rightarrow \mathcal{P}(\mathbb{N})$.

In this case, a morphism of assemblies $f : \varphi \rightarrow \psi$ for $\varphi : \mathcal{O}(\mathbb{N} \times X)$, $\psi : \mathcal{O}(\mathbb{N} \times Y)$ is a map $f : X \rightarrow Y$ such that there exists a partial recursive function $\gamma : \mathbb{N} \rightarrow \mathbb{N}$ satisfying

$$\forall x \in X. (b \in \varphi(x) \wedge f(x) \downarrow) \Rightarrow (\gamma(b) \downarrow \wedge \gamma(b) \in \psi(f(x)))$$

The category of total maps of $\text{asm}(F)$ is the usual category of assemblies constructed from Kleene's first model.

For a Turing category \mathbb{A} and cartesian restriction functor $F : \mathbb{A} \rightarrow \mathbb{X} \dots$

If \mathbb{X} is a cartesian restriction category, then $\text{asm}(F)$ is a cartesian restriction category.

If \mathbb{X} is a discrete range restriction category, then $\text{asm}(F)$ is a range restriction category.

If \mathbb{X} is a discrete cartesian closed restriction category, then $\text{asm}(F)$ is a locally cartesian closed range restriction category.

A *discrete cartesian closed restriction category* is a cartesian closed restriction category in which, for each object X , the diagonal map $\Delta : X \rightarrow X \times X$ has a partial inverse.

For example, **ptl** is a discrete cartesian closed restriction category.

However, **ptl** is a *partial topos* (Curien & Obtulowicz 1989). It has *more* logical structure than a discrete cartesian closed restriction category.

Our realizability tripos construction only requires the codomain of

$$F : \mathbb{A} \rightarrow \mathbb{X}$$

to be a discrete cartesian closed restriction category.

Let $\partial : \mathbb{E} \rightarrow \mathbb{X}$ be a restriction functor.

An arrow $f : X \rightarrow X'$ of \mathbb{E} is *prone* in case whenever we have maps $g : Y \rightarrow X'$ in \mathbb{E} and $h : \partial(Y) \rightarrow \partial(X)$ in \mathbb{X} such that $h\partial(f) \geq \partial(g)$, there exists a map $\hat{h} : Y \rightarrow X$ such that $\hat{h}f \geq g$, $\partial\hat{h} \leq h$, and for any other map $k : Y \rightarrow X$ with these properties, $\hat{h} \leq k$.

In \mathbb{E} :

$$\begin{array}{ccc}
 Y & & \\
 \exists \hat{h} \downarrow \text{dotted} & \searrow g & \\
 X & \xrightarrow{f} & X'
 \end{array}
 \quad \geq$$

In \mathbb{X} :

$$\begin{array}{ccc}
 \partial(Y) & & \\
 h \downarrow & \searrow \partial(g) & \\
 \partial(X) & \xrightarrow{\partial(f)} & \partial(X')
 \end{array}
 \quad \geq$$

$\partial : \mathbb{E} \rightarrow \mathbb{X}$ is a *latent fibration* in case, for each map $f : A \rightarrow \partial(X)$ of \mathbb{X} , there is prone arrow above f with codomain X .

Latent fibrations are the correct notion of fibration for restriction categories.

A latent fibration $\partial : \mathbb{E} \rightarrow \mathbb{X}$ is *total* if it reflects total maps. That is, if $\overline{\partial(f)} = 1$ implies $\overline{f} = 1$.

From any total latent fibration $\partial : \mathbb{E} \rightarrow \mathbb{X}$, we can construct a fibration in the usual sense, $\partial_t : \mathbb{E} \rightarrow \text{total}(\mathbb{X})$, whose fibers are those of ∂ .

Now, for a cartesian restriction functor $F : \mathbb{A} \rightarrow \mathbb{X}$, \mathbb{A} a Turing category, \mathbb{X} a cartesian restriction category, there is a forgetful restriction functor

$$\partial : \text{asm}(F) \rightarrow \mathbb{X}$$

This turns out to be a total latent fibration. The prone map above $f : X \rightarrow \partial(\psi)$ for $\psi : \mathcal{O}(F(B) \times Y)$ is

$$\overline{(1 \times f)\psi} \xrightarrow{f} \psi$$

If \mathbb{X} is a discrete cartesian closed restriction category, then ∂_t is a tripos. The *realizability tripos*.

We can also do this construction when \mathbb{A} has only part of the structure of a Turing category to obtain a series of *realizability pretriposes* in the sense of (Birkedal 2002)

Let \mathbb{X} be a restriction category.

Define the category $\mathcal{R}(\mathbb{X})$ by

objects: pairs (X, e) where X an object of \mathbb{X} , $e : \mathcal{O}(X)$

maps: a map $(X, e) \xrightarrow{f} (X', e')$ is a map $f : X \rightarrow X'$ of \mathbb{X} satisfying $e \leq \overline{fe'}$.

composition: as in \mathbb{X}

identities: the identity on (X, e) is 1_X

There is a forgetful restriction functor

$$\mathcal{O} : \mathcal{R}(\mathbb{X}) \rightarrow \mathbb{X}$$

which also reflects total maps.

In fact $\mathcal{O} : \mathcal{R}(\mathbb{X}) \rightarrow \mathbb{X}$ is a total latent fibration.

The prone map above $f : X \rightarrow \mathcal{O}(X', e')$ is

$$(X, \overline{fe'}) \xrightarrow{f} (X', e')$$

If \mathbb{X} is a discrete cartesian closed restriction category then the corresponding fibration \mathcal{O}_t is a tripos.

That's new. (Cockett & Hofstra unpublished notes)

Given a tripos $p : \mathbb{E} \rightarrow \mathbb{X}$, we can use the internal language to construct a topos whose objects are partial equivalence relations on objects of \mathbb{X} , and whose maps $f : X \rightarrow Y$ are relations that are

$$\text{Strict: } \forall_{xy}(f(x, y) \Rightarrow x \sim x \wedge y \sim y)$$

$$\text{Relational: } \forall_{xx'yy'}(f(x, y) \wedge x \sim x' \wedge y \sim y' \Rightarrow f(x', y'))$$

$$\text{Deterministic: } \forall_{xyy'}(f(x, y) \wedge f(x, y') \Rightarrow y \sim y')$$

$$\text{Total: } \forall_x(x \sim x \Rightarrow \exists_y(f(x, y)))$$

If we remove the requirement that a relation is total, the resulting category is a *partial topos*. Since every discrete cartesian closed restriction category determines a tripos, this means it also determines a partial topos. More generally, every tripos determines a partial topos.

Thanks for listening!