

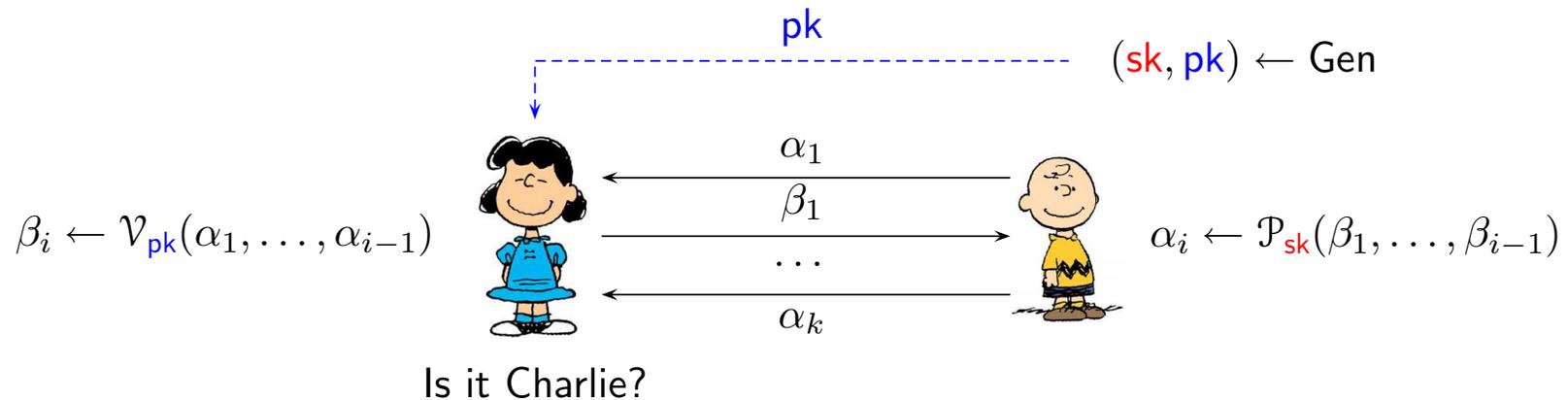
Entity Authentication

Sven Laur
swen@math.ut.ee

University of Tartu

Formal Syntax

Entity authentication



- ▷ The communication between the prover and verifier must be authentic.
- ▷ To establish electronic identity, Charlie must generate $(pk, sk) \leftarrow \text{Gen}$ and convinces others that the public information pk represents him.
- ▷ The entity authentication protocol must convince the verifier that his or her opponent possesses the secret sk .
- ▷ An entity authentication protocol is **functional** if an honest verifier \mathcal{V}_{pk} always accepts an honest prover \mathcal{P}_{sk} .

Classical impossibility results

Inherent limitations. Entity authentication is impossible if

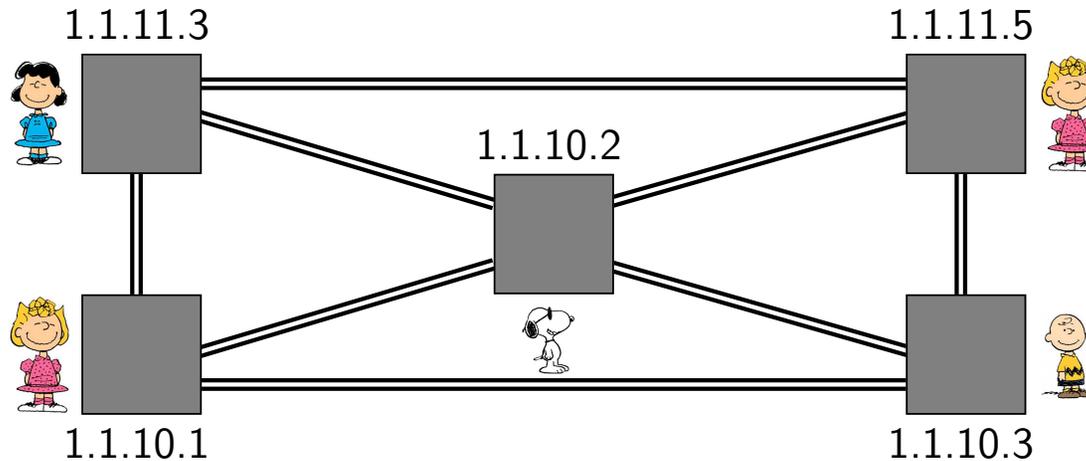
- (i) authenticated communication is unaffordable in the setup phase.
- (ii) authenticated communication is unaffordable in the second phase.

Proof: Man-in-the-middle attacks. Chess-master attacks.

Conclusions

- ▷ It is impossible to establish legal identity without physical measures.
- ▷ Any bank-card is susceptible to physical attacks regardless of the cryptographic countermeasures used to authenticate transactions.
- ▷ Secure e-banking is impossible if the user does not have full control over the computing environment (secure e-banking is practically impossible).

Physical and legal identities



- ▷ Entity authentication is possible only if all participants have set up a network with authenticated communication links.
- ▷ A role of an entity authentication protocol is to establish a convincing bound between physical network address and legal identities.
- ▷ A same legal identity can be in many physical locations and move from one physical node to another node.

Challenge-Response Paradigm

Salted hashing

Global setup:

Authentication server \mathcal{V} outputs a description of a hash function h .

Entity creation:

A party \mathcal{P} chooses a password $\text{sk} \leftarrow_u \{0, 1\}^\ell$ and a nonce $r \leftarrow_u \{0, 1\}^k$. The public authentication information is $\text{pk} = (r, c)$ where $c \leftarrow h(\text{sk}, r)$.

Entity authentication:

To authenticate him- or herself, \mathcal{P} releases sk to the server \mathcal{V} who verifies that the hash value is correctly computed, i.e., $c = h(\text{sk}, r)$.

Theorem. If h is (t, ε) -secure one-way function, then no t -time adversary \mathcal{A} without sk can succeed in the protocol with probability more than ε .

- ▷ There are no secure one-way functions for practical sizes of sk .
- ▷ A malicious server can completely break the security.

RSA based entity authentication

Global setup:

Authentication server \mathcal{V} fixes the minimal size of RSA keys.

Entity creation:

A party \mathcal{P} runs a RSA key generation algorithm $(pk, sk) \leftarrow \text{Gen}_{\text{rsa}}$ and outputs the public key pk as the authenticating information.

Entity authentication:

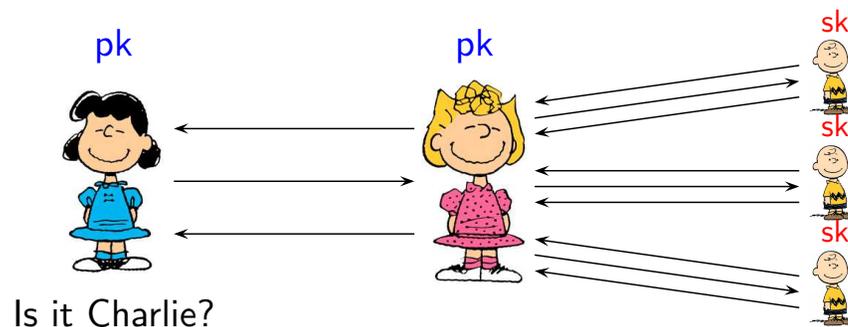
1. \mathcal{V} creates a challenge $c \leftarrow \text{Enc}_{pk}(m)$ for $m \leftarrow_u \mathcal{M}$ and sends c to \mathcal{P} .
2. \mathcal{P} sends back $\bar{m} \leftarrow \text{Dec}_{sk}(c)$.
3. \mathcal{V} accepts the proof if $m = \bar{m}$.

This protocol can be generalised for any public key cryptosystem.

The general form of this protocol is known as [challenge-response protocol](#).

This mechanism provides explicit security guarantees in the SSL protocol.

The most powerful attack model



Consider a setting, where an adversary \mathcal{A} can impersonate verifier \mathcal{V}

- ▶ The adversary \mathcal{A} can execute several protocol instances with the honest prover \mathcal{P} in parallel to spoof the challenge protocol.
- ▶ The adversary \mathcal{A} may use protocol messages arbitrarily as long as \mathcal{A} does not conduct the crossmaster attack.

Let us denote the corresponding success probability by

$$\text{Adv}^{\text{ea}}(\mathcal{A}) = \Pr [(\text{pk}, \text{sk}) \leftarrow \text{Gen} : \mathcal{V}^{\mathcal{A}} = 1] .$$

Corresponding security guarantees

Theorem. If a cryptosystem used in the challenge-response protocol is (t, ε) -IND-CCA2 secure, then for any t -time adversary \mathcal{A} the corresponding success probability $\text{Adv}^{\text{ea}}(\mathcal{A}) \leq \frac{1}{|\mathcal{M}|} + \varepsilon$.

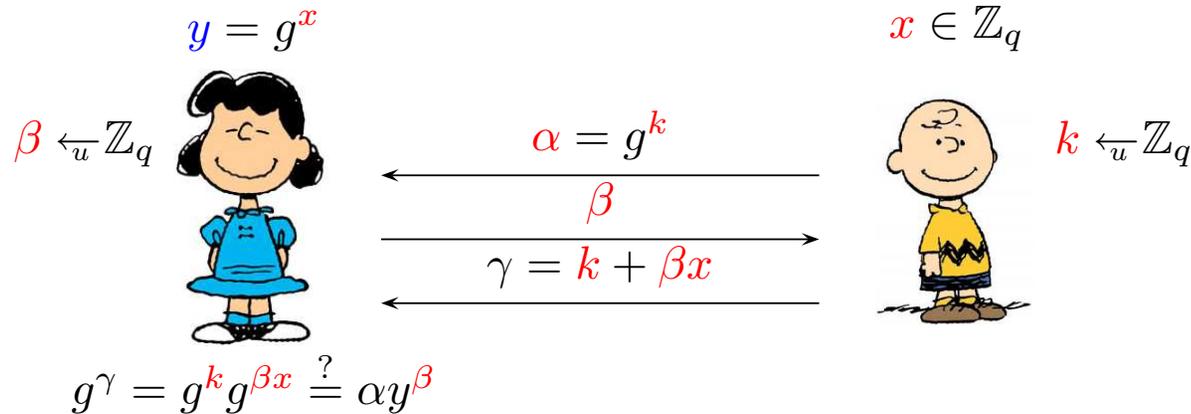
Proof. A honest prover acts as a decryption oracle.

The nature of the protocol

- ▷ The protocol proves only that the prover has access to the decryption oracle and therefore the prover must **possess** the secret key sk .
- ▷ The possession of the secret key sk does not imply the **knowledge** of it. For example, the secret key sk might be hardwired into a smart card.
- ▷ Usually, the inability to decrypt is a strictly stronger security requirement than the ability to find the secret key.
- ▷ **Knowledge** is permanent whereas **possession** can be temporal.

Proofs of knowledge

Schnorr identification protocol



The group $\mathbb{G} = \langle g \rangle$ must be a DL group with a prime cardinality q .

- ▷ The secret key x is the discrete logarithm of y .
- ▷ The verifier \mathcal{V} is assumed to be semi-honest.
- ▷ The prover \mathcal{P} is assumed to be potentially malicious.
- ▷ We consider only security in the standalone setting.

Zero-knowledge property

Theorem. If a t -time verifier \mathcal{V}_* is semi-honest in the Schnorr identification protocol, then there exists $t + O(1)$ -algorithm \mathcal{V}_\circ that has the same output distribution as \mathcal{V}_* but do not interact with the prover \mathcal{P} .

Proof.

Consider a code wrapper \mathcal{S} that chooses $\beta \leftarrow_u \mathbb{Z}_q$ and $\gamma \leftarrow_u \mathbb{Z}_q$ and computes $\alpha \leftarrow g^\gamma \cdot y^{-\beta}$ and outputs whatever \mathcal{V}_* outputs on the transcript (α, β, γ) .

- ▷ If $x \neq 0$, then $\gamma = \beta + xk$ has indeed a uniform distribution.
- ▷ For fixed β and γ , there exist only a single consistent value of α .

□

Rationale: Semi-honest verifier learns nothing from the interaction with the prover. The latter is known as [zero-knowledge](#) property.

Knowledge-extraction lemma

Given two runs with a coinciding prefix α

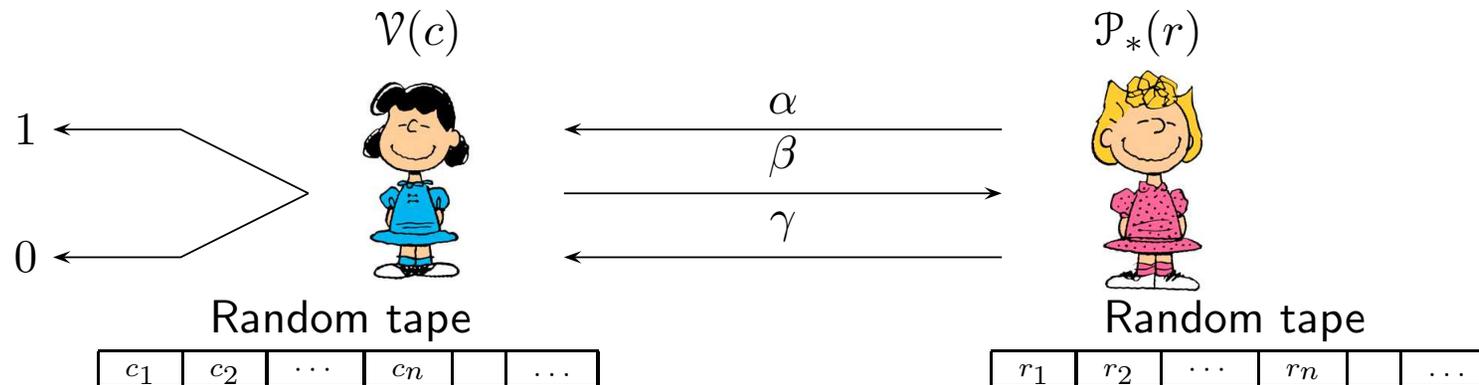
$$\begin{array}{ccc} & \alpha = g^k & \\ \beta \swarrow & & \searrow \beta' \\ \gamma = k + \beta x & & \gamma' = k + \beta' x \end{array}$$

We can extract the secret key $x = \frac{\gamma - \gamma'}{\beta - \beta'}$.

This property is known as **special-soundness**.

- ▷ If adversary \mathcal{A} succeeds with probability 1, then we can extract the secret key x by rewinding \mathcal{A} to get two runs with a coinciding prefix α .
- ▷ If adversary \mathcal{A} succeeds with a non-zero probability ε , then we must use more advanced knowledge extraction techniques.

Find two ones in a row

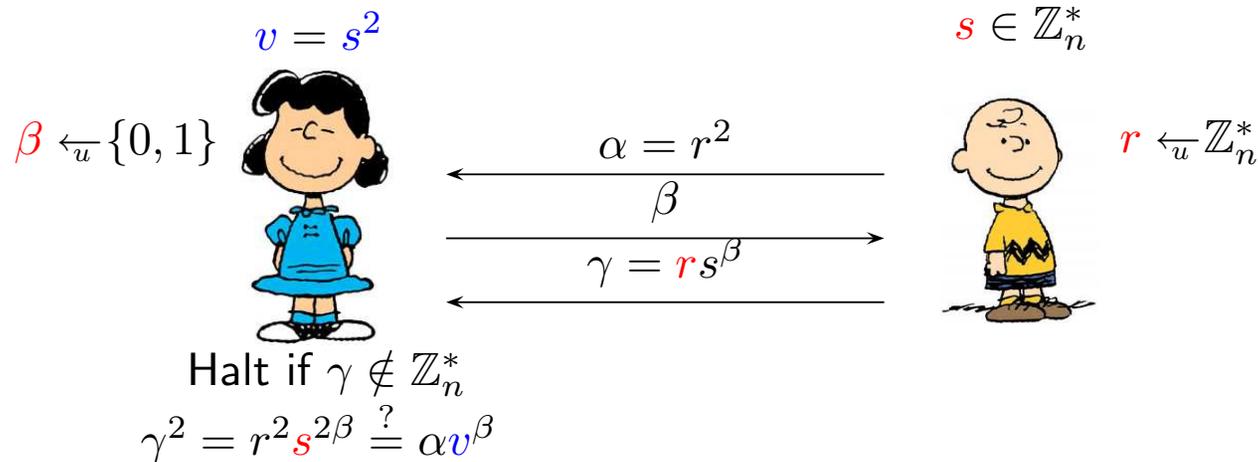


Let $A(r, c)$ be the output of the honest verifier $\mathcal{V}(c)$ that interacts with a potentially malicious prover $\mathcal{P}_*(r)$.

- ▷ Then all matrix elements in the same row $A(r, \cdot)$ lead to same α value.
- ▷ To extract the secret key sk , we must find two ones in the same row.
- ▷ We can compute the entries of the matrix on the fly.

We derive the corresponding security guarantees a **bit later**.

Modified Fiat-Shamir identification protocol



All computations are done in \mathbb{Z}_n , where n is an RSA modulus.

- ▷ The secret key s is a square root of v .
- ▷ The verifier \mathcal{V} is assumed to be semi-honest.
- ▷ The prover \mathcal{P} is assumed to be potentially malicious.
- ▷ We consider only security in the standalone setting.

Zero-knowledge property

Theorem. If a t -time verifier \mathcal{V}_* is semi-honest in the modified Fiat-Shamir identification protocol, then there exists $t + O(1)$ -algorithm \mathcal{V}_\circ that has the same output distribution as \mathcal{V}_* but do not interact with the prover \mathcal{P} .

Proof.

Consider a code wrapper \mathcal{S} that chooses $\beta \xleftarrow{u} \{0, 1\}$, $\gamma \xleftarrow{u} \mathbb{Z}_n^*$, computes $\alpha \leftarrow v^{-\beta} \cdot \gamma^2$ and outputs whatever \mathcal{V}_* outputs on the transcript (α, β, γ) .

- ▷ Since s is invertible, we can prove that $s \cdot \mathbb{Z}_n^* = \mathbb{Z}_n^*$ and $s^2 \cdot \mathbb{Z}_n^* = \mathbb{Z}_n^*$.
As a result, γ is independent of β and has indeed a uniform distribution.
- ▷ For fixed β and γ , there exist only a single consistent value of α .

□

Knowledge-extraction lemma

Theorem. The Fiat-Shamir protocol is specially sound.

Proof. Assume that a prover \mathcal{P}_* succeeds for both challenges $\beta \in \{0, 1\}$:

$$\gamma_0^2 = \alpha, \quad \gamma_1^2 = \alpha v \quad \Longrightarrow \quad \frac{\gamma_1}{\gamma_0} = \sqrt{v} .$$

The corresponding extractor construction \mathcal{K} :

- ▷ Choose random coins r for \mathcal{P}_* .
- ▷ Run the protocol with $\beta = 0$ and record γ_0
- ▷ Run the protocol with $\beta = 1$ and record γ_1
- ▷ Return $\zeta = \frac{\gamma_1}{\gamma_0}$

Bound on success probability

Theorem. Let v and n be fixed. If a potentially malicious prover \mathcal{P}_* succeeds in the modified Fiat-Shamir protocol with probability $\varepsilon > \frac{1}{2}$, then the knowledge extractor $\mathcal{K}^{\mathcal{P}_*}$ returns \sqrt{v} with probability $2\varepsilon - 1$.

Proof. Consider the success matrix $A(r, c)$ as before. Let p_1 denote the fraction rows that contain only single one and p_2 the fraction of rows that contain two ones. Then evidently $p_1 + p_2 \leq 1$ and $\frac{p_1}{2} + p_2 \geq \varepsilon$ and thus we can establish $p_2 \geq 2\varepsilon - 1$. \square

Rationale: The knowledge extraction succeeds in general only if the success probability of \mathcal{P}_* is above $\frac{1}{2}$. The value $\kappa = \frac{1}{2}$ is known as **knowledge error**.

Matrix Games

Classical algorithm

Task: Find two ones in a same row.

Rewind:

1. Probe random entries $A(r, c)$ until $A(r, c) = 1$.
2. Store the matrix location (r, c) .
3. Probe random entries $A(r, \bar{c})$ in the same row until $A(r, \bar{c}) = 1$.
4. Output the location triple (r, c, \bar{c}) .

Rewind-Exp:

1. Repeat the procedure Rewind until $c \neq \bar{c}$.
2. Use the knowledge extraction lemma to extract sk.

Average case complexity I

Assume that the matrix contains ε -fraction of nonzero elements, i.e., \mathcal{P}_* convinces \mathcal{V} with probability ε . Then on average we make

$$\mathbf{E}[\text{probes}_1] = \varepsilon + 2(1 - \varepsilon)\varepsilon + 3(1 - \varepsilon)^2\varepsilon + \dots = \frac{1}{\varepsilon}$$

matrix probes to find the first non-zero entry. Analogously, we make

$$\mathbf{E}[\text{probes}_2|r] = \frac{1}{\varepsilon_r}$$

probes to find the second non-zero entry. Also, note that

$$\mathbf{E}[\text{probes}_2] = \sum_r \Pr[r] \cdot \mathbf{E}[\text{probes}_2|r] = \sum_r \frac{\varepsilon_r}{\sum_{r'} \varepsilon_{r'}} \cdot \frac{1}{\varepsilon_r} = \frac{1}{\varepsilon},$$

where ε_r is the fraction of non-zero entries in the r^{th} row.

Average case complexity II

As a result we obtain that the Rewind algorithm does on average

$$\mathbf{E}[\text{probes}] = \frac{2}{\varepsilon}$$

probes. Since the Rewind algorithm fails with probability

$$\Pr[\text{failure}] = \frac{\Pr[\text{halting} \wedge c = \bar{c}]}{\Pr[\text{halting}]} \leq \frac{\kappa}{\varepsilon} \quad \text{where} \quad \kappa = \frac{1}{q} .$$

we make on average

$$\mathbf{E}[\text{probes}^*] = \frac{1}{\Pr[\text{success}]} \cdot \mathbf{E}[\text{probes}] \leq \frac{\varepsilon}{\varepsilon - \kappa} \cdot \frac{2}{\varepsilon} = \frac{2}{\varepsilon - \kappa} .$$

Strict time bounds

Markov's inequality assures that for a non-negative random variable probes

$$\Pr [\text{probes} \geq \alpha] \leq \frac{\mathbf{E} [\text{probes}]}{\alpha}$$

and thus Rewind-Exp succeeds with probability at least $\frac{1}{2}$ after $\frac{4}{\varepsilon - \kappa}$ probes.

If we repeat the experiment ℓ times, we the failure probability goes to $2^{-\ell}$.

From Soundness to Security

Soundness and subjective security

Assume that we know a constructive proof:

If for fixed pk a potentially malicious t -time prover \mathcal{P}_* succeeds with probability $\varepsilon > \kappa$, then a knowledge extractor $\mathcal{K}^{\mathcal{P}}$ that runs in time $\tau(\varepsilon) = O\left(\frac{t}{\varepsilon - \kappa}\right)$ outputs sk with probability $1 - \varepsilon_2$.

and we **believe**:

No human can create a $\tau(\varepsilon_1)$ -time algorithm that computes sk from pk with success probability at least $1 - \varepsilon_2$.

then it is **rational** to assume that:

No human without the knowledge of sk can create a algorithm \mathcal{P}_* that succeeds in the proof of knowledge with probability at least ε_1 .

Caveat: For each fixed pk , there exists a trivial algorithm that prints out sk . Hence, we cannot get objective security guarantees.

Soundness and objective security

Assume that we know a constructive proof:

If for a fixed pk a potentially malicious t -time prover \mathcal{P}_* succeeds with probability $\varepsilon > \kappa$, then a knowledge extractor $\mathcal{K}^{\mathcal{P}}$ that runs in time $\tau(\varepsilon) = O\left(\frac{t}{\varepsilon - \kappa}\right)$ outputs sk with probability $1 - \varepsilon_2$.

and know a mathematical fact that any $\tau(2\varepsilon_1)$ -time algorithm \mathcal{A}

$$\Pr[(pk, sk) \leftarrow \text{Gen} : \mathcal{A}(pk) = sk] \leq \varepsilon_1(1 - \varepsilon_2)$$

then we can prove an average-case security guarantee:

For any t -time prover \mathcal{P}_* that does not know the secret key

$$\text{Adv}^{\text{ea}}(\mathcal{A}) = \Pr\left[(pk, sk) \leftarrow \text{Gen} : \mathcal{V}^{\mathcal{P}_*}(pk) = 1\right] \leq 2\varepsilon_1 .$$

Objective security guarantees

Schnorr identification scheme

If \mathbb{G} is a DL group, then the Schnorr identification scheme is secure, where the success probability is averaged over all possible runs of the setup Gen .

Fiat-Shamir identification scheme

Assume that modulus n is chosen from a distribution \mathcal{N} of RSA moduli such that on average factoring is hard over \mathcal{N} . Then the Fiat-Shamir identification scheme is secure, where the success probability is averaged over all possible runs of the setup Gen and over all choices of modulus n .

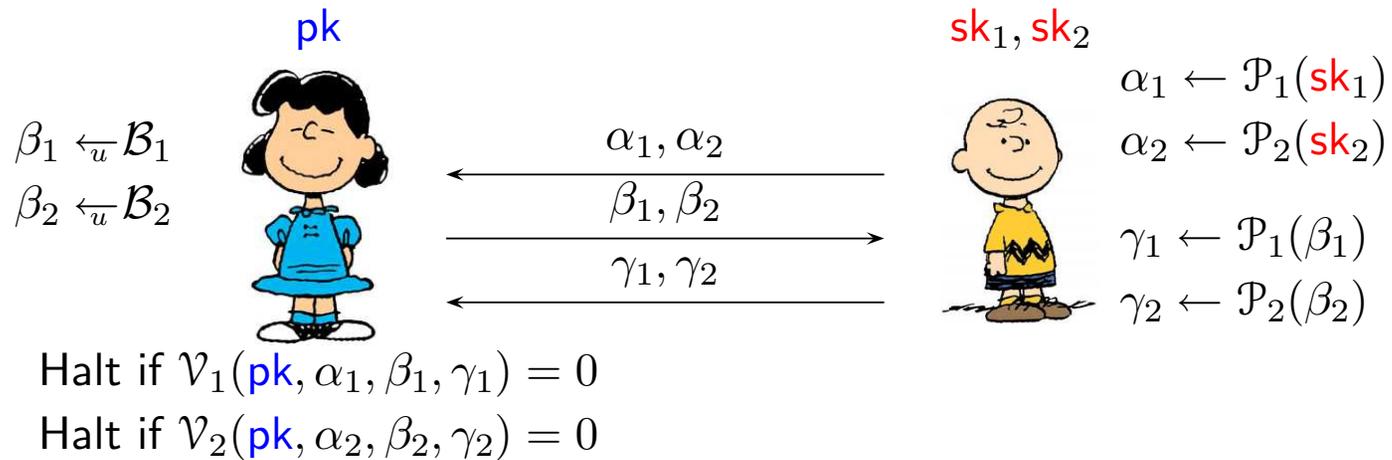
Composability of Σ -protocols

A formal definition of sigma protocol

A **sigma protocol** for an efficiently computable relation $R \subseteq \{0, 1\}^* \times \{0, 1\}^*$ is a three move protocol that satisfies the following properties.

- ▷ **Σ -structure.** A prover first sends a commitment, next a verifier sends **varying** challenge, and then the prover must give a consistent response.
- ▷ **Functionality.** The protocol run between an honest prover $\mathcal{P}(\text{sk})$ and verifier $\mathcal{V}(\text{pk})$ is always accepting if $(\text{sk}, \text{pk}) \in R$.
- ▷ **Perfect simulatability.** There exists an efficient **non-rewinding** simulator \mathcal{S} such that the output distribution of a semi-honest verifier \mathcal{V}_* in the real world and the output distribution of $\mathcal{S}^{\mathcal{V}_*}$ in the ideal world coincide.
- ▷ **Special soundness.** There exists an efficient extraction algorithm Ext that, given two accepting protocol runs $(\alpha, \beta_0, \gamma_0)$ and $(\alpha, \beta_1, \gamma_1)$ with $\beta_0 \neq \beta_1$ that correspond to pk , outputs sk_* such that $(\text{sk}_*, \text{pk}) \in R$

AND-composition



If we run two sigma protocols for different relations R_1 and R_2 in parallel, we get a sigma protocol* for new relation $R_1 \wedge R_2$

$$(sk_1, sk_2, pk) \in R_1 \wedge R_2 \iff (sk_1, pk) \in R_1 \wedge (sk_2, pk) \in R_2 .$$

* Modulo some minor details discussed in the next slide.

The corresponding proof

Perfect simulatability. Let \mathcal{S}_1 and \mathcal{S}_2 be canonical simulators for \mathcal{V}_1 and \mathcal{V}_2 . Then \mathcal{S}_1 outputs a properly distributed triple $(\alpha_1, \beta_1, \gamma_1)$ and \mathcal{S}_2 outputs a properly distributed triple $(\alpha_2, \beta_2, \gamma_2)$. Hence, we can run \mathcal{S}_1 and \mathcal{S}_2 in parallel to create a properly distributed transcript $(\alpha_1, \alpha_2, \beta_1, \beta_2, \gamma_1, \gamma_2)$.

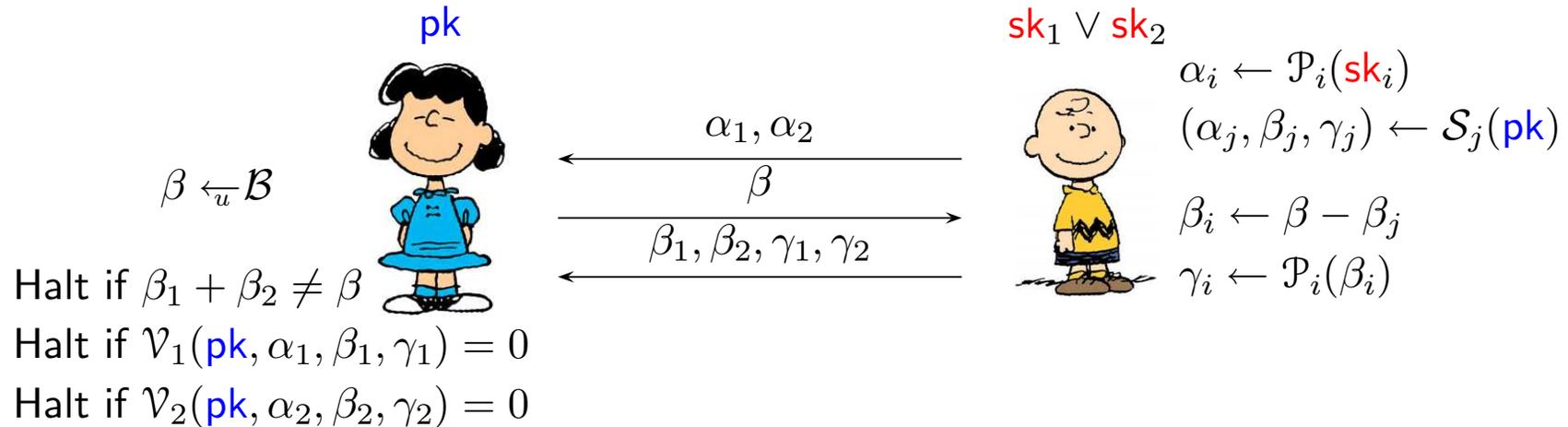
Special soundness*. Given two accepting transcripts

$$(\alpha_1, \alpha_2, \beta_1^0, \beta_2^0, \gamma_1^0, \gamma_2^0), (\alpha_1, \alpha_2, \beta_1^1, \beta_2^1, \gamma_1^1, \gamma_2^1), \quad \text{with } \beta_1^0 \neq \beta_1^1, \beta_2^0 \neq \beta_2^1,$$

we can decompose them into original colliding transcripts

$$\begin{aligned} &(\alpha_1, \beta_1^0, \gamma_1^0), (\alpha_1, \beta_1^1, \gamma_1^1), & \beta_1^0 \neq \beta_1^1, \\ &(\alpha_2, \beta_2^0, \gamma_2^0), (\alpha_2, \beta_2^1, \gamma_2^1), & \beta_2^0 \neq \beta_2^1. \end{aligned}$$

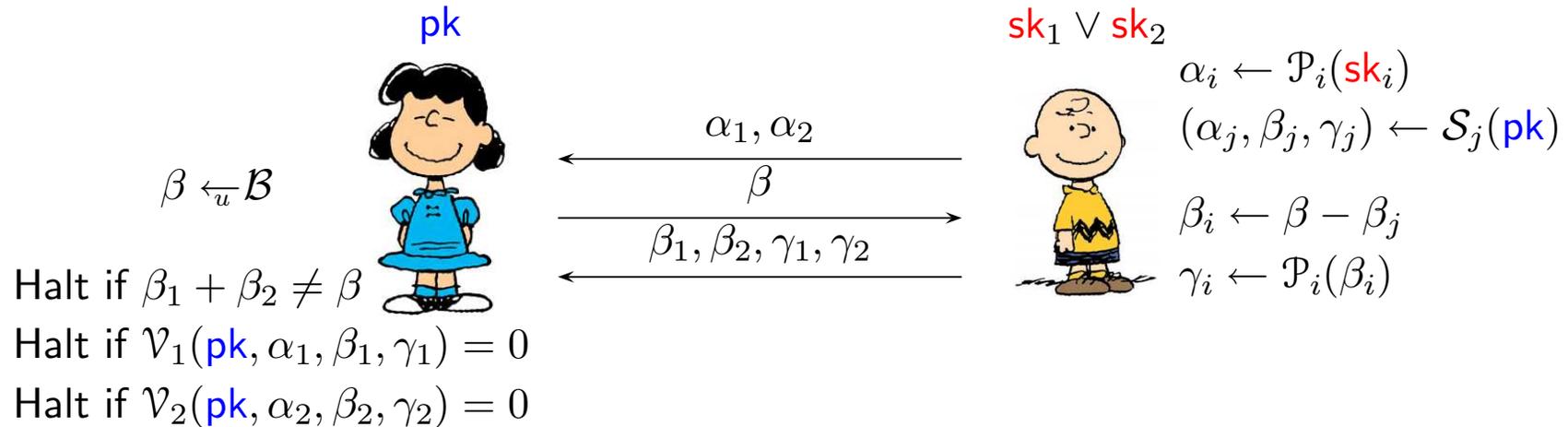
OR-composition



Assume that we have two sigma protocols for relations R_1 and R_2 such that the challenge is chosen uniformly from a commutative group $(\mathcal{B}; +)$.

Then a prover can use a simulator \mathcal{S}_j to create the transcript for missing secret sk_j and then create response using the known secret sk_i .

OR-composition



As a result, we get a sigma protocol for new relation $R_1 \vee R_2$

$$(\mathbf{sk}_1, \mathbf{sk}_2, \mathbf{pk}) \in R_1 \vee R_2 \iff (\mathbf{sk}_1, \mathbf{pk}) \in R_1 \vee (\mathbf{sk}_2, \mathbf{pk}) \in R_2 .$$

The corresponding proof

Perfect simulatability. Note that β_1 and β_2 are independent and have a uniform distribution over \mathcal{B} . Consequently, we can run the canonical simulators \mathcal{S}_1 and \mathcal{S}_2 for \mathcal{V}_1 and \mathcal{V}_2 in parallel to create the properly distributed transcript $(\alpha_1, \alpha_2, \beta_1 + \beta_2, \beta_1, \beta_2, \gamma_1, \gamma_2)$.

Special soundness. Given two transcripts

$$(\alpha_1, \alpha_2, \beta_1^0 + \beta_2^0, \beta_1^0, \beta_2^0, \gamma_1^0, \gamma_2^0), (\alpha_1, \alpha_2, \beta_1^1 + \beta_2^1, \beta_1^1, \beta_2^1, \gamma_1^1, \gamma_2^1)$$

such that $\beta_1^0 + \beta_2^0 \neq \beta_1^1 + \beta_2^1$, we can extract a colliding sub-transcript

$$\begin{cases} (\alpha_1, \beta_1^0, \gamma_1^0), (\alpha_1, \beta_1^1, \gamma_1^1), & \text{if } \beta_1^0 \neq \beta_1^1, \\ (\alpha_2, \beta_2^0, \gamma_2^0), (\alpha_2, \beta_2^1, \gamma_2^1), & \text{if } \beta_2^0 \neq \beta_2^1. \end{cases}$$

Monotone access structures

Let a binary properties π_1, \dots, π_n denote possible roles of participants and let sk_1, \dots, sk_n denote the corresponding secrets that the participant knows if the corresponding property π_i is set.

Now assume that $\psi : \{0, 1\}^n \rightarrow \{0, 1\}$ is a monotone predicate that maps the property vector (π_1, \dots, π_n) to a final access verdict for some object. Then there exists a sigma protocol for the corresponding relation.

As a result, we can construct identification protocols that are sound and secure and leak only the value $\psi(\pi_1, \dots, \pi_n)$.

- ▷ Anonymous group authentication
- ▷ Anonymous verification of credentials