

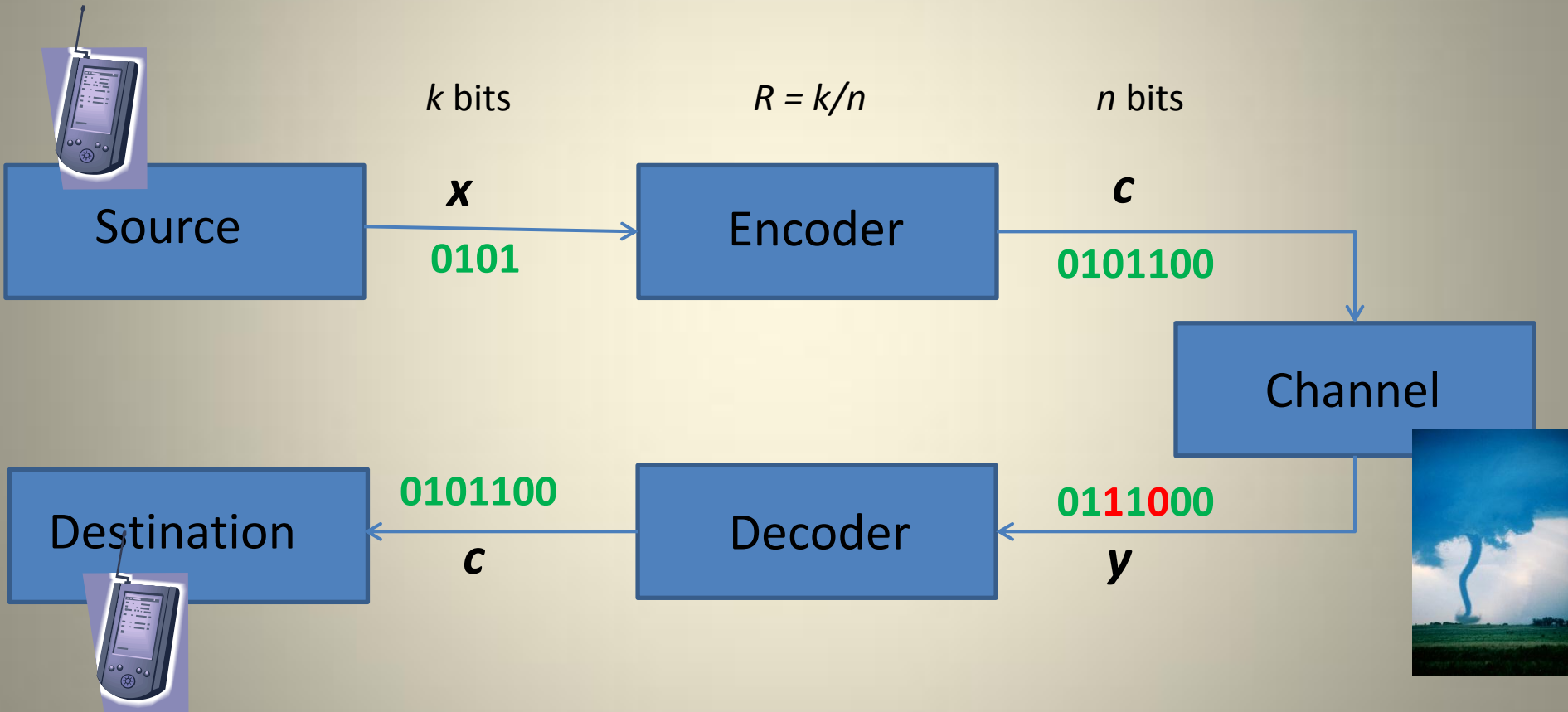
# Coding Theory: From the Past to the Present

Vitaly Skachek

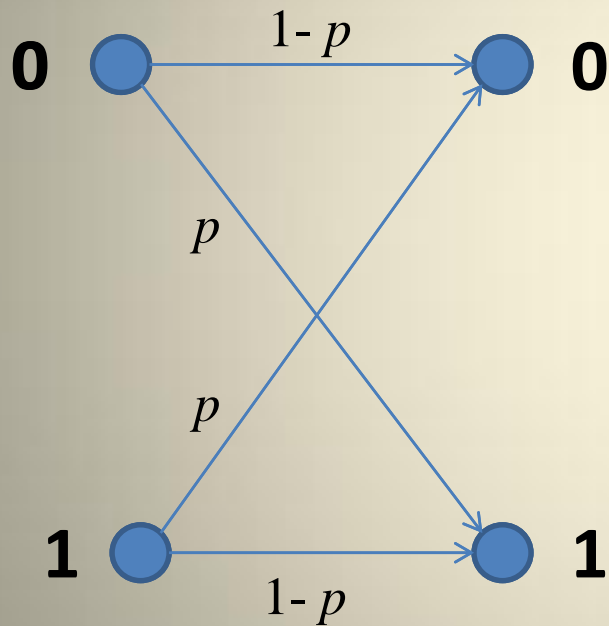
Institute of Computer Science  
University of Tartu

Some used images are courtesy of Wikipedia/Wikimedia Commons

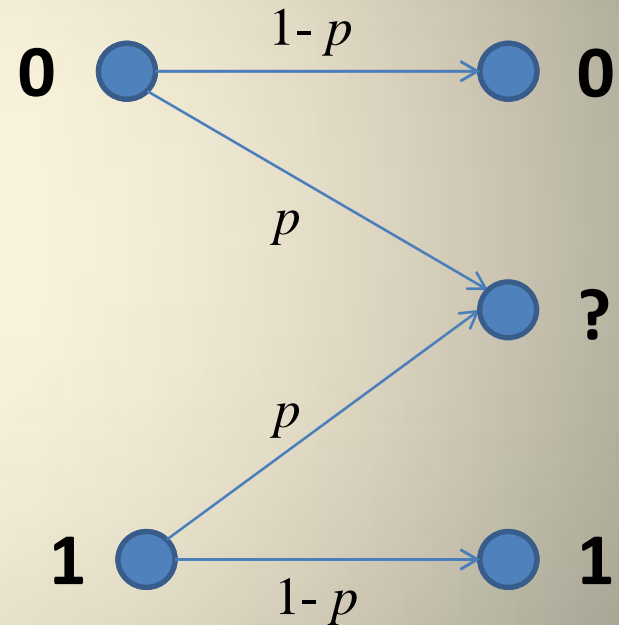
# Communications Model



# Communications Channels



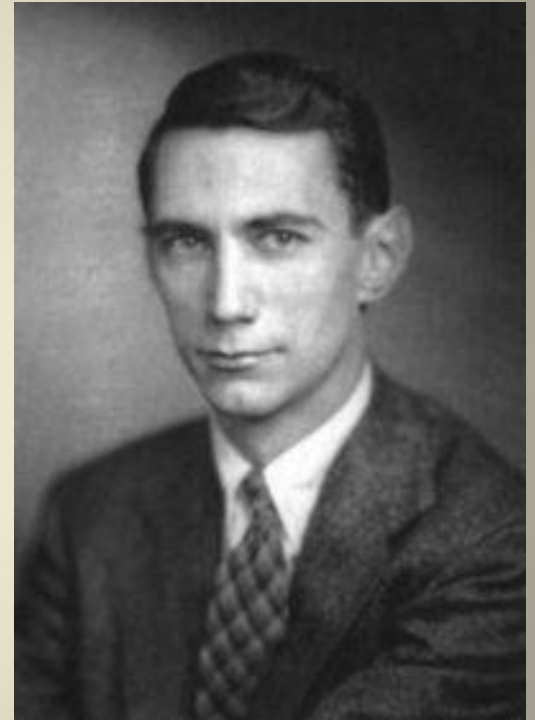
Binary Symmetric  
Channel



Binary Erasure  
Channel

# Shannon's Channel Coding Theorems

- A **code** is a mapping from the set of all vectors of length  $k$  to a set of vectors of length  $n$  (over alphabet  $\Sigma$ )
- Given a channel  $S$ , there is a quantity  $C(S)$  called **channel capacity**



Claude Shannon  
(1916-2001)

# Shannon's Channel Coding Theorems

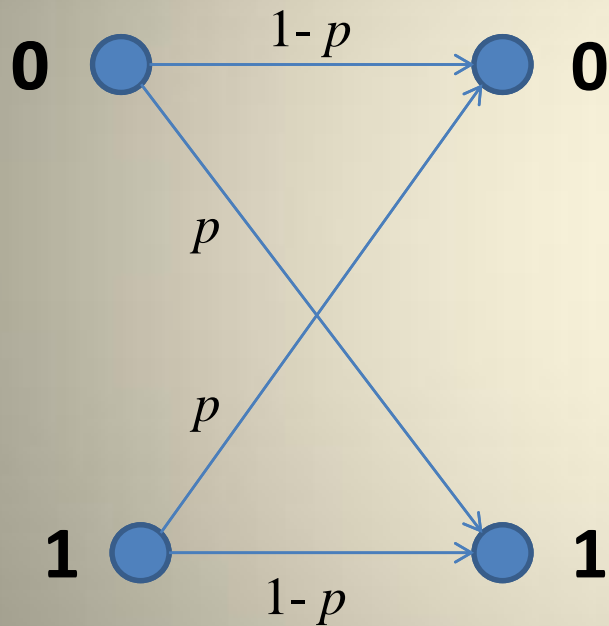
For any rate  $R < C(S)$ , there exists an infinite sequence of block codes  $C_i$  of growing lengths  $n_i$  such that  $\frac{k_i}{n_i} \geq R$ , and there exists a coding scheme for those codes such that the decoding error probability approaches 0 as  $i \rightarrow \infty$ .

# Shannon's Channel Coding Theorems

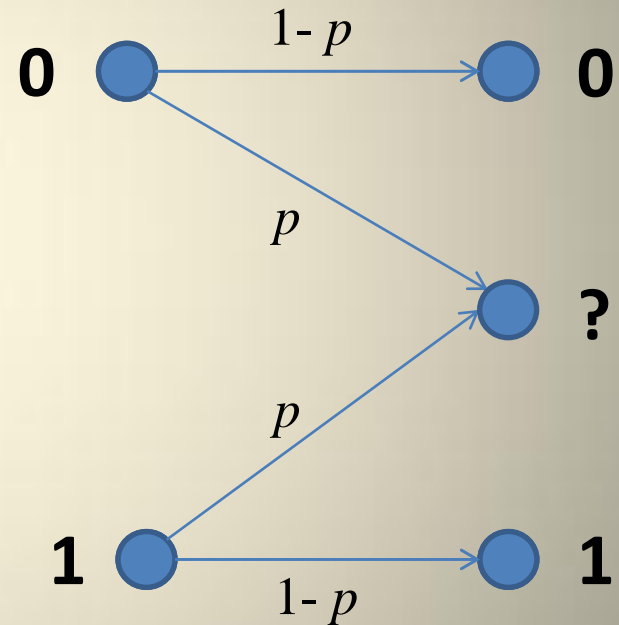
For any rate  $R < C(S)$ , there exists an infinite sequence of block codes  $C_i$  of growing lengths  $n_i$  such that  $\frac{k_i}{n_i} \geq R$ , and there exists a coding scheme for those codes such that the decoding error probability approaches 0 as  $i \rightarrow \infty$ .

Let  $R > C(S)$ . For any infinite sequence of block codes  $C_i$  of growing lengths  $n_i$  such that  $\frac{k_i}{n_i} \geq R$ , and for any coding scheme for those codes, the decoding error probability is bounded away from 0 as  $i \rightarrow \infty$ .

# Communications Channels



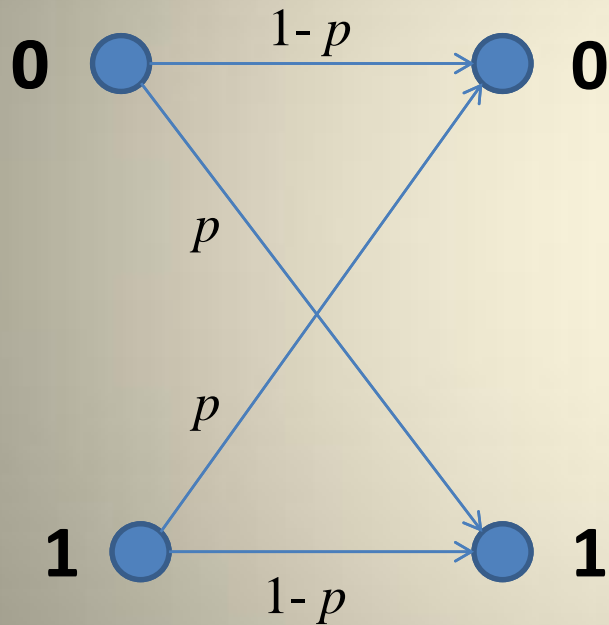
Binary Symmetric  
Channel



Binary Erasure  
Channel

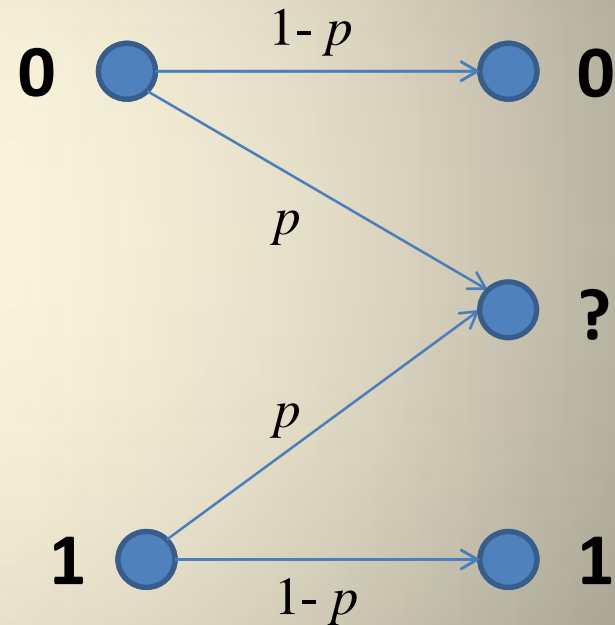
# Communications Channels

$$C(S)=1-h_2(p)$$



Binary Symmetric  
Channel

$$C(S)=1-p$$



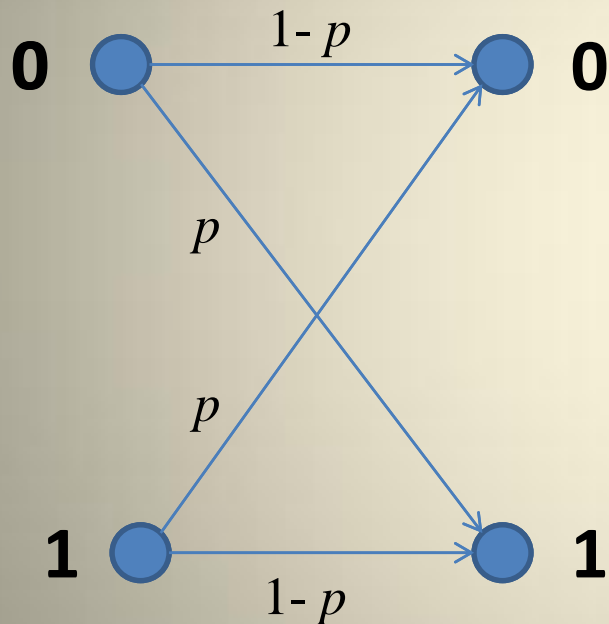
Binary Erasure  
Channel



# Communications Channels

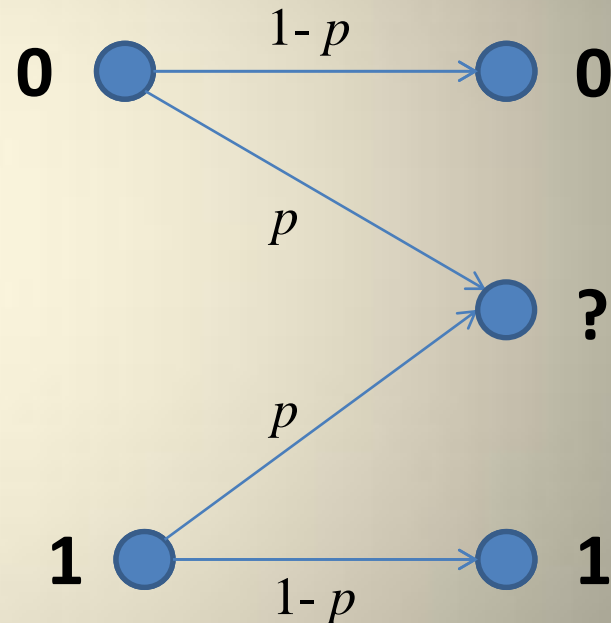
$$C(S)=1-h_2(p)$$

$$h_2(x) = -x \log x - (1-x) \log(1-x)$$



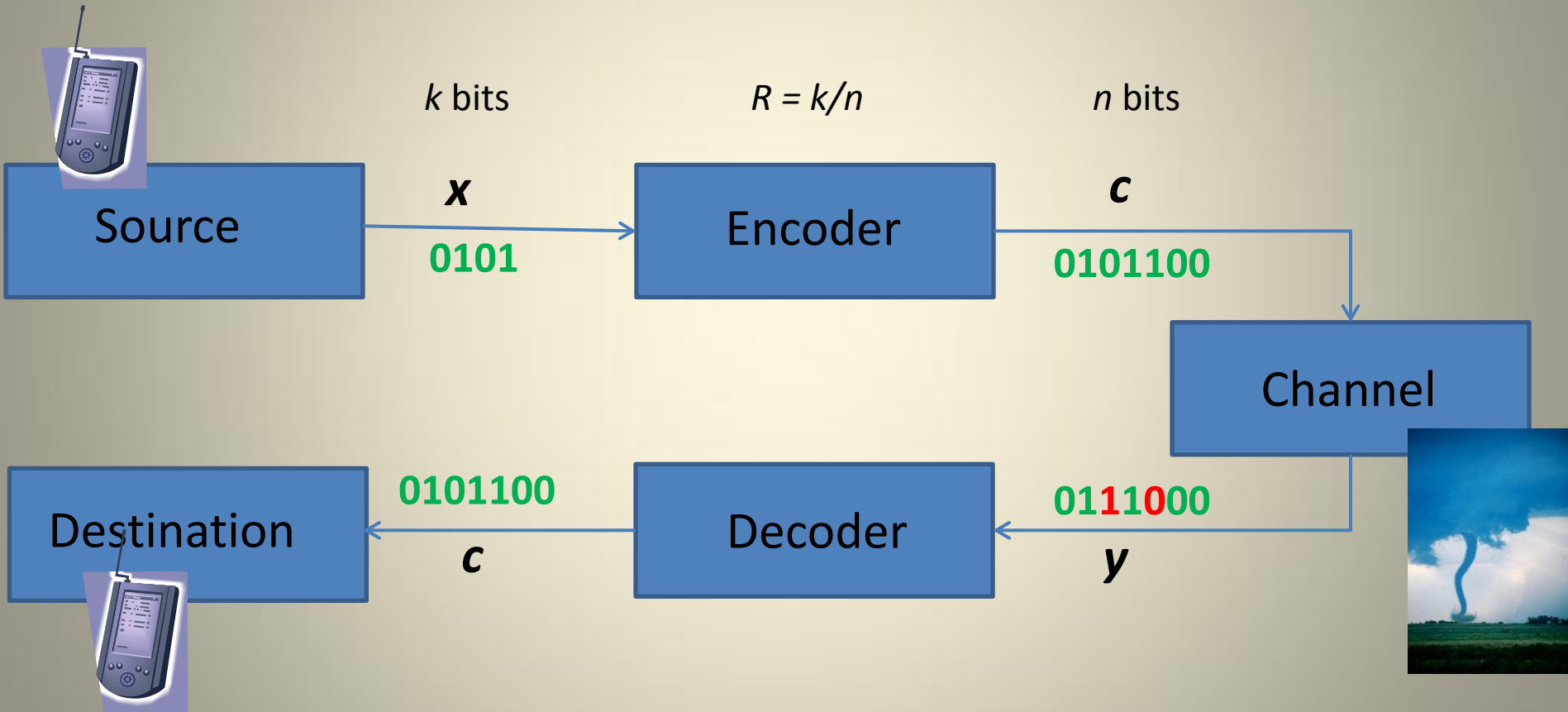
Binary Symmetric  
Channel

$$C(S)=1-p$$



Binary Erasure  
Channel

# Communications Model



# Parameters in Consideration

- Target: optimize the code rate  $R = k/n$ .

Other parameters in considerations:

- Speed of convergence  $\Pr(\text{err}) \rightarrow 0$  as  $n \rightarrow \infty$ .  
Low error probability for short lengths is needed!
- Time complexity of encoding and decoding algorithms. Structured codes are needed!

# Distance

- The **Hamming distance** between  $x = (x_1, x_2, \dots, x_n)$  and  $y = (y_1, y_2, \dots, y_n)$ ,  $d(x, y)$ , is the number of pairs of symbols  $(x_i, y_i)$ , such that  $x_i \neq y_i$ .

- The **minimum distance** of a code  $C$  is

$$d = \min_{\{x, y \in C, x \neq y\}} d(x, y)$$

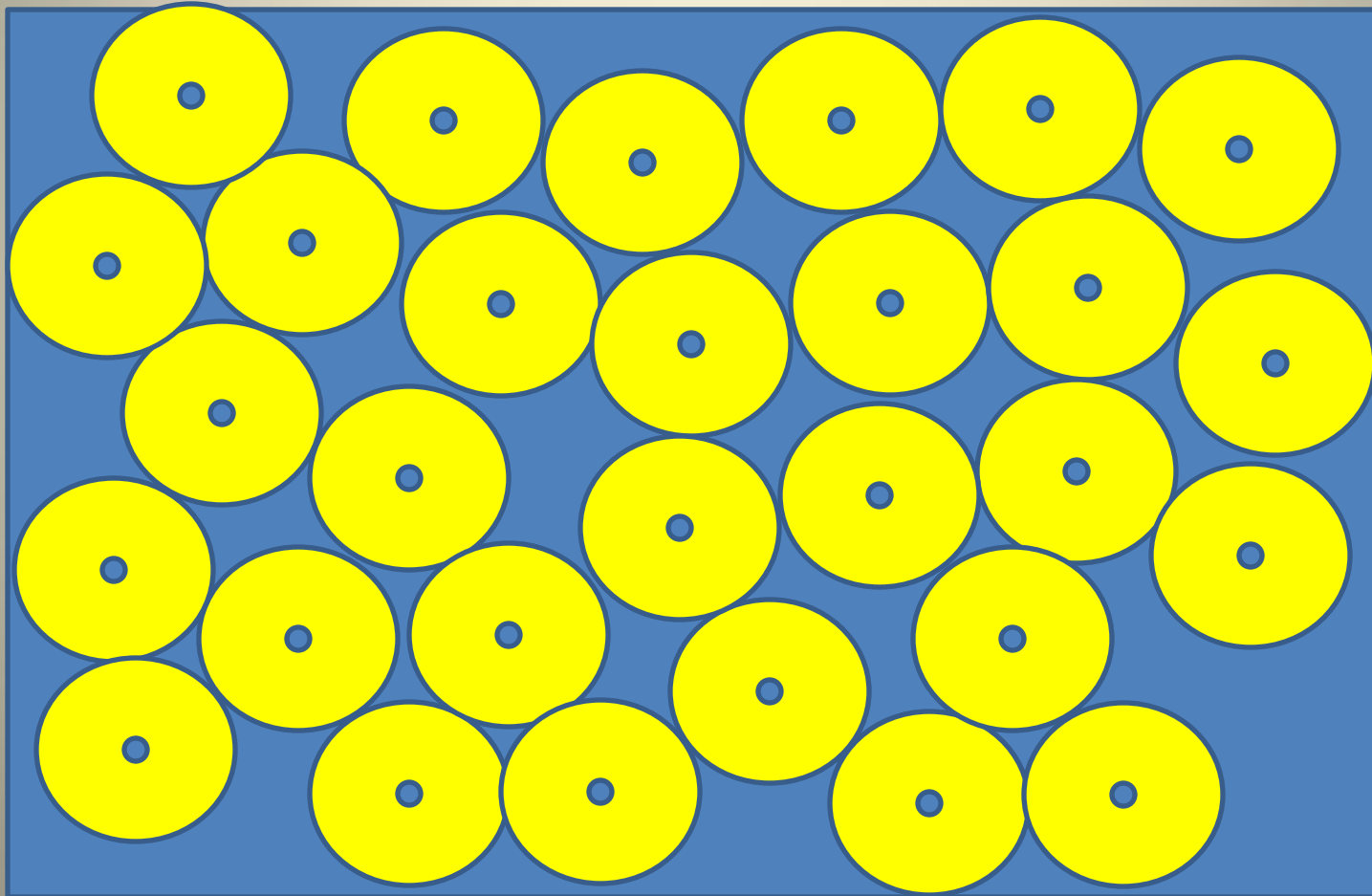
# Linear Codes

- A code  $C$  over field  $F$  is a **linear  $[n, k, d]$  code** if there exists a matrix  $H$  with  $n$  columns and rank  $n - k$  such that

$$H \cdot c^T = 0^T \iff c \in C.$$

- The matrix  $H$  is called a **parity-check matrix**.
- The value  $k$  is called the **dimension** of the code  $C$ .
- The ratio  $R = k/n$  is called the **rate** of the code  $C$ .
- All words of  $C$  are exactly all linear combinations of rows of a **generating  $k \times n$  matrix  $G$** .

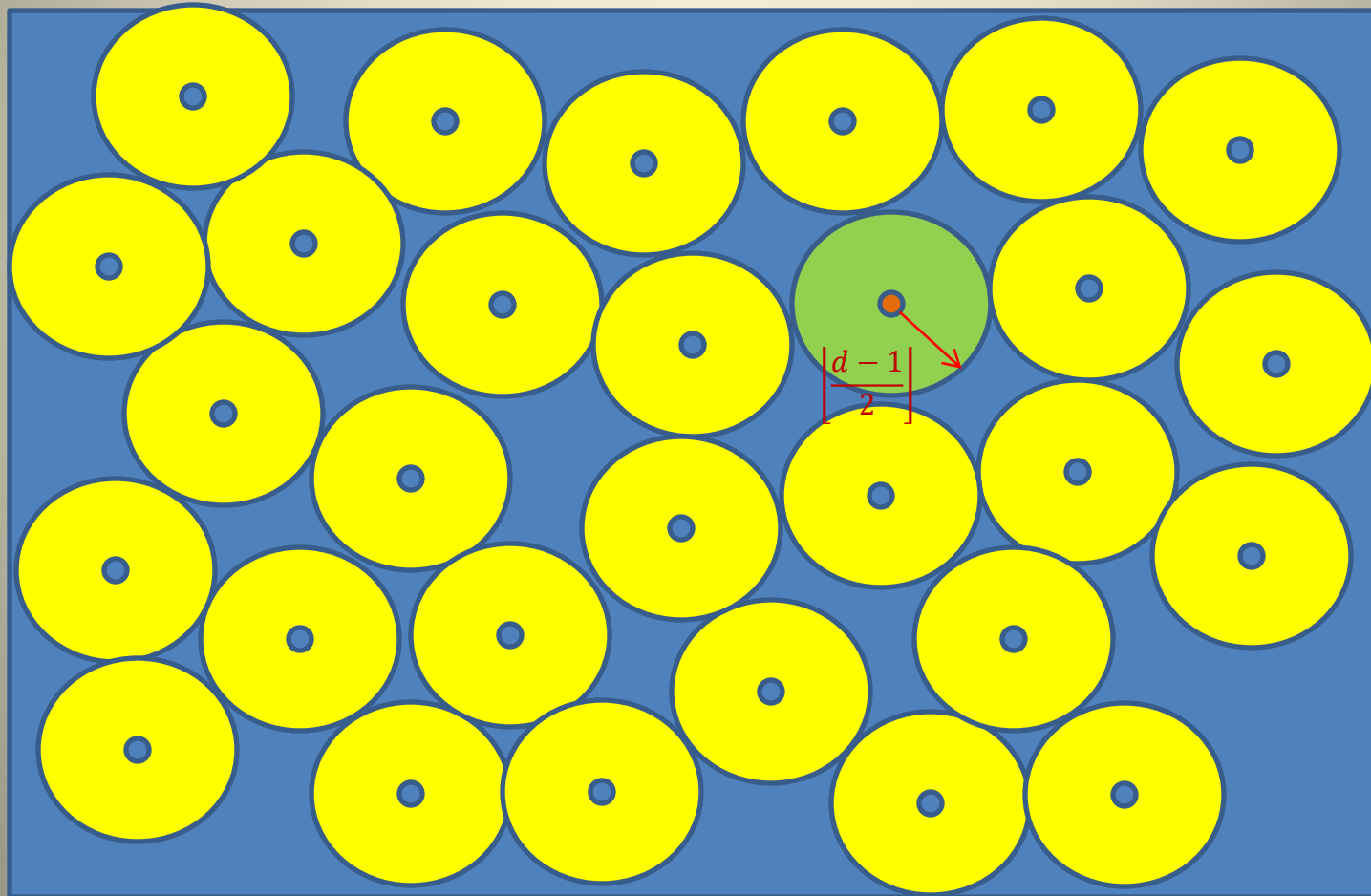
# Sphere-packing idea



# Sphere-packing idea

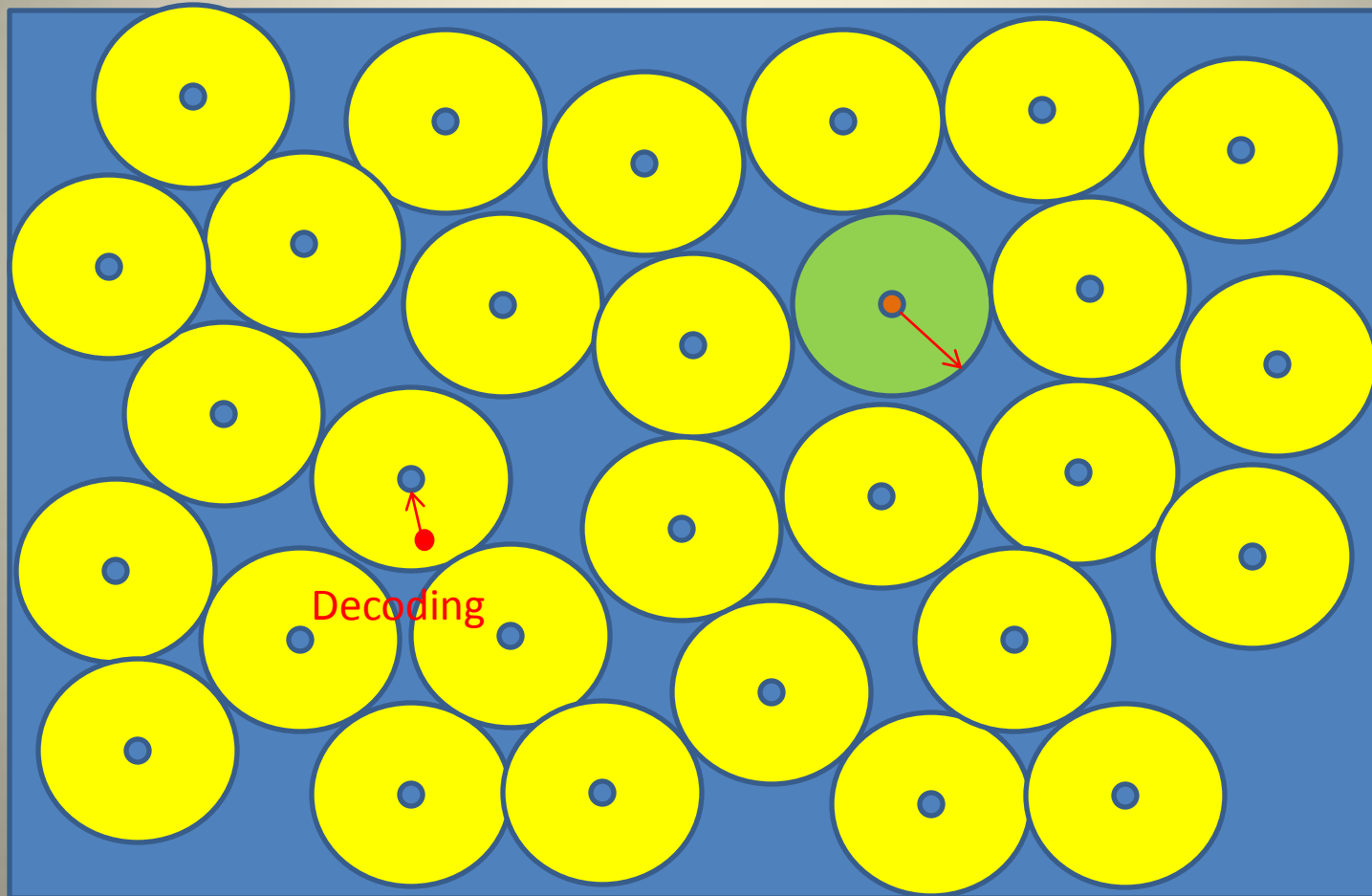


# Sphere-packing idea





# Sphere-packing idea



# Reed-Solomon Codes

- Let  $\alpha_1, \alpha_2, \dots, \alpha_n \in F$  be  $n$  distinct elements.
- The generator matrix:

$$G = \begin{bmatrix} 1 & 1 & \dots & 1 \\ \alpha_1 & \alpha_2 & \dots & \alpha_n \\ \alpha_1^2 & \alpha_2^2 & \dots & \alpha_n^2 \\ \vdots & \vdots & \ddots & \vdots \\ \alpha_1^{k-1} & \alpha_2^{k-1} & \dots & \alpha_n^{k-1} \end{bmatrix}$$

- Satisfies the **Singleton bound**:  $n = d + k - 1$ 
  - Optimal trade-off between the parameters

# Reed-Solomon Codes (cont.)

- Encoding:

$$[x_0 x_1 \dots x_{k-1}] \cdot \begin{bmatrix} 1 & 1 & \dots & 1 \\ \alpha_1 & \alpha_2 & \dots & \alpha_n \\ \alpha_1^2 & \alpha_2^2 & \dots & \alpha_n^2 \\ \vdots & \vdots & \ddots & \vdots \\ \alpha_1^{k-1} & \alpha_2^{k-1} & \dots & \alpha_n^{k-1} \end{bmatrix}$$

# Polynomial Interpolation Viewpoint

- Input vector  $[x_0 x_1 \dots x_{k-1}]$  is associated with polynomial

$$P(z) = x_{k-1}z^{k-1} + x_{k-2}z^{k-2} + \dots + x_1z + x_0$$

- Encoding is a **substitution**:

$$(P(\alpha_1), P(\alpha_2), \dots, P(\alpha_n))$$

- Decoding is an **interpolation** by degree  $\leq k - 1$  polynomial

# Reed-Solomon Codes are Used in:

- Wired and wireless communications



- Satellite communications



- Hard drives and compact disks



- Flash memory devices



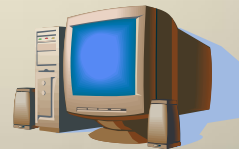
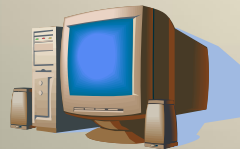
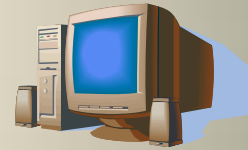
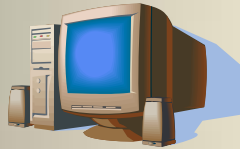
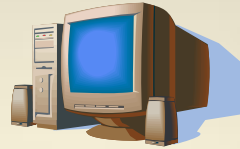
# Application of Reed-Solomon Codes

- Shamir's Secret-Sharing Scheme '79
- $n$  users
- 1 key (number in  $F$ )
- Any coalition of  $< t$  users does not have any information about the key
- Any coalition of  $\geq t$  users can recover the key

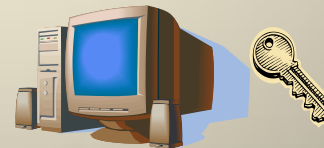
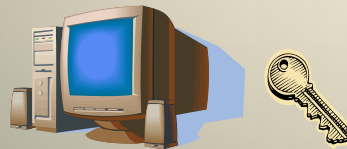
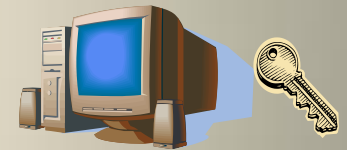
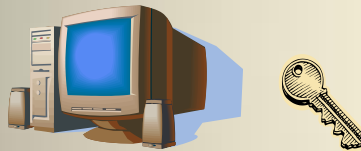
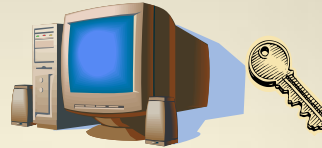


Adi Shamir

# Shamir's Secret Sharing Scheme

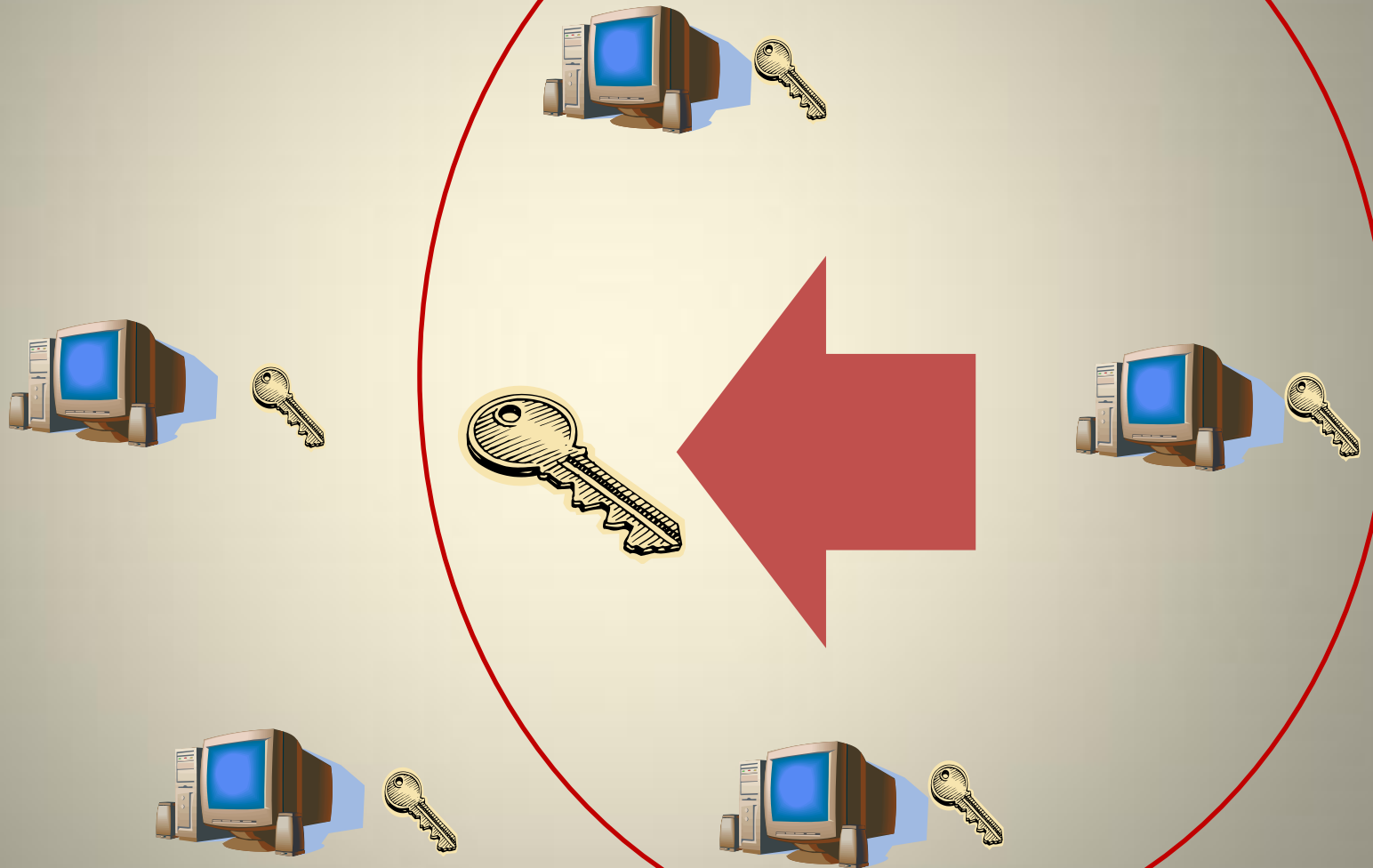


# Shamir's Secret Sharing Scheme





# Shamir's Secret Sharing Scheme



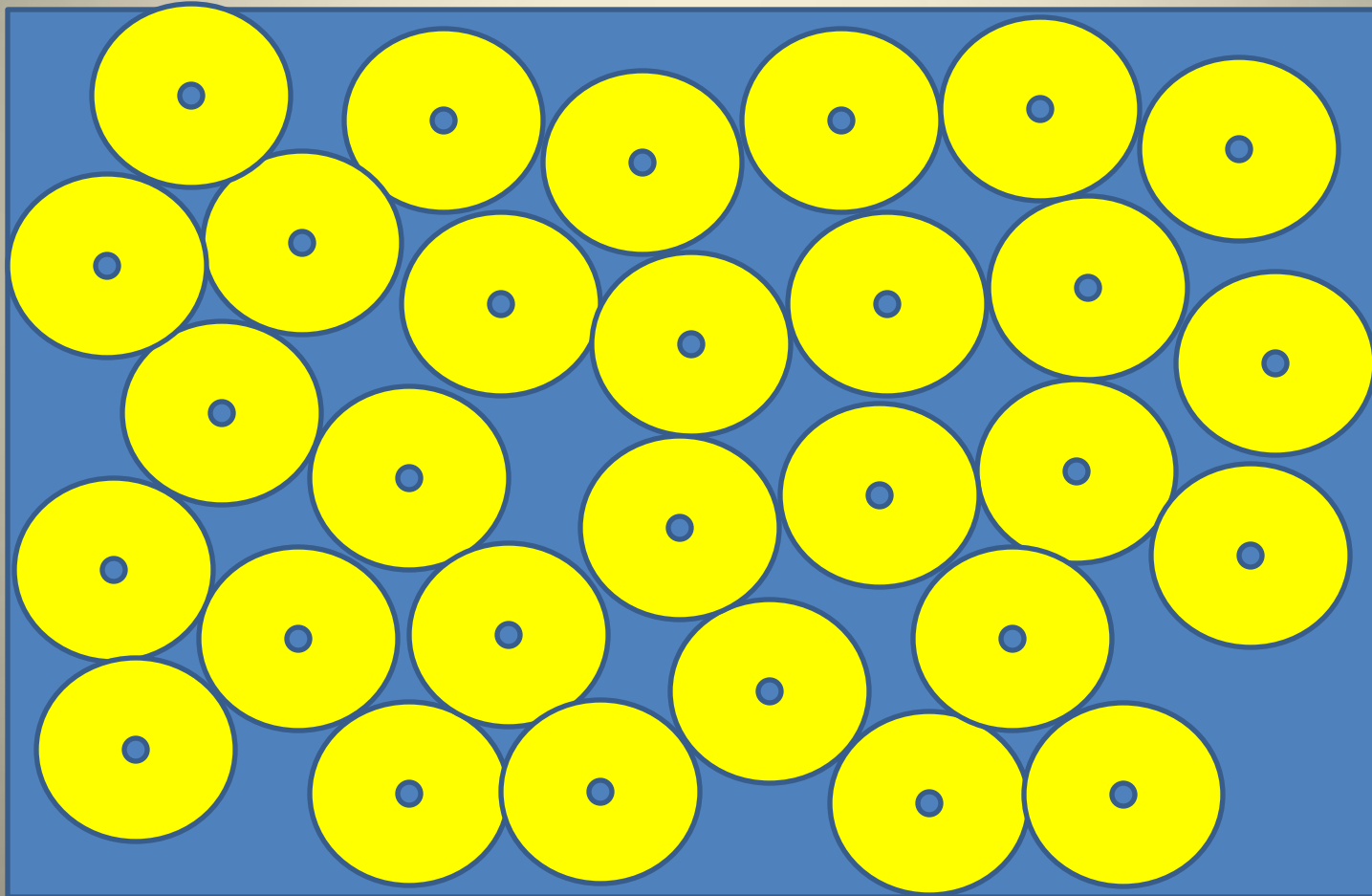
# Shamir's Secret Sharing Scheme (cont.)

- Select randomly  $x_1, x_2, \dots, x_{k-1}$ . Let  $x_0$  be a secret key. Construct polynomial

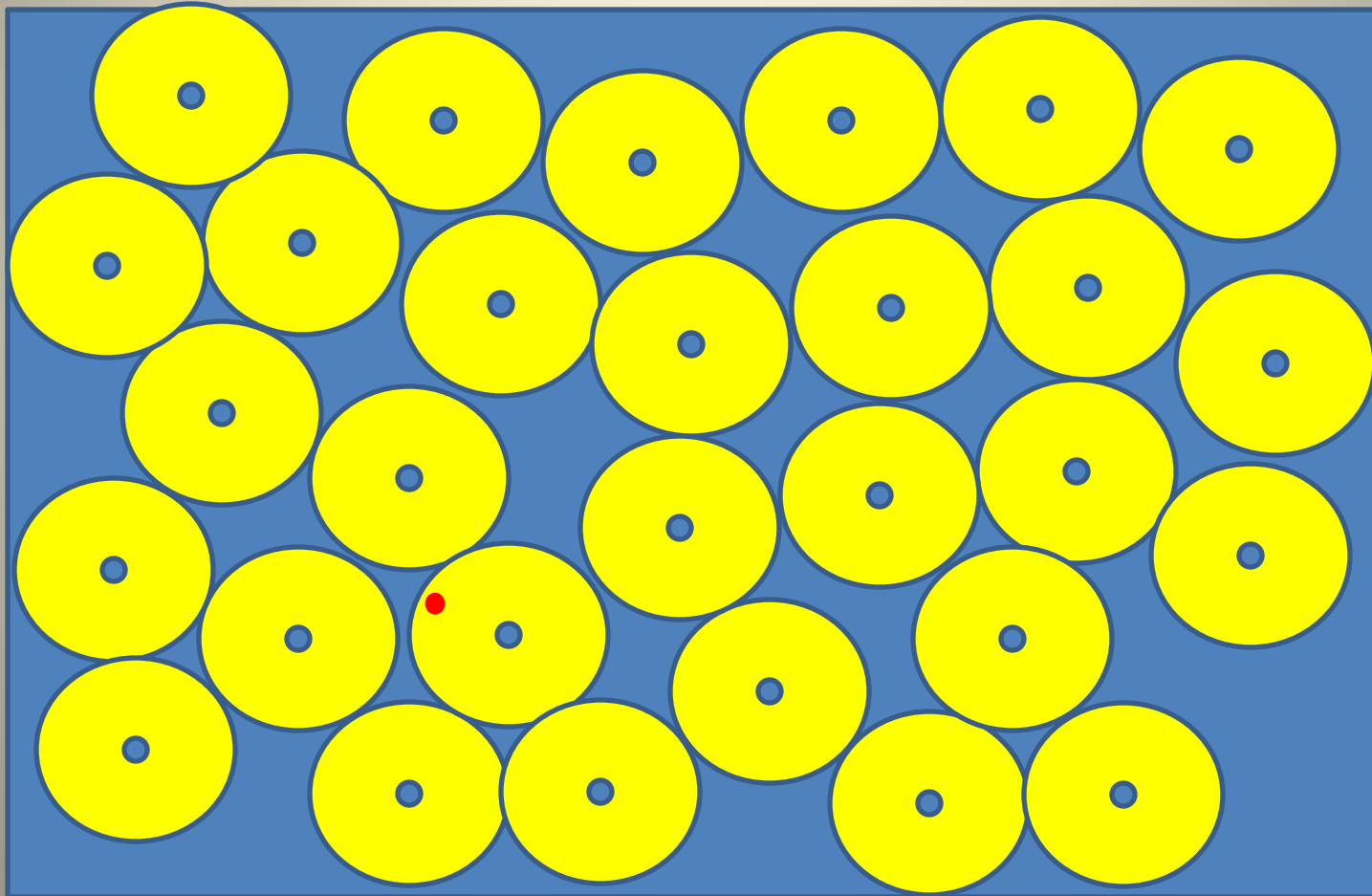
$$P(z) = x_{k-1}z^{k-1} + x_{k-2}z^{k-2} + \dots + x_1z + x_0$$

- Give  $(\alpha_i, P(\alpha_i))$  to user  $i$
- Large coalition has enough points to reconstruct the polynomial
- Small coalition has no information about the polynomial

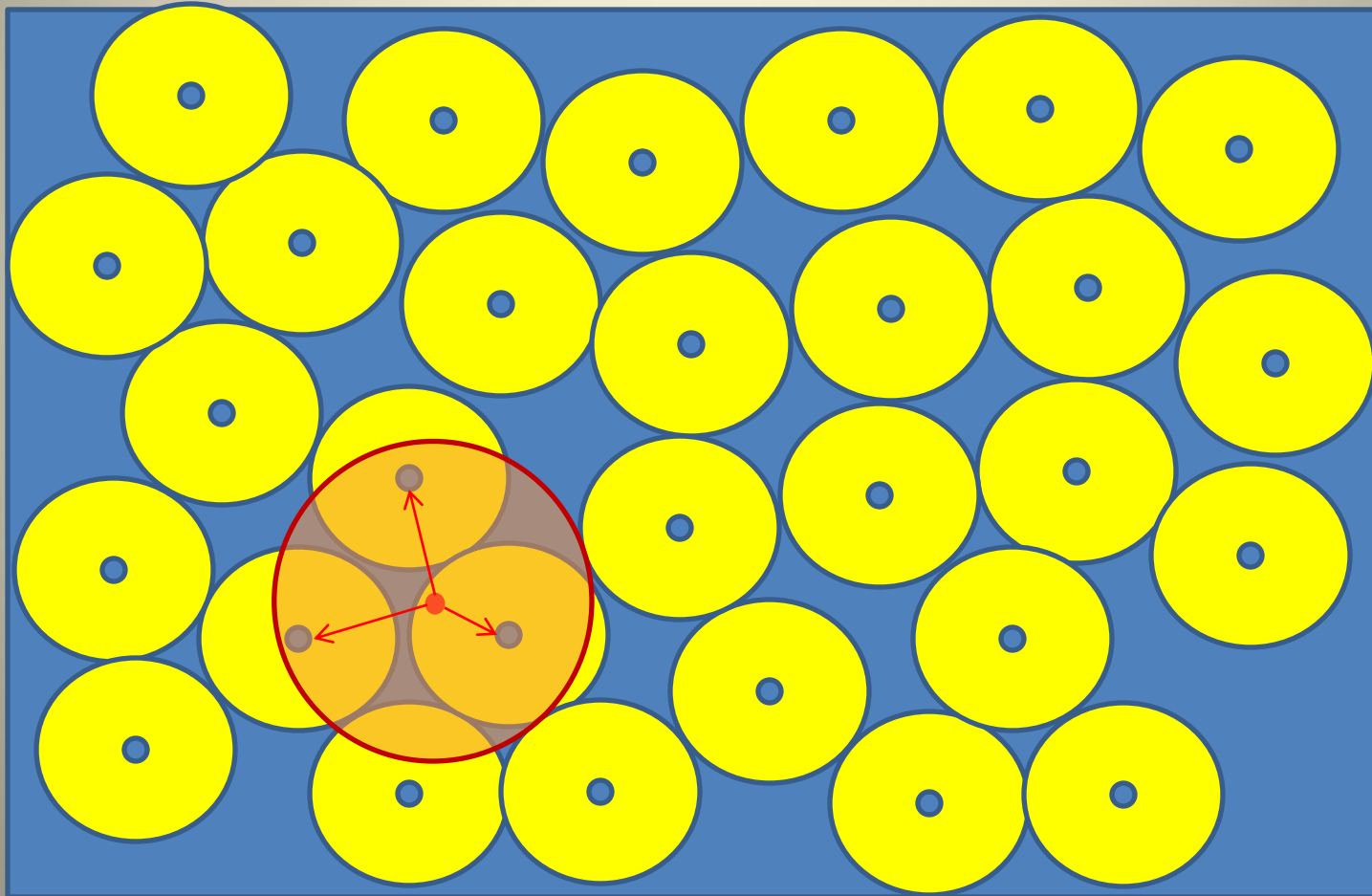
# List-decoding of Reed-Solomon Codes



# List-decoding of Reed-Solomon Codes

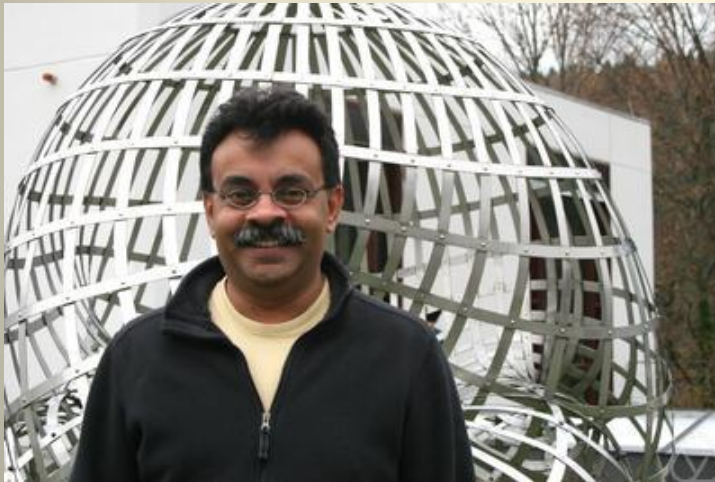


# List-decoding of Reed-Solomon Codes



# List-decoding of Reed-Solomon Codes

- Sudan '97, Guruswami '99, Vardy-Parvaresh '05, Guruswami-Rudra '06

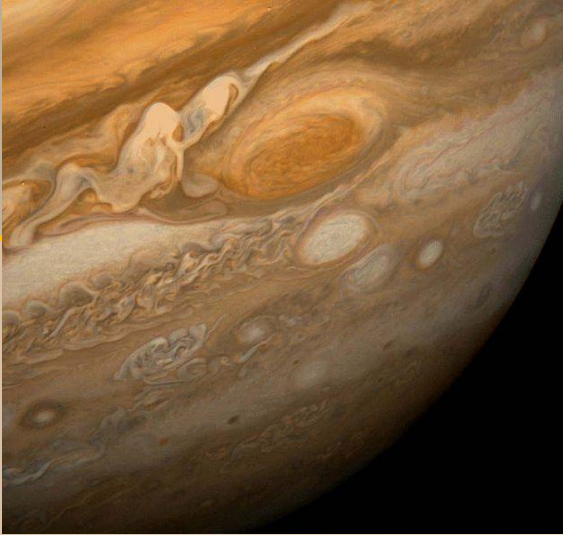


Madhu Sudan



Venkatesan Guruswami

# List Decoding of RS Codes

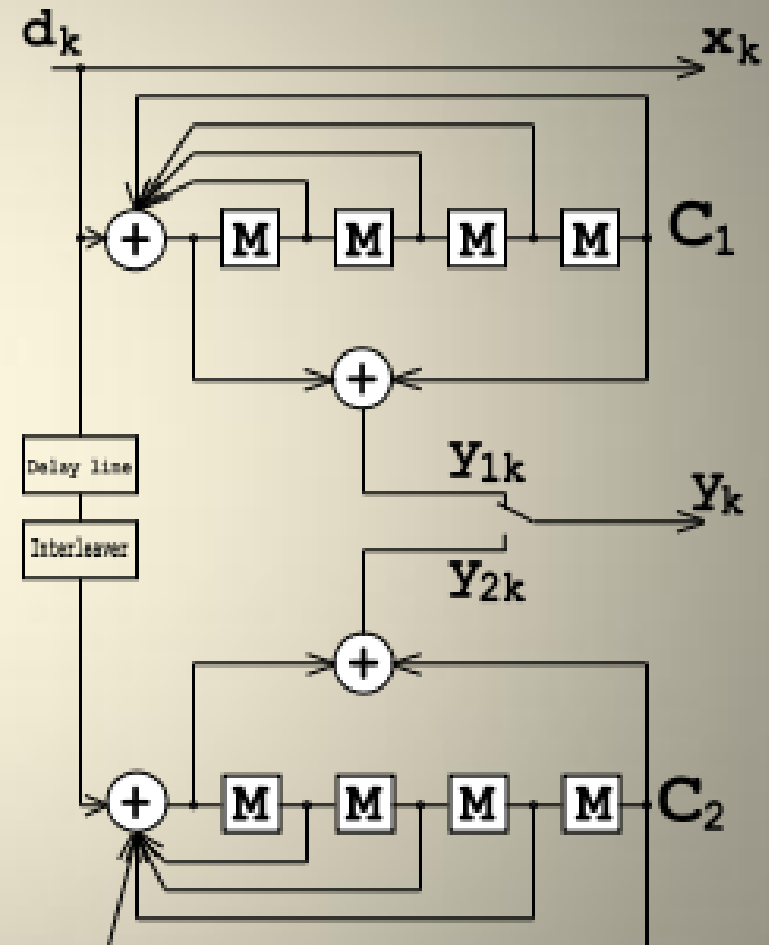


Voyager 1 – the first manmade object to leave the Solar System. Launched in 1977.

# Turbo Codes

Berrou, Glavieux and Thitimajshima  
(Telecom Bretagne) '93

- Non-algebraic codes!
- “Killer” of algebraic coding theory



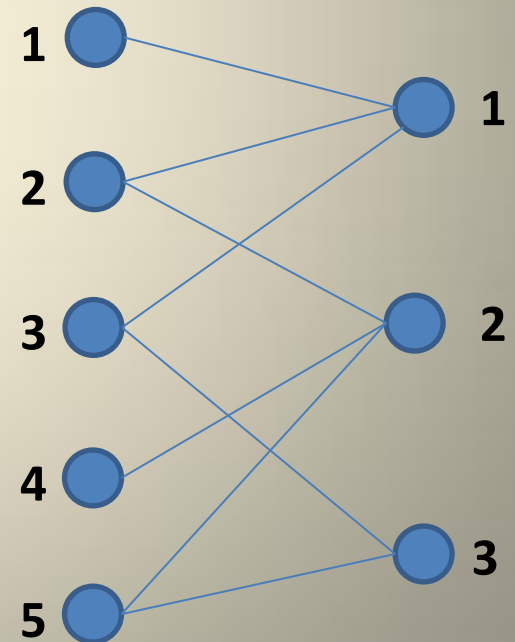


# Low-Density Parity-Check Codes

- Gallager '62
- Urbanke, Richardson and Shokrollahi '01
- Parity-check matrix  $H$  is sparse
- Performance extremely close to channel capacity
- Decoding complexity linear in  $n$

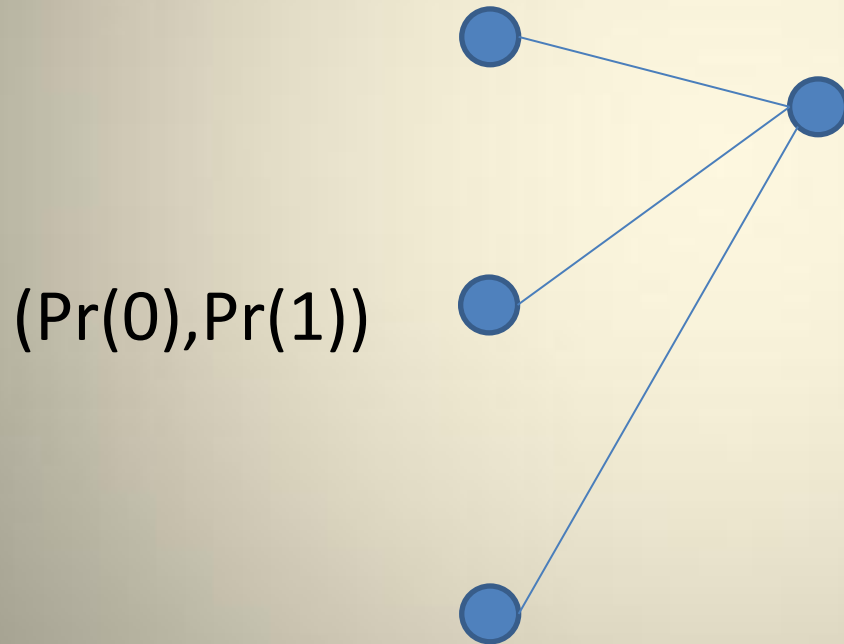
Tanner graph:

$$H = \begin{bmatrix} 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \end{bmatrix}$$



# Low-Density Parity-Check Codes

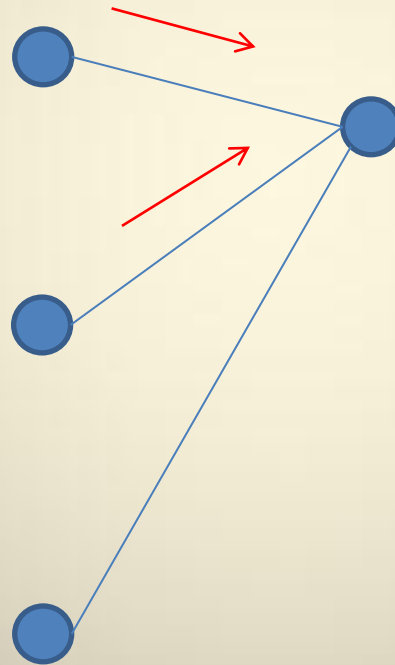
- Belief-propagation decoding algorithm  
(message-passing algorithm)



# Low-Density Parity-Check Codes

$\Pr(0) = 0.2, \Pr(1) = 0.8$

$\Pr(0) = 0.4, \Pr(1) = 0.6$

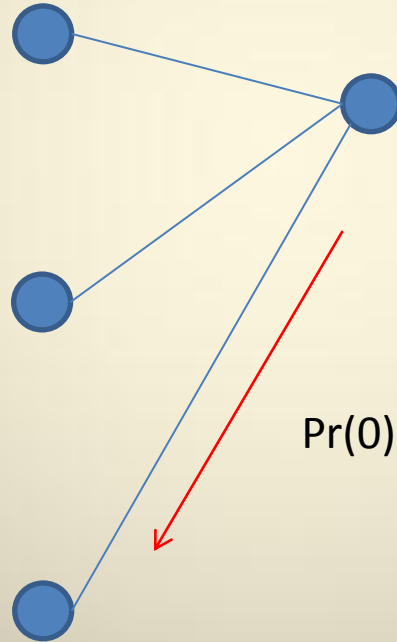


# Low-Density Parity-Check Codes

$\Pr(0) = 0.2, \Pr(1) = 0.8$

$\Pr(0) = 0.4, \Pr(1) = 0.6$

$\Pr(0) = 0.56, \Pr(1) = 0.44$

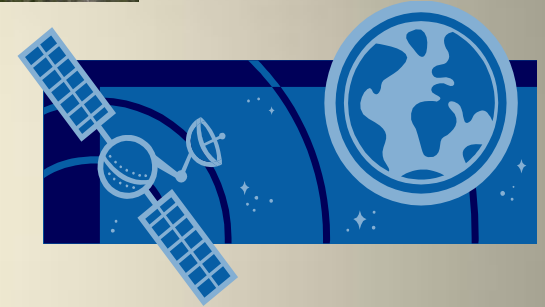


# Reed-Solomon Codes are Used in:

- Wired and wireless communications



- Satellite communications



- Hard drives and compact disks



- Flash memory devices

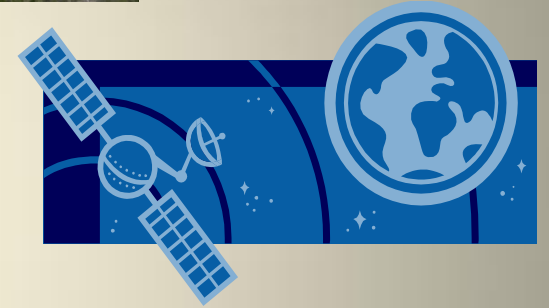


# LDPC Codes are Used in:

- Wired and wireless communications



- Satellite communications



- Hard drives and compact disks



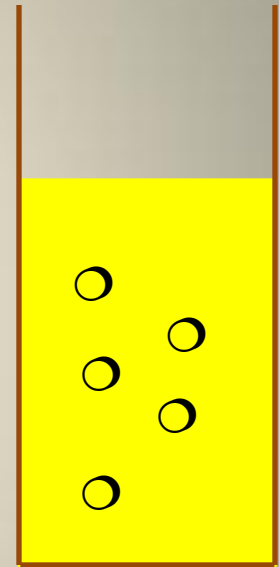
- Flash memory devices



# Emerging Applications of Coding Theory

# Flash memories

- Easy to add electric charge, hard to remove
- The charge “leaks” with the time
- Neighboring cells influence each other



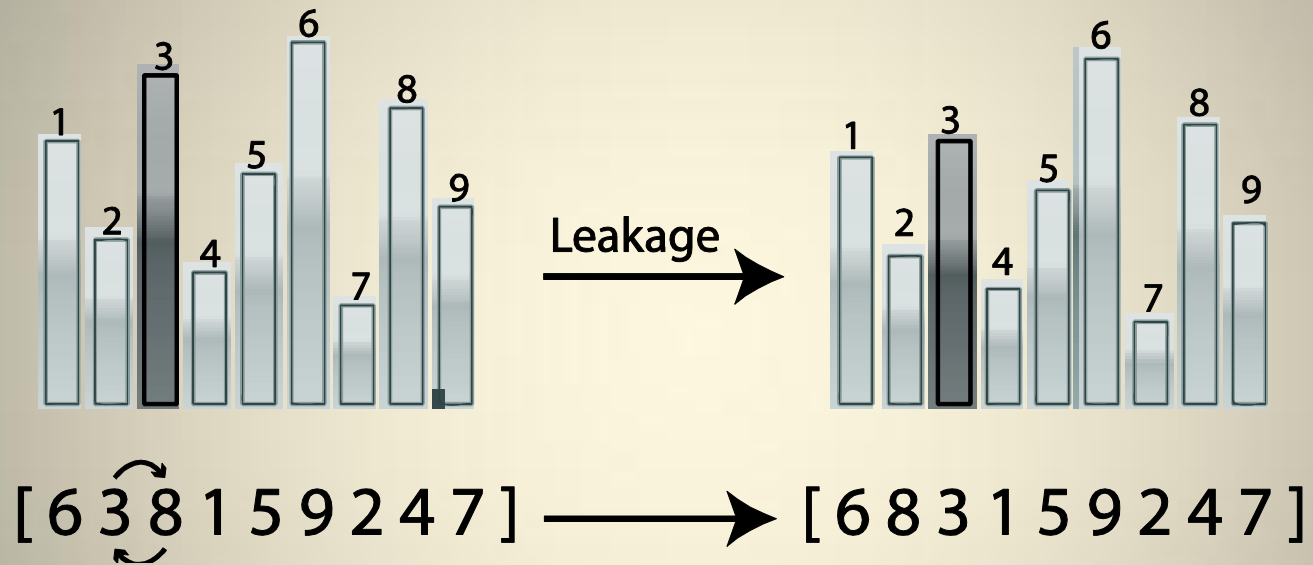
Flash memory cell



# Flash memories

- Rank modulation
- The information is represented using **relative** levels of charge, invariant to leakage
- Coding over **permutations**

# Flash memories



# Networking

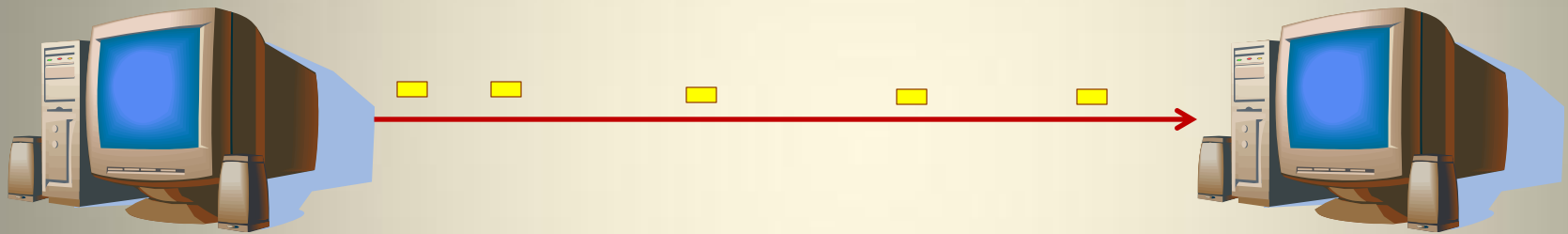
- Raptor Codes



- A. Shokrollahi '2004
- Used in DVB-H standard for IP datacast for handheld devices

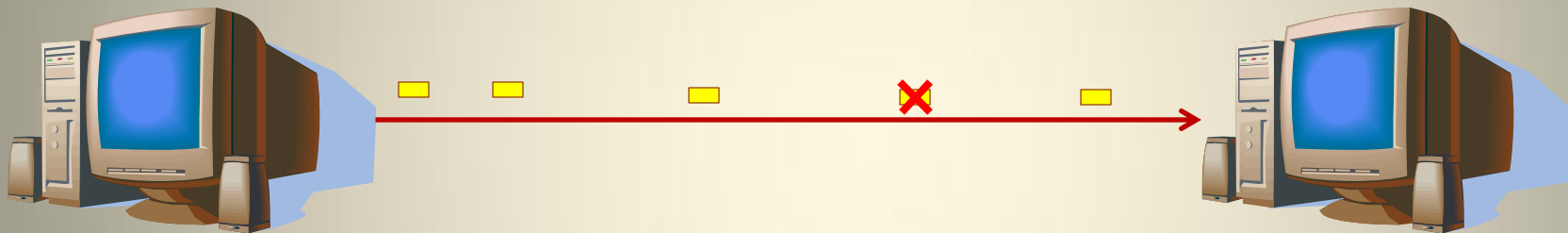
# Networking

- Raptor Codes



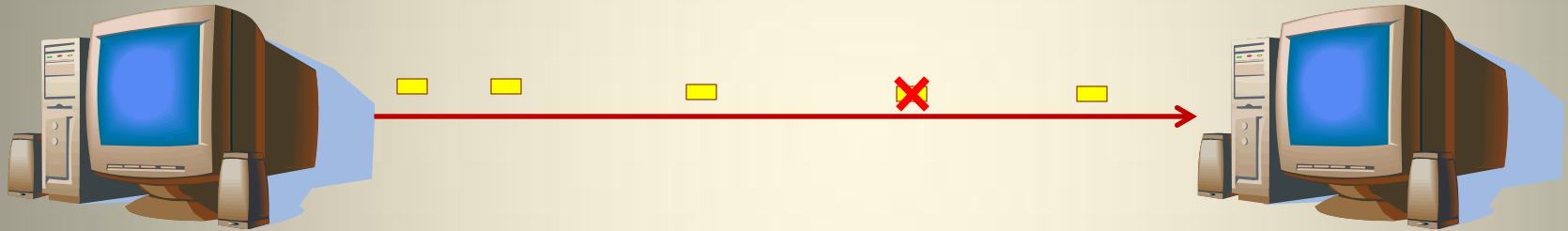
# Networking

- Raptor Codes



# Networking

- Raptor Codes



- Possible solution: ARQs (retransmissions) – slow!
- Alternative: large error-correcting code

# Networking

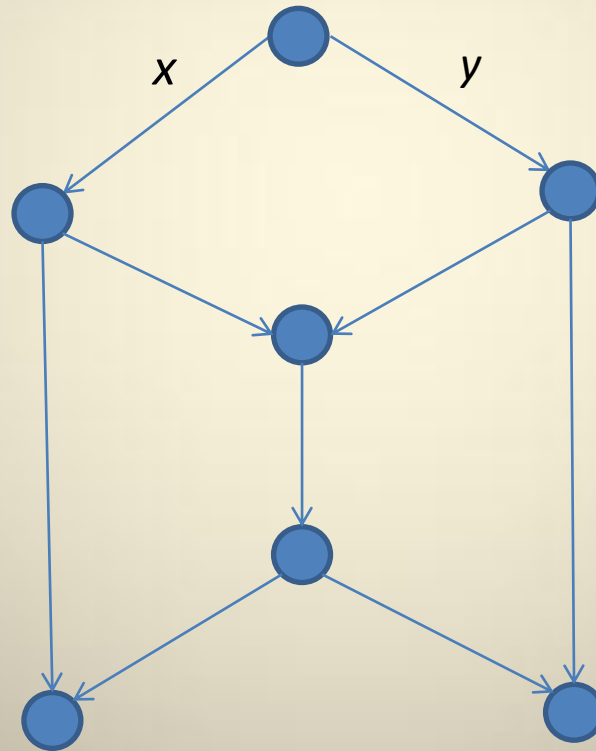
- Raptor Codes



# Network coding

- Butterfly network

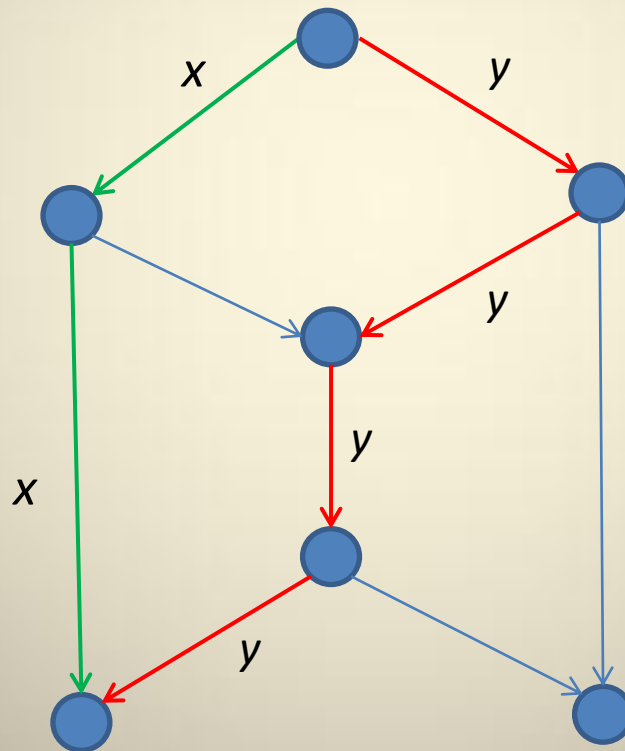
Ahlsweede, Cai, Li and Yeung, 2000





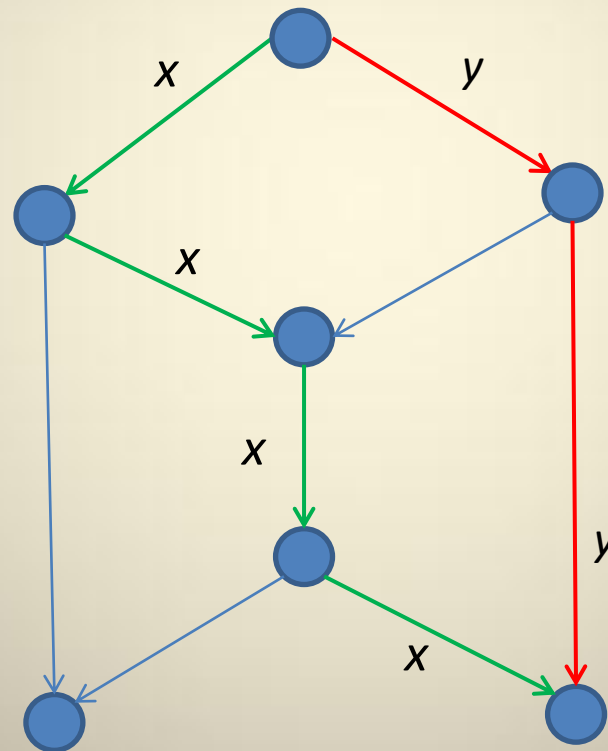
# Network coding

- Butterfly network



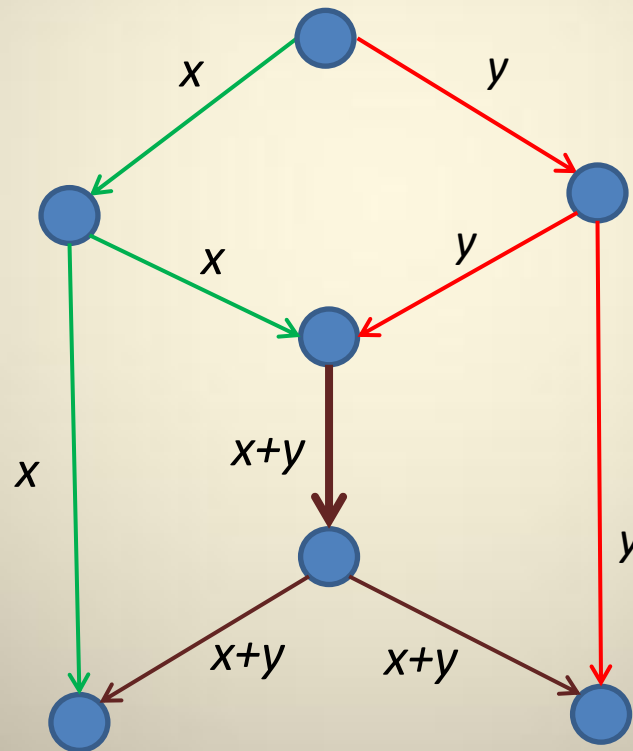
# Network coding

- Butterfly network



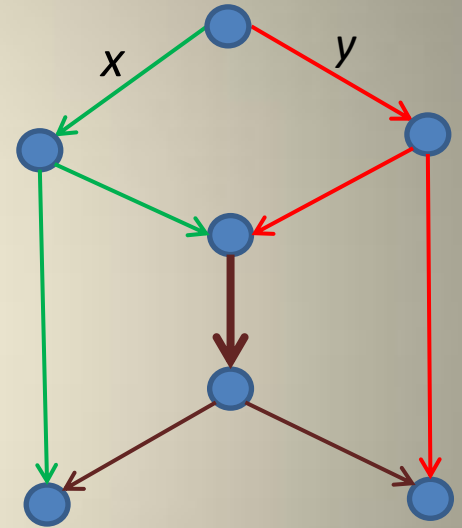
# Network coding

- Butterfly network



# Network coding

- The number of bits deliverable to each destination is equal to min-cut between source and each of destinations
- Avalanche P2P Network (Microsoft, 2005)
- Experiments for use in mobile communications



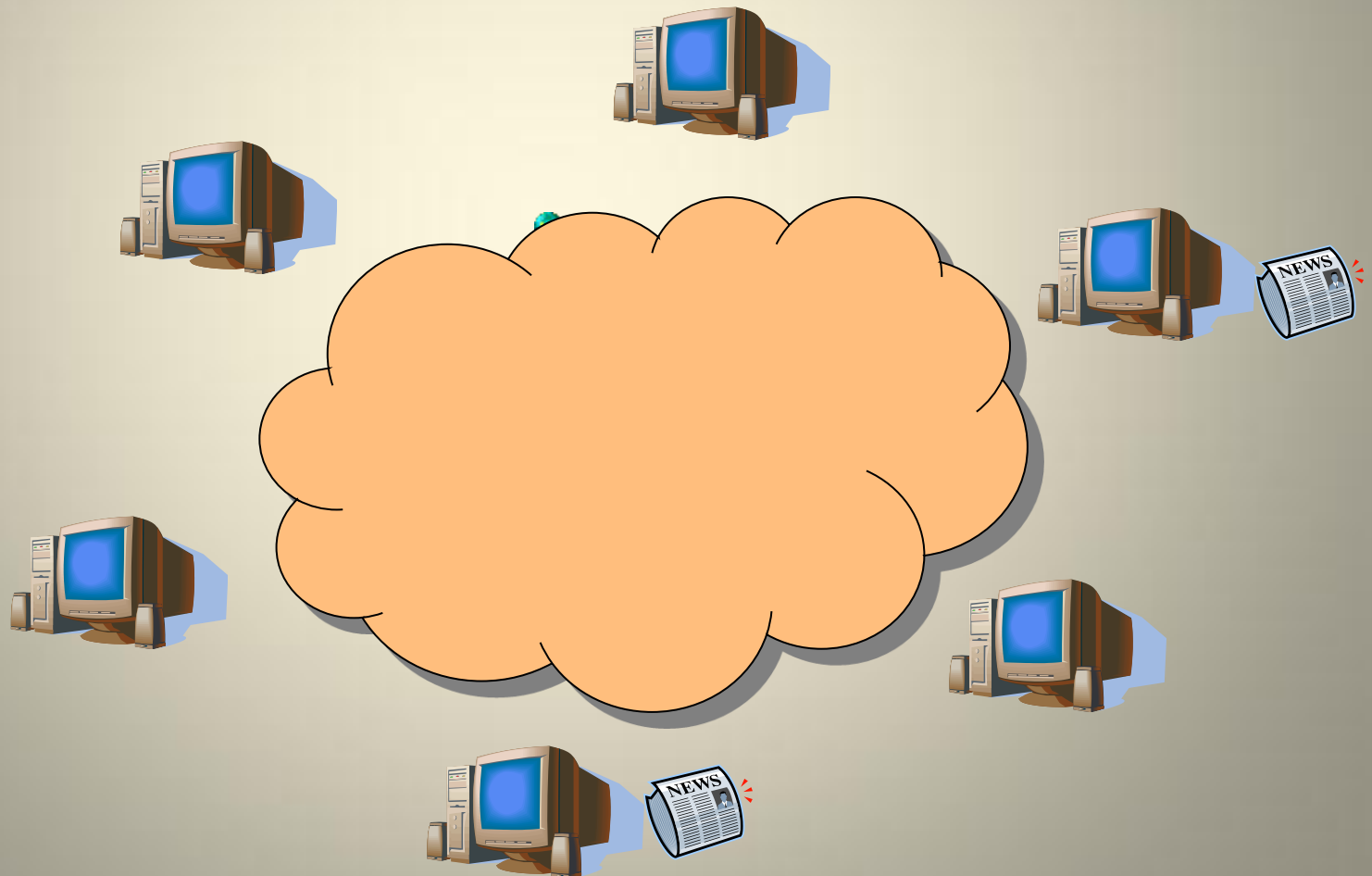
# Gossip Algorithms

- $n$  users in the network
- $k$  of them possess a rumor (packet of data) – each rumor is different
- Each users “calls” another user randomly and sends a rumor to him
- Purpose: to distribute all rumors to all users
- Using coding: send a random linear combination of all rumors in your possession
  - Facilitates convergence of the algorithm

Deb, Medard and Choute 2006

# Gossip Algorithms

- Rumor spreading problem



# Gossip Algorithms

- $n$  users in the network
- $k$  of them possess a rumor (packet of data) – each rumor is different
- Each users “calls” another user randomly and sends a rumor to him
- Purpose: to distribute all rumors to all users
- Using coding: send a random linear combination of all rumors in your possession
  - Facilitates convergence of the algorithm

Deb, Medard and Choute 2006

# Distributed Storage

- Huge amounts of data stored by big data companies (Google, Amazon, Facebook, Dropbox)



Facebook data center in Oregon



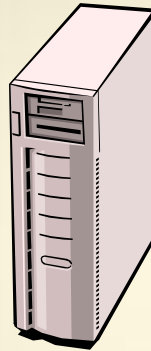
Server room at  
Wikipedia data center



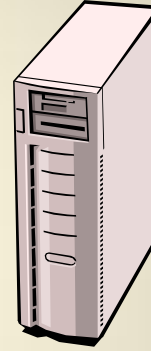
# Distributed data storage



$x$



$y$



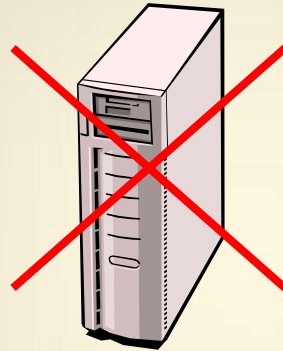
$x + y$

Dimakis, Godfrey, Wu, Wainwright, Ramchandran '2008

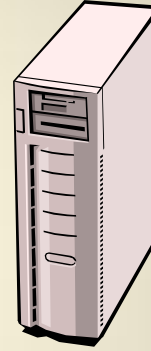
# Distributed data storage



$x$

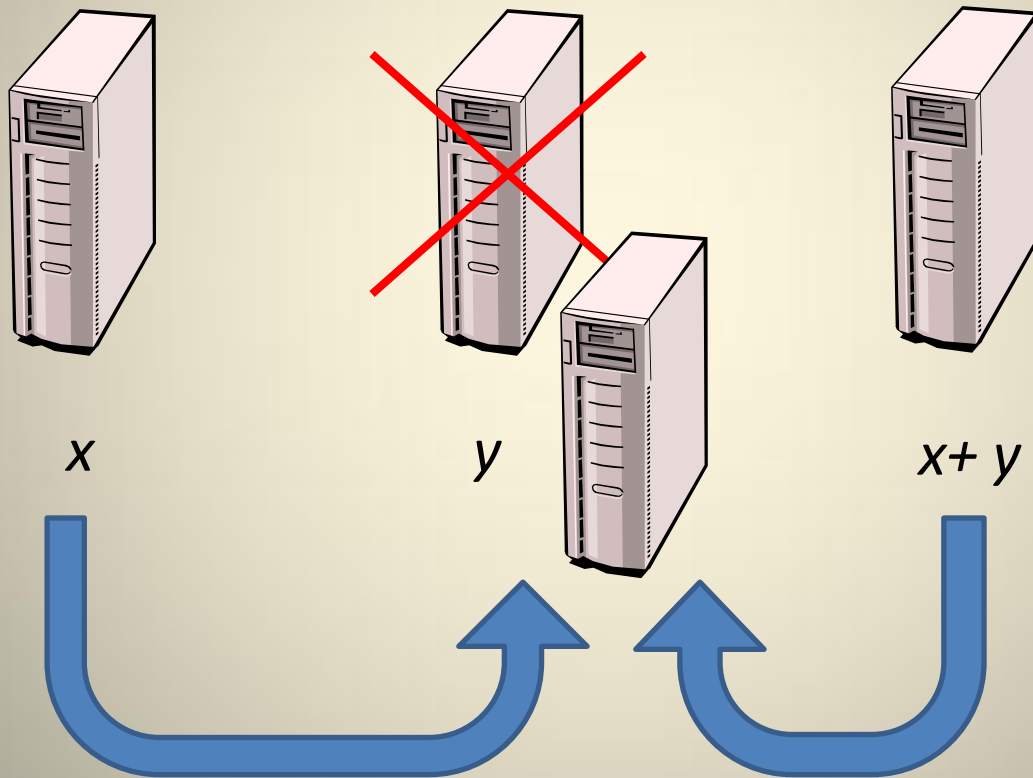


$y$

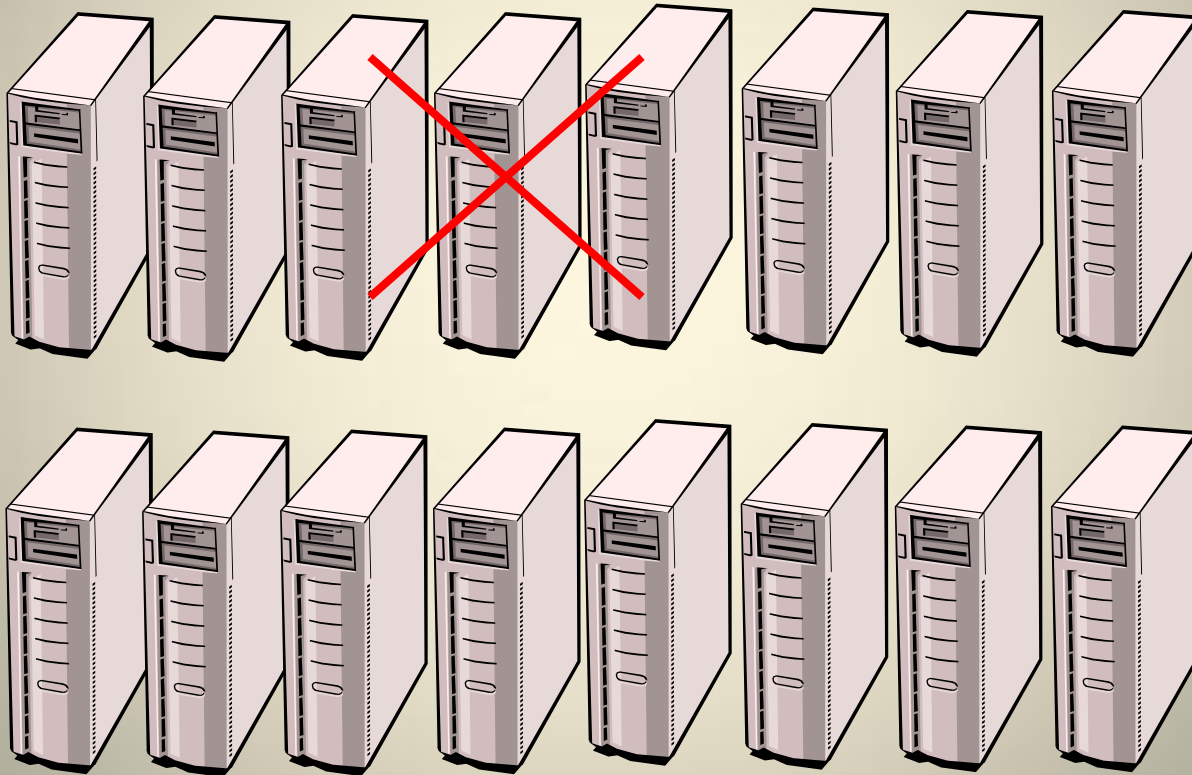


$x + y$

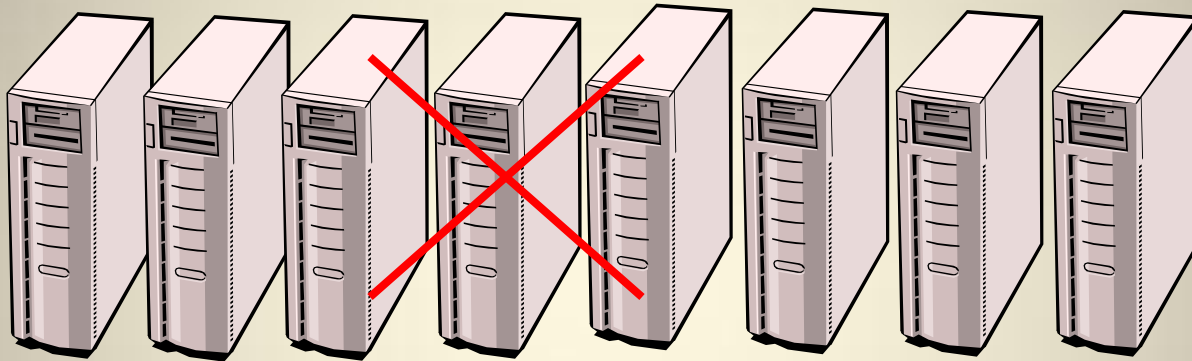
# Distributed data storage



# Distributed data storage

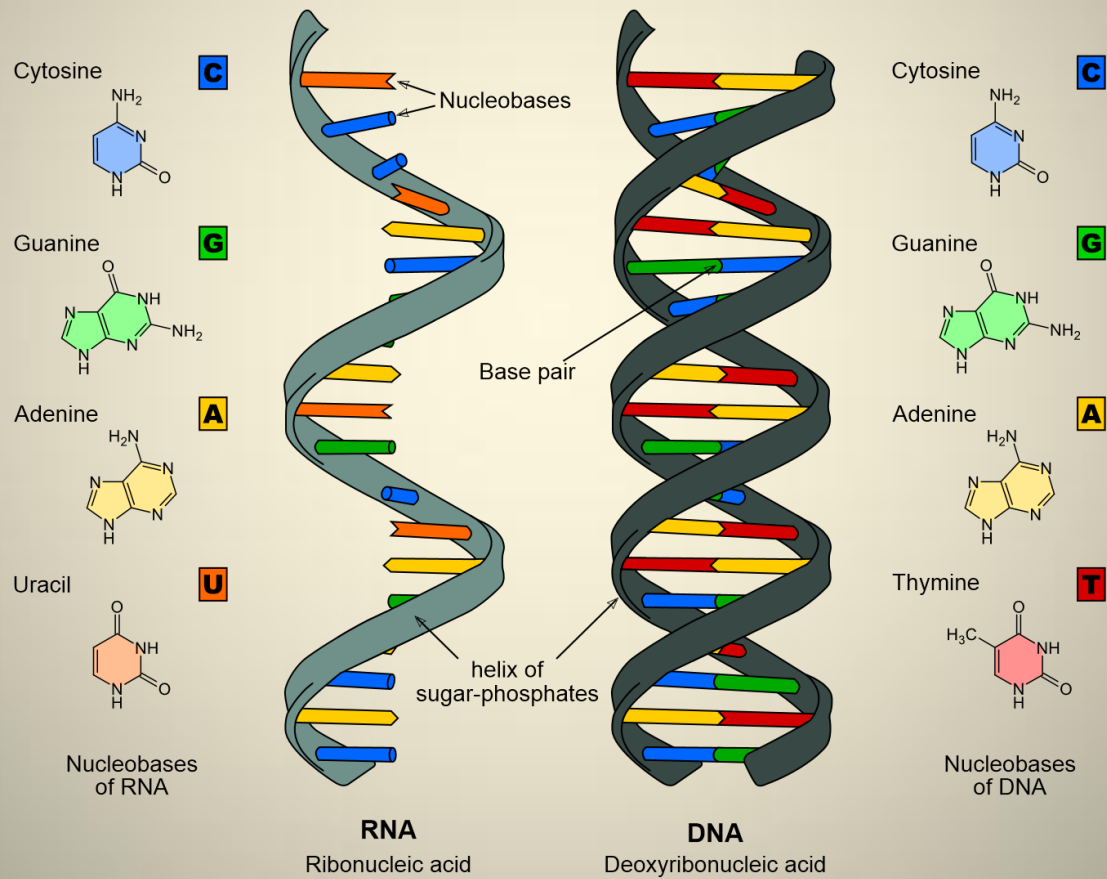


# Distributed data storage



- Classical error-correcting codes can be employed
- Local correction is needed (using few other servers) to facilitate the correction

# DNA Analysis



# String Reconstruction Problem

- Four amino acids: A, F, G, C
- The composition of each protein can be deduced from its weight
- Each protein-sequence bond is cut independently with the same probability



# String Reconstruction Problem

- Binary alphabet  $\{0,1\}$

0010011

0010

100

011

001