

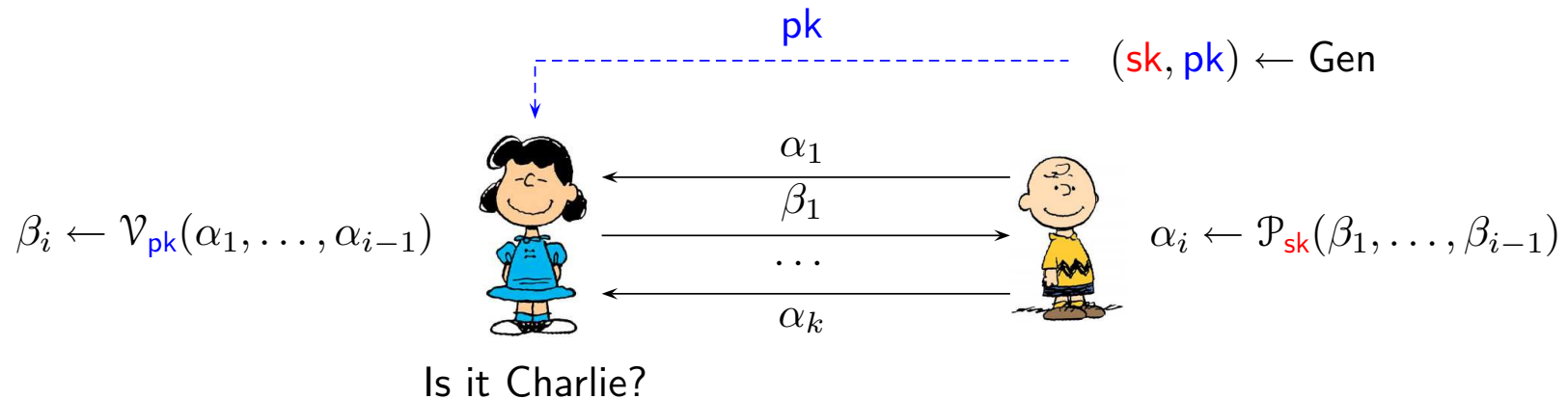
MTAT.07.003 CRYPTOLOGY II

Entity Authentication

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Formal Syntax

Entity authentication



- ▷ The communication between the prover and verifier must be authentic.
- ▷ To establish electronic identity, Charlie must generate $(pk, sk) \leftarrow \text{Gen}$ and convinces others that the public information pk represents him.
- ▷ The entity authentication protocol must convince the verifier that his or her opponent possesses the secret sk .
- ▷ An entity authentication protocol is *functional* if an honest verifier \mathcal{V}_{pk} always accepts an honest prover \mathcal{P}_{sk} .

Classical impossibility results

Inherent limitations. Entity authentication is impossible

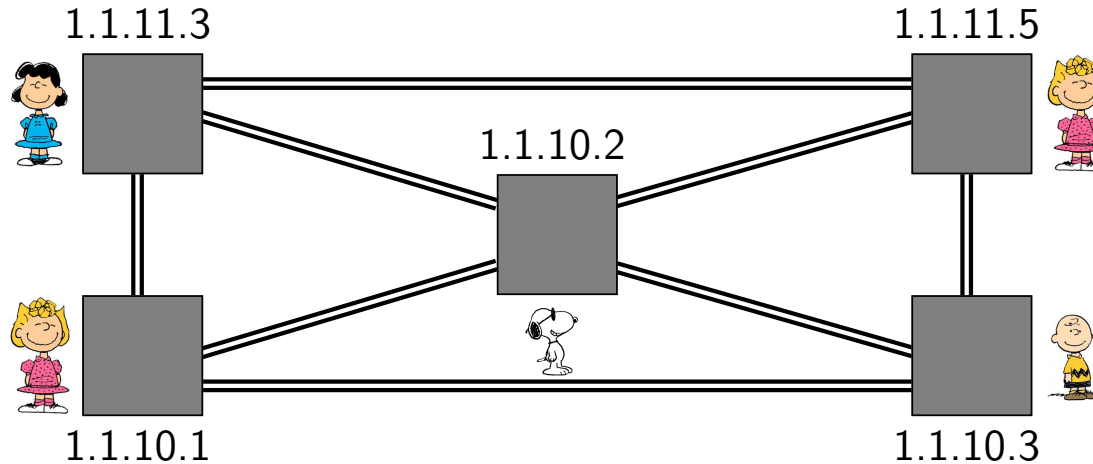
- (i) **if** authenticated communication is unaffordable in the setup phase;
- (ii) **if** authenticated communication is unaffordable in the second phase.

Proof. Man-in-the-middle attacks. Chess-master attacks.

Conclusions

- ▷ It is impossible to establish legal identity without physical measures.
- ▷ Any smart card is susceptible to physical attacks regardless of the cryptographic countermeasures used to authenticate transactions.
- ▷ Secure e-banking is impossible if the user does not have full control over the computing environment (secure e-banking is practically impossible).

Physical and legal identities



- ▷ Entity authentication is possible only if all participants have set up a network with authenticated communication links.
- ▷ A role of an entity authentication protocol is to establish a convincing bound between physical network address and legal identities.
- ▷ A same legal identity can be in many physical locations and move from one physical node to another node.

Challenge-Response Paradigm

Salted hashing

Global setup:

Authentication server \mathcal{V} outputs a description of a hash function h .

Entity creation:

A party \mathcal{P} chooses a password $\text{sk} \xleftarrow{u} \{0, 1\}^\ell$ and a nonce $r \xleftarrow{u} \{0, 1\}^k$. The public authentication information is $\text{pk} = (r, c)$ where $c \leftarrow h(\text{sk}, r)$.

Entity authentication:

To authenticate him- or herself, \mathcal{P} releases sk to the server \mathcal{V} who verifies that the hash value is correctly computed, i.e., $c = h(\text{sk}, r)$.

Theorem. If h is (t, ε) -secure one-way function, then no t -time adversary \mathcal{A} without sk can succeed in the protocol with probability more than ε .

- ▷ There are no secure one-way functions for practical sizes of sk .
- ▷ A malicious server can completely break the security.

RSA based entity authentication

Global setup:

Authentication server \mathcal{V} fixes the minimal size of RSA keys.

Entity creation:

A party \mathcal{P} runs a RSA key generation algorithm $(pk, sk) \leftarrow \text{Gen}_{\text{rsa}}$ and outputs the public key pk as the authenticating information.

Entity authentication:

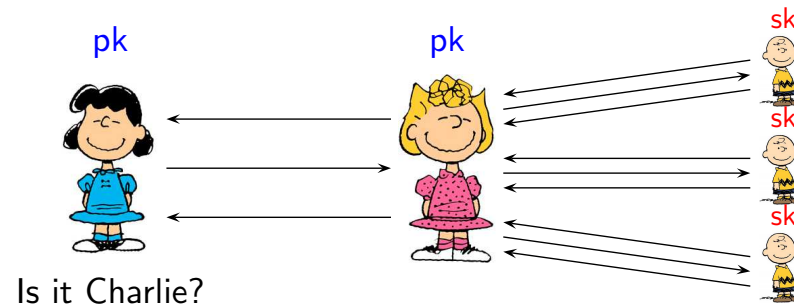
1. \mathcal{V} creates a challenge $c \leftarrow \text{Enc}_{pk}(m)$ for $m \xleftarrow{u} \mathcal{M}$ and sends c to \mathcal{P} .
2. \mathcal{P} sends back $\overline{m} \leftarrow \text{Dec}_{sk}(c)$.
3. \mathcal{V} accepts the proof if $m = \overline{m}$.

This protocol can be generalised for any public key cryptosystem.

The general form of this protocol is known as *challenge-response protocol*.

This mechanism provides explicit security guarantees in the TLS protocol.

The most powerful attack model



Consider a setting, where an adversary \mathcal{A} can impersonate verifier \mathcal{V}

- ▷ The adversary \mathcal{A} can execute several protocol instances with the honest prover \mathcal{P} in parallel to spoof the challenge protocol.
- ▷ The adversary \mathcal{A} may use protocol messages arbitrarily as long as \mathcal{A} does not conduct the crossmaster attack.

Let us denote the corresponding success probability by

$$\text{Adv}^{\text{ent-auth}}(\mathcal{A}) = \Pr [(\text{pk}, \text{sk}) \leftarrow \text{Gen} : \mathcal{V}^{\mathcal{A}} = 1] \ .$$

Corresponding security guarantees

Theorem. If a cryptosystem used in the challenge-response protocol is (t, ε) -IND-CCA2 secure, then for any t -time adversary \mathcal{A} the corresponding success probability $\text{Adv}^{\text{ent-auth}}(\mathcal{A}) \leq \frac{1}{|\mathcal{M}|} + \varepsilon$.

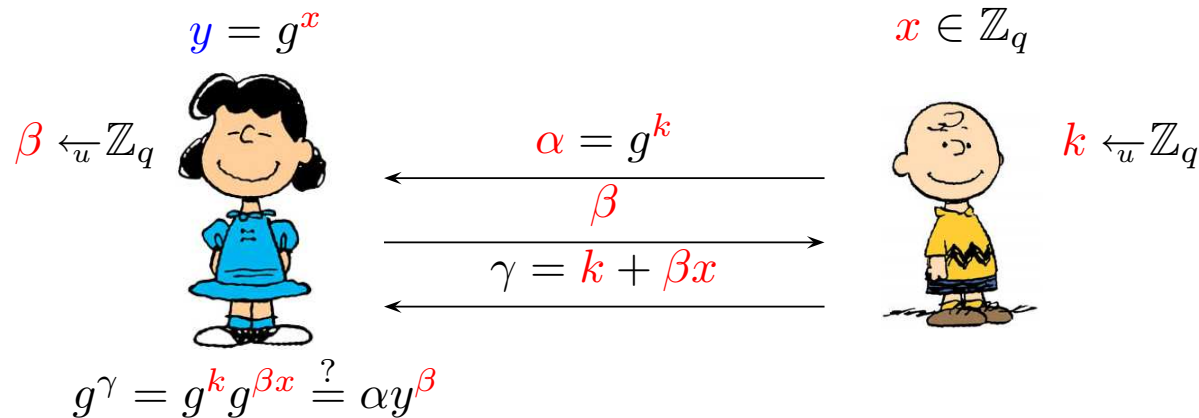
Proof. A honest prover acts as a decryption oracle.

The nature of the protocol

- ▷ The protocol proves only that the prover has access to the decryption oracle and therefore the prover must *possess* the secret key *sk*.
- ▷ The possession of the secret key *sk* does not imply the *knowledge* of it. For example, the secret key *sk* might be hardwired into a smart card.
- ▷ Usually, the inability to decrypt is a strictly stronger security requirement than the ability to find the secret key.
- ▷ *Knowledge* is permanent whereas *possession* can be temporal.

Proofs of knowledge

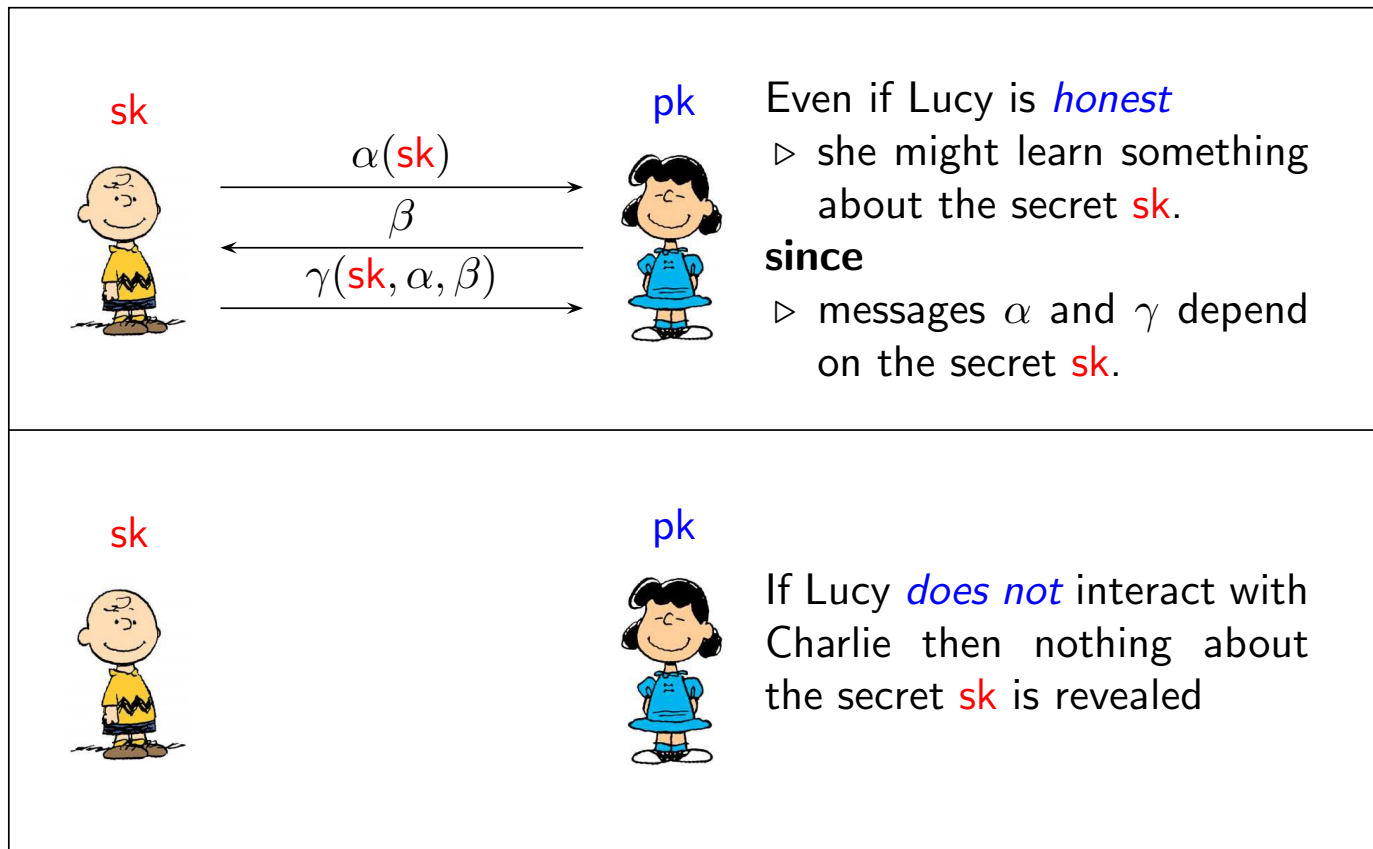
Schnorr identification protocol



The group $\mathbb{G} = \langle g \rangle$ must be a DL group with a prime cardinality q .

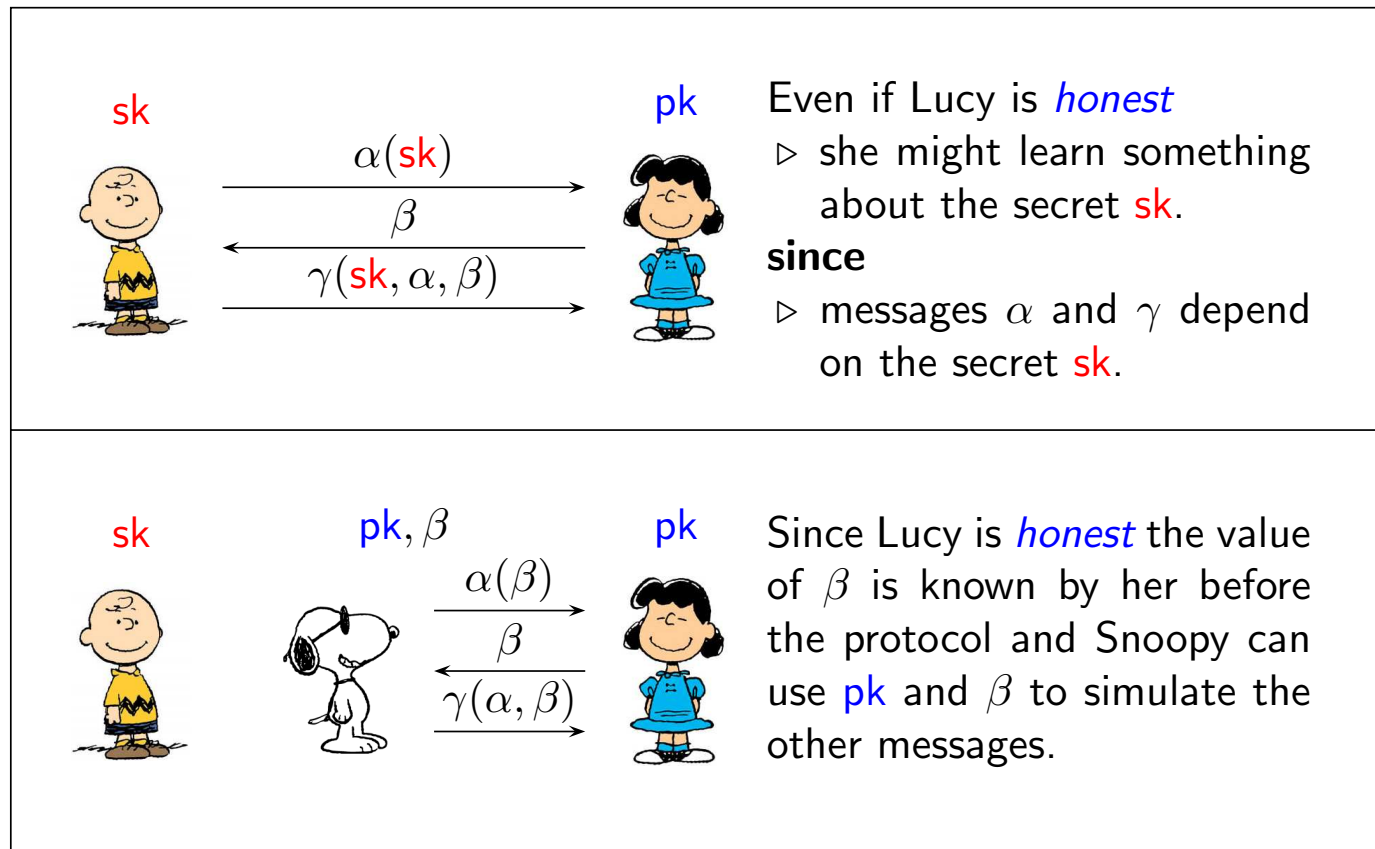
- ▷ The secret key x is the discrete logarithm of y .
- ▷ The verifier \mathcal{V} is assumed to be semi-honest.
- ▷ The prover \mathcal{P} is assumed to be potentially malicious.
- ▷ We consider only security in the standalone setting.

Zero-knowledge principle



Lucy should be equally *successful* in both experiments.

Simulation principle



Lucy should not be able to distinguish between these two experiments.

Zero-knowledge property

Theorem. If a t -time verifier \mathcal{V}_* is semi-honest in the Schnorr identification protocol, then there exists $t + O(1)$ -algorithm \mathcal{V}_\circ that has the same output distribution as \mathcal{V}_* but do not interact with the prover \mathcal{P} .

Proof.

Consider a code wrapper \mathcal{S} that chooses $\beta \xleftarrow{u} \mathbb{Z}_q$ and $\gamma \xleftarrow{u} \mathbb{Z}_q$ and computes $\alpha \leftarrow g^\gamma \cdot y^{-\beta}$ and outputs whatever \mathcal{V}_* outputs on the transcript (α, β, γ) .

- ▷ If $x \neq 0$, then $\gamma = \beta + xk$ has indeed a uniform distribution.
- ▷ For fixed β and γ , there exist only a single consistent value of α .

□

Rationale: Semi-honest verifier learns nothing from the interaction with the prover. The latter is known as *zero-knowledge* property.

Knowledge-extraction lemma

Given two runs with a coinciding prefix α

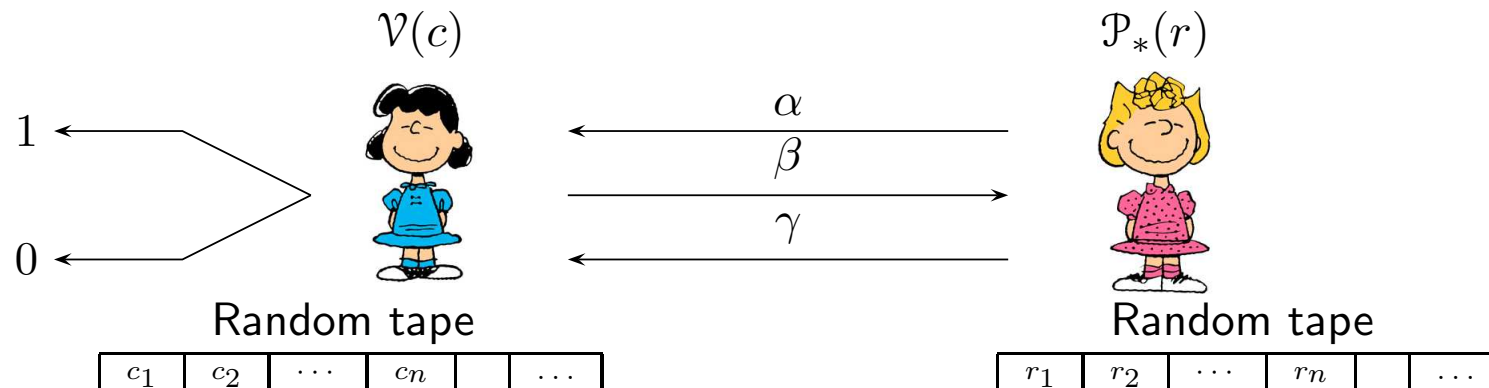
$$\begin{array}{ccc} & \alpha = g^{\textcolor{red}{k}} & \\ \swarrow \beta & & \searrow \beta' \\ \gamma = \textcolor{red}{k} + \beta x & & \gamma' = \textcolor{red}{k} + \beta' x \end{array}$$

We can extract the secret key $x = \frac{\gamma - \gamma'}{\beta - \beta'}$.

This property is known as *special-soundness*.

- ▷ If adversary \mathcal{A} succeeds with probability 1, then we can extract the secret key x by rewinding \mathcal{A} to get two runs with a coinciding prefix α .
- ▷ If adversary \mathcal{A} succeeds with a non-zero probability ε , then we must use more advanced knowledge-extraction techniques.

Find two ones in a row

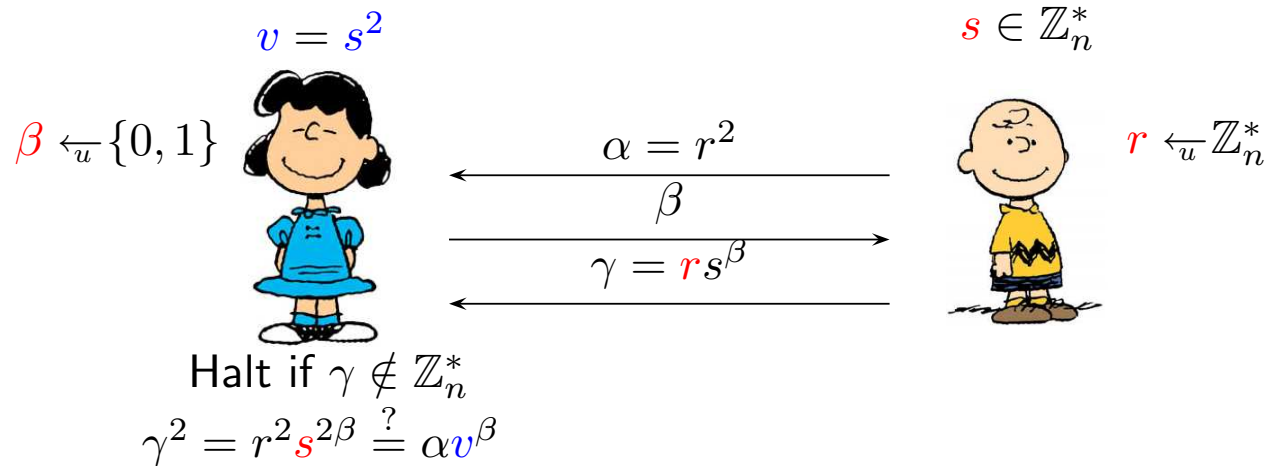


Let $A(r, c)$ be the output of the honest verifier $\mathcal{V}(c)$ that interacts with a potentially malicious prover $\mathcal{P}_*(r)$.

- ▷ Then all matrix elements in the same row $A(r, \cdot)$ lead to same α value.
- ▷ To extract the secret key **sk**, we must find two ones in the same row.
- ▷ We can compute the entries of the matrix on the fly.

We derive the corresponding security guarantees a *bit later*.

Modified Fiat-Shamir identification protocol



All computations are done in \mathbb{Z}_n , where n is an RSA modulus.

- ▷ The secret key s is a square root of v .
- ▷ The verifier \mathcal{V} is assumed to be semi-honest.
- ▷ The prover \mathcal{P} is assumed to be potentially malicious.
- ▷ We consider only security in the standalone setting.

Zero-knowledge property

Theorem. If a t -time verifier \mathcal{V}_* is semi-honest in the modified Fiat-Shamir identification protocol, then there exists $t + O(1)$ -algorithm \mathcal{V}_o that has the same output distribution as \mathcal{V}_* but do not interact with the prover \mathcal{P} .

Proof.

Consider a code wrapper \mathcal{S} that chooses $\beta \xleftarrow{u} \{0, 1\}$, $\gamma \xleftarrow{u} \mathbb{Z}_n^*$, computes $\alpha \leftarrow v^{-\beta} \cdot \gamma^2$ and outputs whatever \mathcal{V}_* outputs on the transcript (α, β, γ) .

- ▷ Since s is invertible, we can prove that $s \cdot \mathbb{Z}_n^* = \mathbb{Z}_n^*$ and $s^2 \cdot \mathbb{Z}_n^* = \mathbb{Z}_n^*$.
As a result, γ is independent of β and has indeed a uniform distribution.
- ▷ For fixed β and γ , there exist only a single consistent value of α .

□

Knowledge-extraction lemma

Theorem. The Fiat-Shamir protocol is specially sound.

Proof. Assume that a prover \mathcal{P}_* succeeds for both challenges $\beta \in \{0, 1\}$:

$$\gamma_0^2 = \alpha, \quad \gamma_1^2 = \alpha v \quad \implies \quad \frac{\gamma_1}{\gamma_0} = \sqrt{v} \ .$$

The corresponding extractor construction \mathcal{K} :

- ▷ Choose random coins r for \mathcal{P}_* .
- ▷ Run the protocol with $\beta = 0$ and record γ_0
- ▷ Run the protocol with $\beta = 1$ and record γ_1
- ▷ Return $\zeta = \frac{\gamma_1}{\gamma_0}$

Bound on success probability

Theorem. Let v and n be fixed. If a potentially malicious prover \mathcal{P}_* succeeds in the modified Fiat-Shamir protocol with probability $\varepsilon > \frac{1}{2}$, then the knowledge extractor $\mathcal{K}^{\mathcal{P}_*}$ returns \sqrt{v} with probability $\varepsilon - \frac{1}{2}$.

Proof. Consider the success matrix $A(r, c)$ as before. Let p_1 denote the fraction rows that contain only single one and p_2 the fraction of rows that contain two ones. Then evidently $p_1 + p_2 \leq 1$ and $\frac{p_1}{2} + p_2 \geq \varepsilon$ and thus we can establish $p_2 \geq \varepsilon - \frac{1}{2}$. \square

Rationale: The knowledge extraction succeeds in general only if the success probability of \mathcal{P}_* is above $\frac{1}{2}$. The value $\kappa = \frac{1}{2}$ is known as *knowledge error*.

Matrix Games

Classical algorithm

Task: Find two ones in a same row.

Rewind:

1. Probe random entries $A(r, c)$ until $A(r, c) = 1$.
2. Store the matrix location (r, c) .
3. Probe random entries $A(r, \bar{c})$ in the same row until $A(r, \bar{c}) = 1$.
4. Output the location triple (r, c, \bar{c}) .

Rewind-Exp:

1. Repeat the procedure Rewind until $c \neq \bar{c}$.
2. Use the knowledge-extraction lemma to extract **sk**.

Average-case running time

Theorem. If a $m \times n$ zero-one matrix A contains ε -fraction of nonzero entries, then the Rewind and Rewind-Exp algorithm make on average

$$\mathbf{E}[\text{probes}|\text{Rewind}] = \frac{2}{\varepsilon}$$

$$\mathbf{E}[\text{probes}|\text{Rewind-Exp}] = \frac{2}{\varepsilon - \kappa}$$

probes where $\kappa = \frac{1}{n}$ is a *knowledge error*.

Proof. We prove this theorem in another lecture.

Strict time bounds

Markov's inequality assures that for a non-negative random variable probes

$$\Pr [\text{probes} \geq \alpha] \leq \frac{\mathbf{E} [\text{probes}]}{\alpha}$$

and thus Rewind-Exp succeeds with probability at least $\frac{1}{2}$ after $\frac{4}{\varepsilon - \kappa}$ probes.

If we repeat the experiment ℓ times, the failure probability goes to $2^{-\ell}$.

From Soundness to Security

Soundness and subjective security

Assume that we know a constructive proof:

If for fixed pk a potentially malicious t -time prover \mathcal{P}_* succeeds with probability $\varepsilon > \kappa$, then a knowledge extractor $\mathcal{K}^{\mathcal{P}}$ that runs in time $\tau(\varepsilon) = O\left(\frac{t}{\varepsilon - \kappa}\right)$ outputs sk with probability $1 - \varepsilon_2$.

and we *believe*:

No human can create a $\tau(\varepsilon_1)$ -time algorithm that computes sk from pk with success probability at least $1 - \varepsilon_2$.

then it is *rational* to assume that:

No human without the knowledge of sk can create a algorithm \mathcal{P}_* that succeeds in the proof of knowledge with probability at least ε_1 .

Caveat: For each fixed pk , there exists a trivial algorithm that prints out sk . Hence, we cannot get objective security guarantees.

Soundness and objective security

Assume that we know a constructive proof:

If for a fixed pk a potentially malicious t -time prover \mathcal{P}_* succeeds with probability $\varepsilon > \kappa$, then a knowledge extractor $\mathcal{K}^{\mathcal{P}}$ that runs in time $\tau(\varepsilon) = O\left(\frac{t}{\varepsilon - \kappa}\right)$ outputs sk with probability $1 - \varepsilon_2$.

and know a mathematical fact that any $\tau(2\varepsilon_1)$ -time algorithm \mathcal{A}

$$\Pr[(\text{pk}, \text{sk}) \leftarrow \text{Gen} : \mathcal{A}(\text{pk}) = \text{sk}] \leq \varepsilon_1(1 - \varepsilon_2)$$

then we can prove an average-case security guarantee:

For any t -time prover \mathcal{P}_* that does not know the secret key

$$\text{Adv}^{\text{ent-auth}}(\mathcal{A}) = \Pr[(\text{pk}, \text{sk}) \leftarrow \text{Gen} : \mathcal{V}^{\mathcal{P}_*}(\text{pk}) = 1] \leq 2\varepsilon_1 .$$

Objective security guarantees

Schnorr identification scheme

If \mathbb{G} is a DL group, then the Schnorr identification scheme is secure, where the success probability is averaged over all possible runs of the setup Gen .

Fiat-Shamir identification scheme

Assume that modulus n is chosen from a distribution \mathcal{N} of RSA moduli such that on average factoring is hard over \mathcal{N} . Then the Fiat-Shamir identification scheme is secure, where the success probability is averaged over all possible runs of the setup Gen and over all choices of modulus n .